

CS375 / Psych 249:

Large-Scale Neural Network Models for Neuroscience

Lecture 4: Deep CNNs and the Ventral Visual Stream — Part 2

2025.01.15

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Stanford University

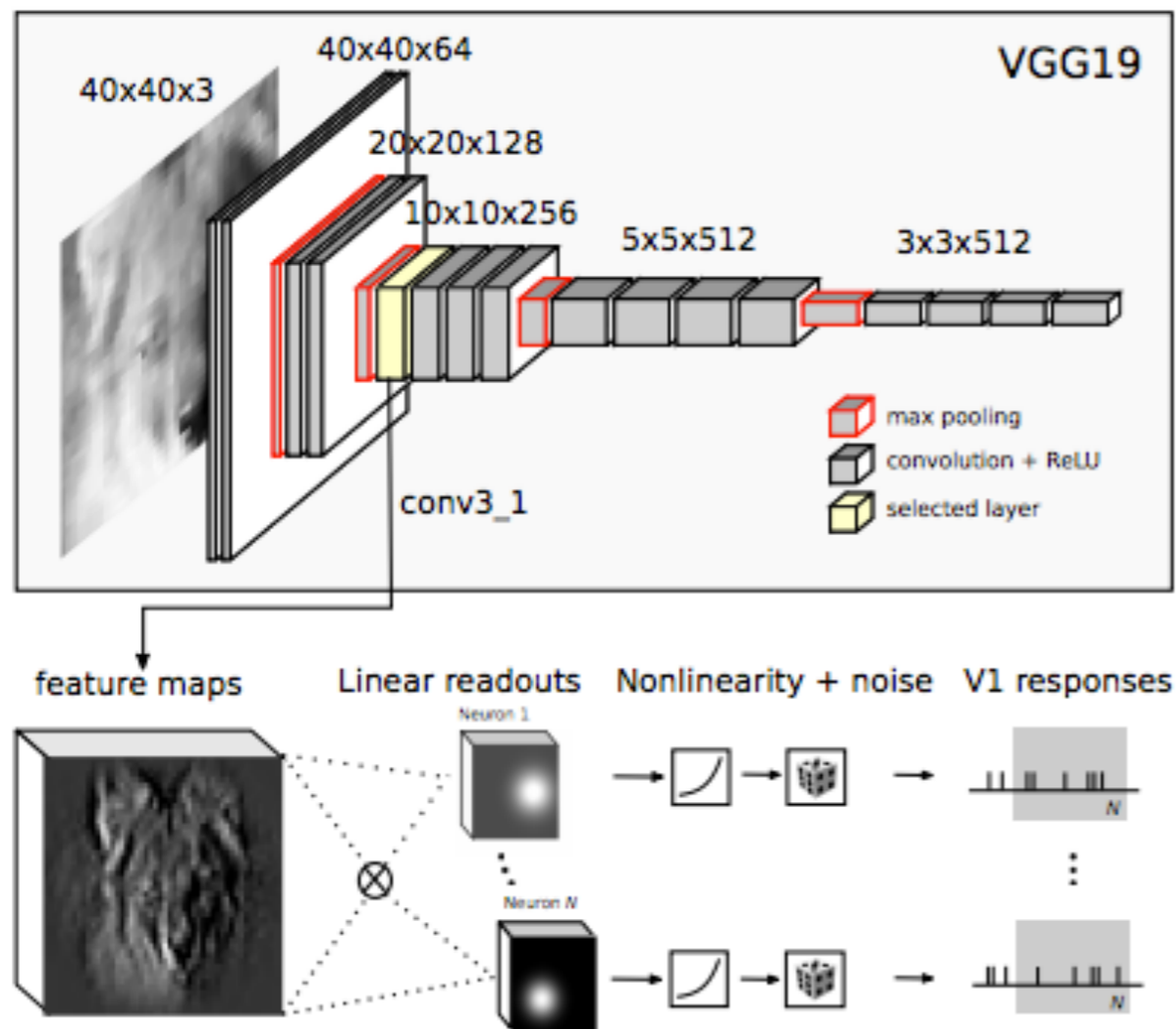


Layer-area correspondence

Deep convolutional models improve predictions of macaque V1 responses to natural images

Santiago A Cadena, George H Denfield, Edgar Y Walker, Leon A Gatys, Andreas S Tolias, Matthias Bethge, Alexander S Ecker

doi: <https://doi.org/10.1101/201764>

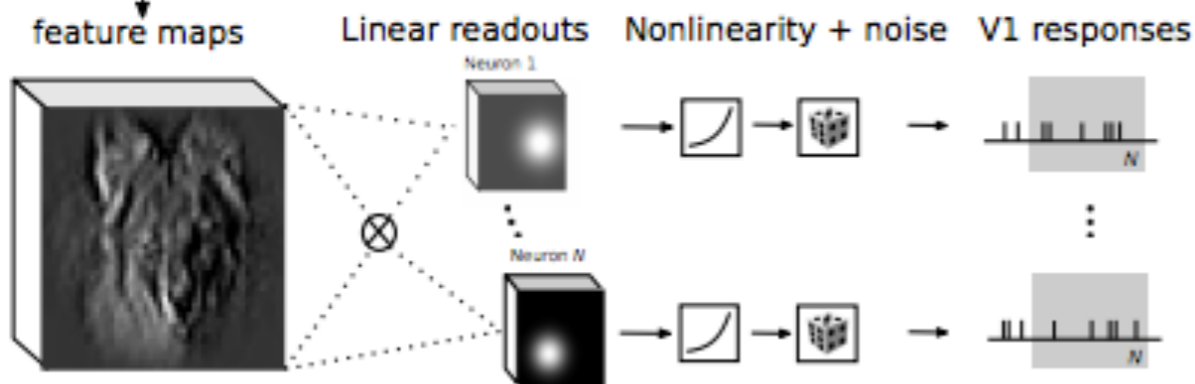
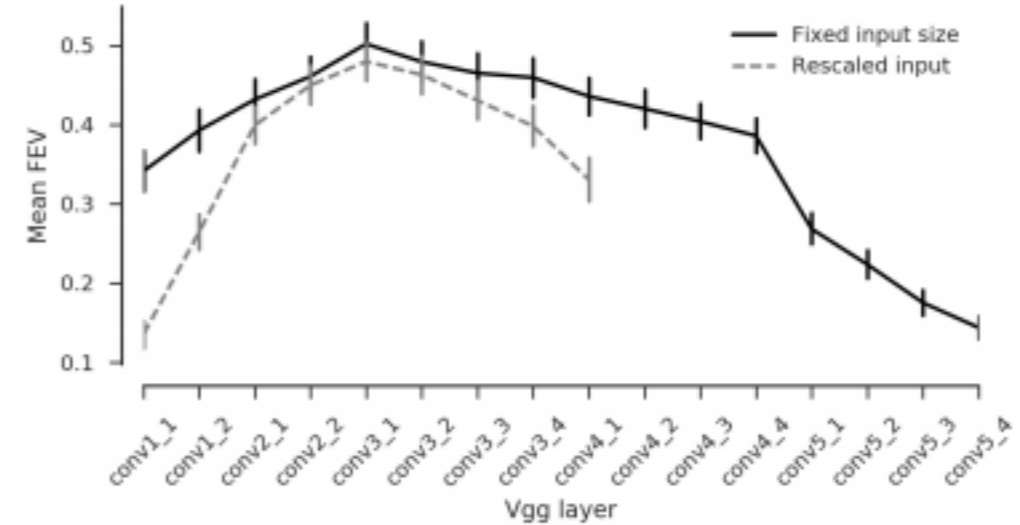
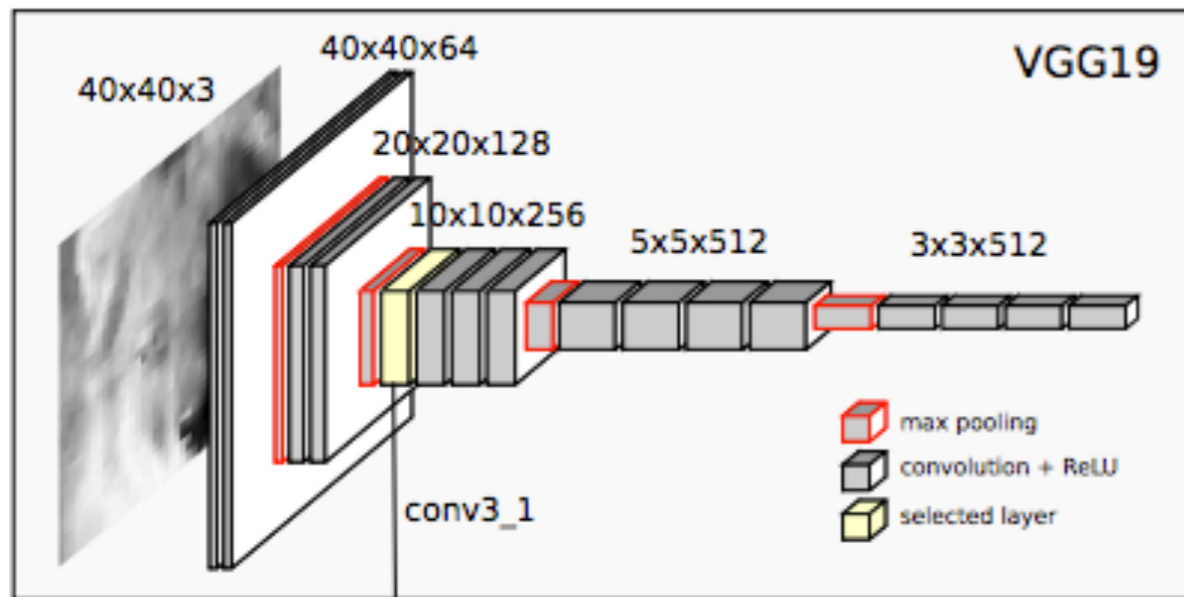


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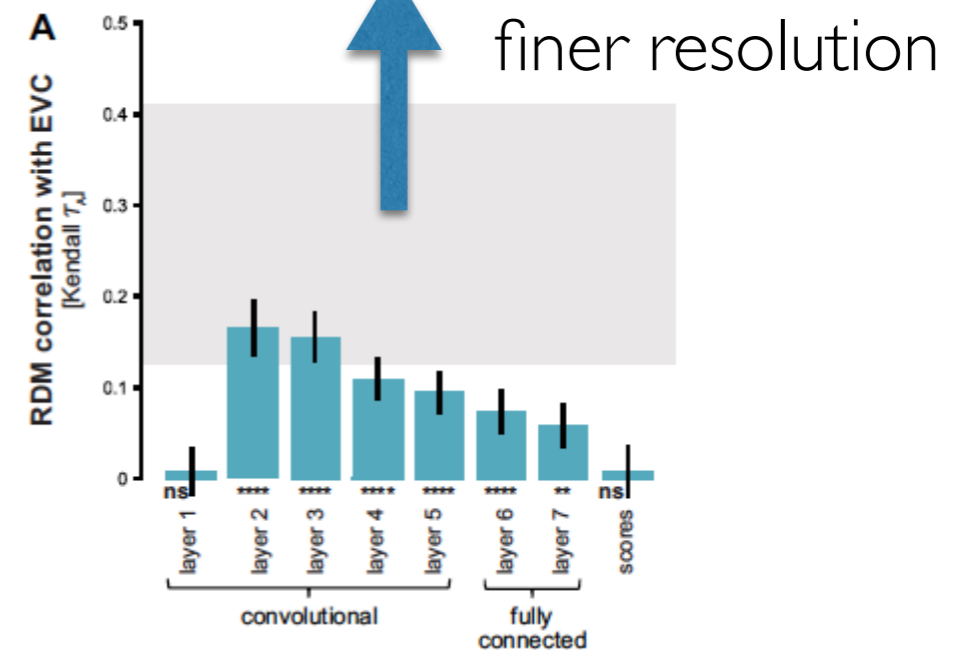
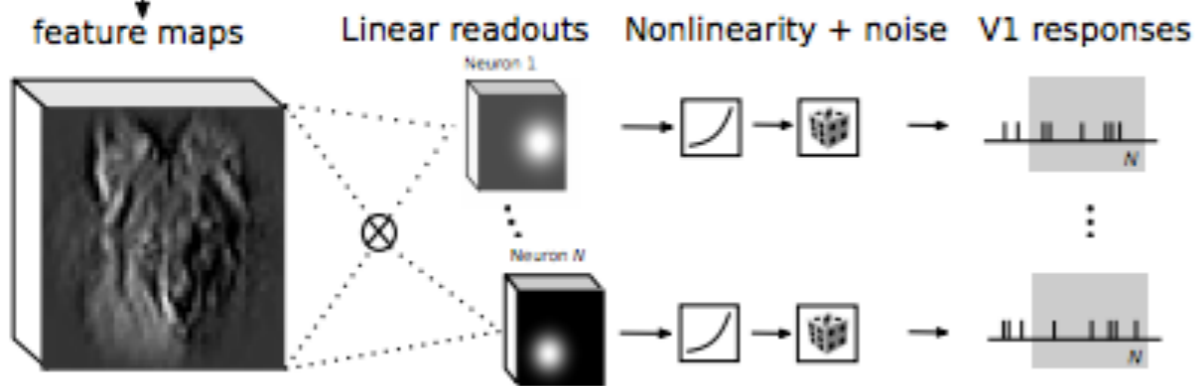
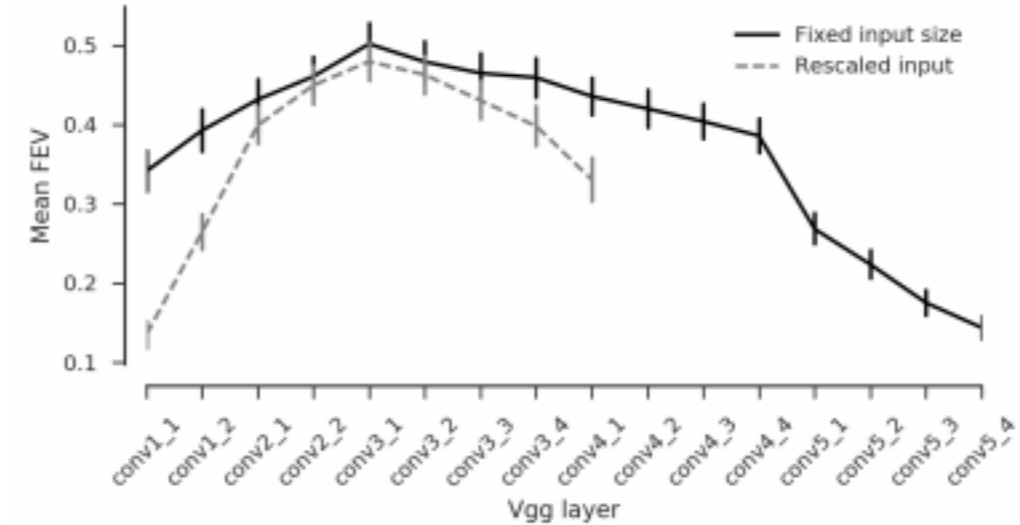
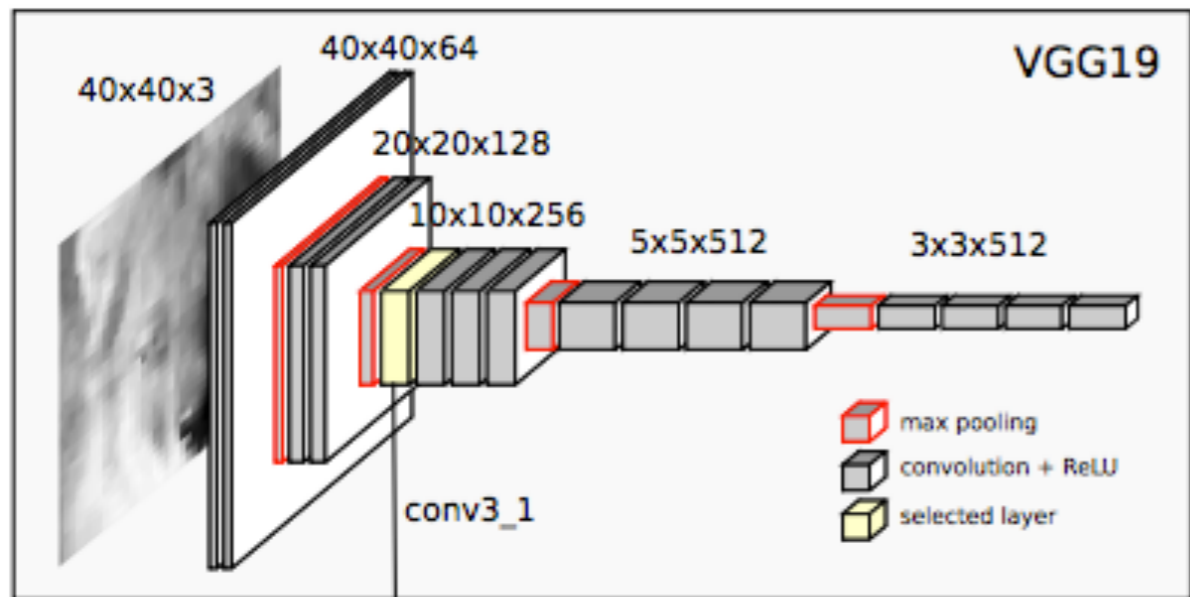


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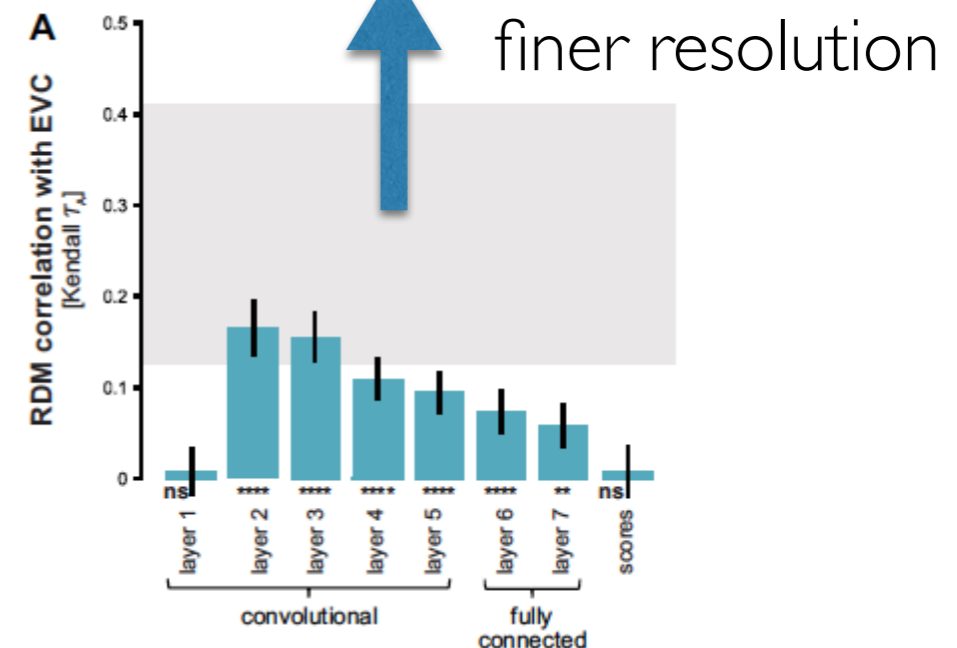
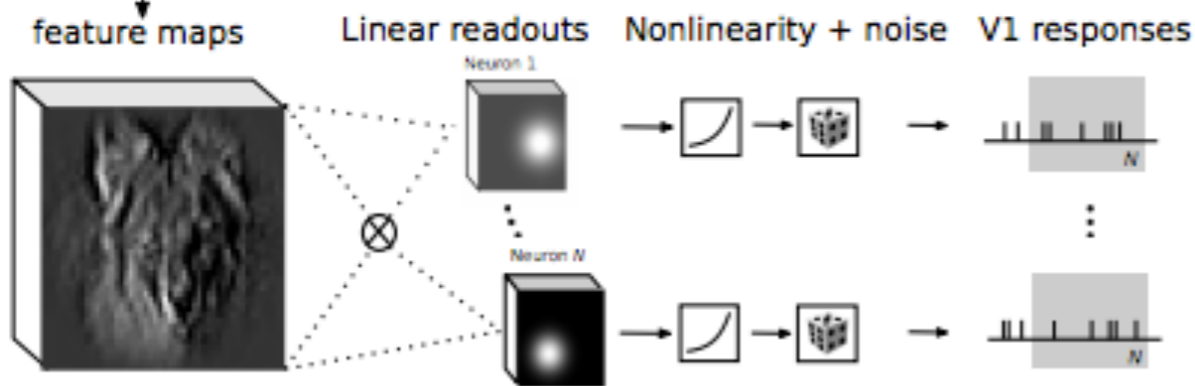
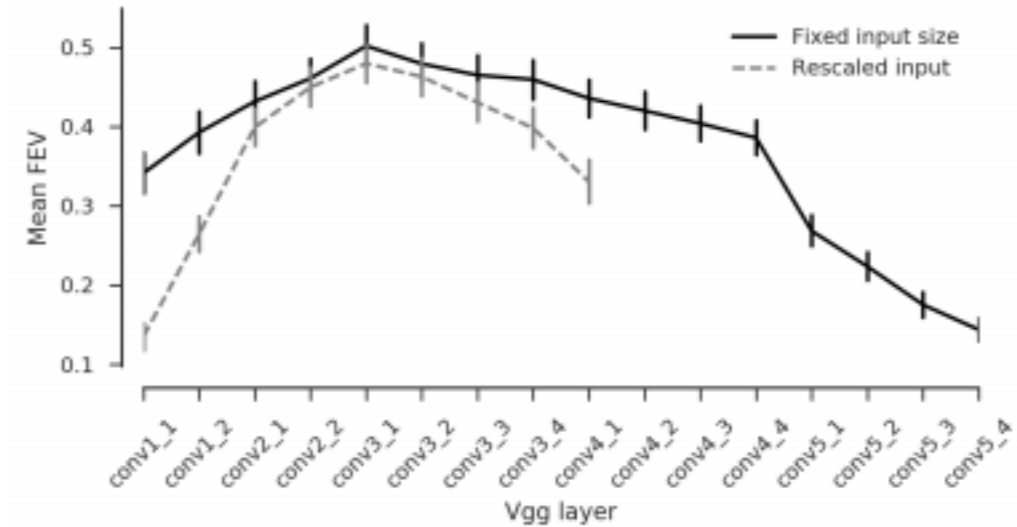
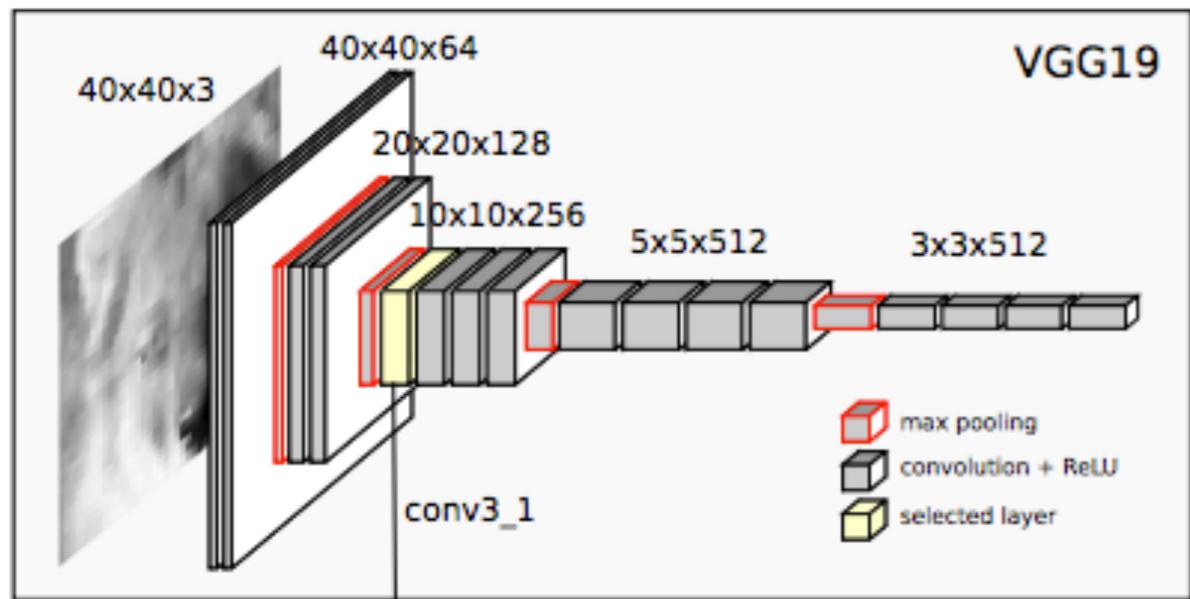
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- ▶ 17% for Linear-Nonlinear-Poisson (with gabor filters)
- ▶ 39% for Berkeley Wavelet Transform



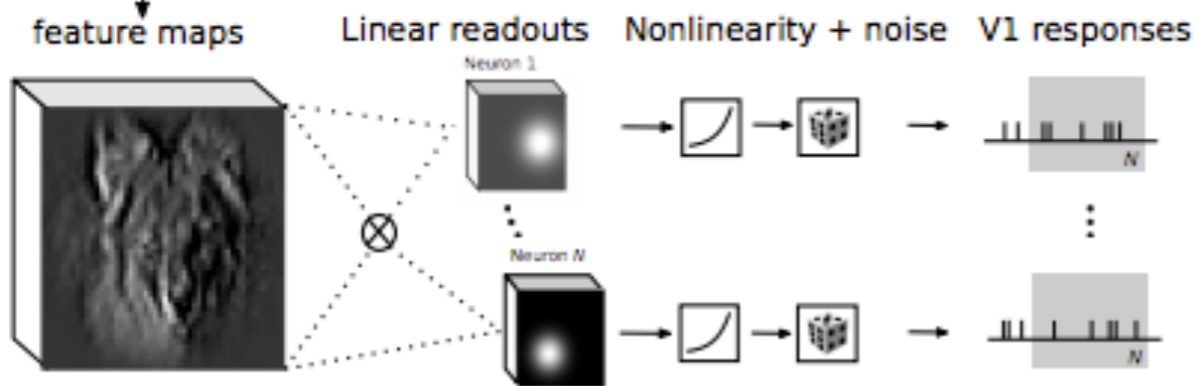
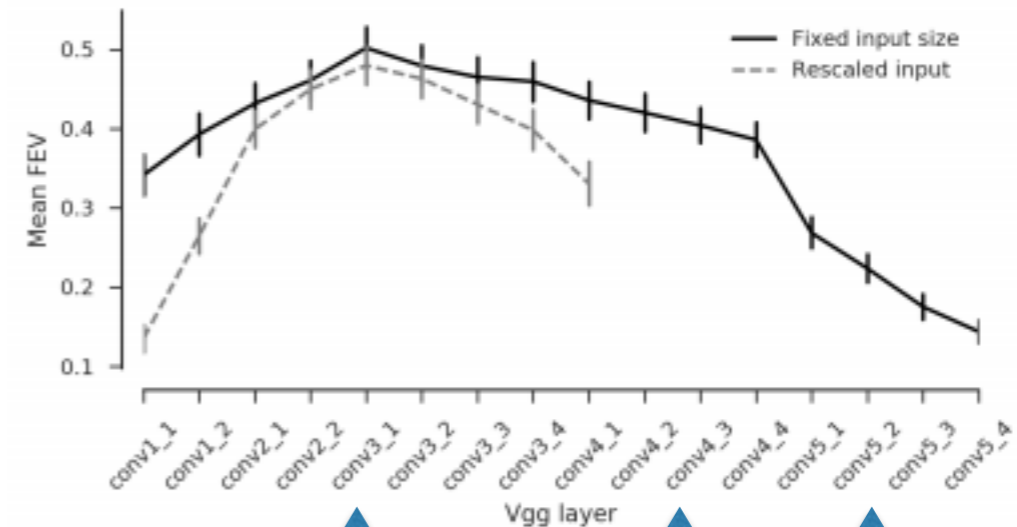
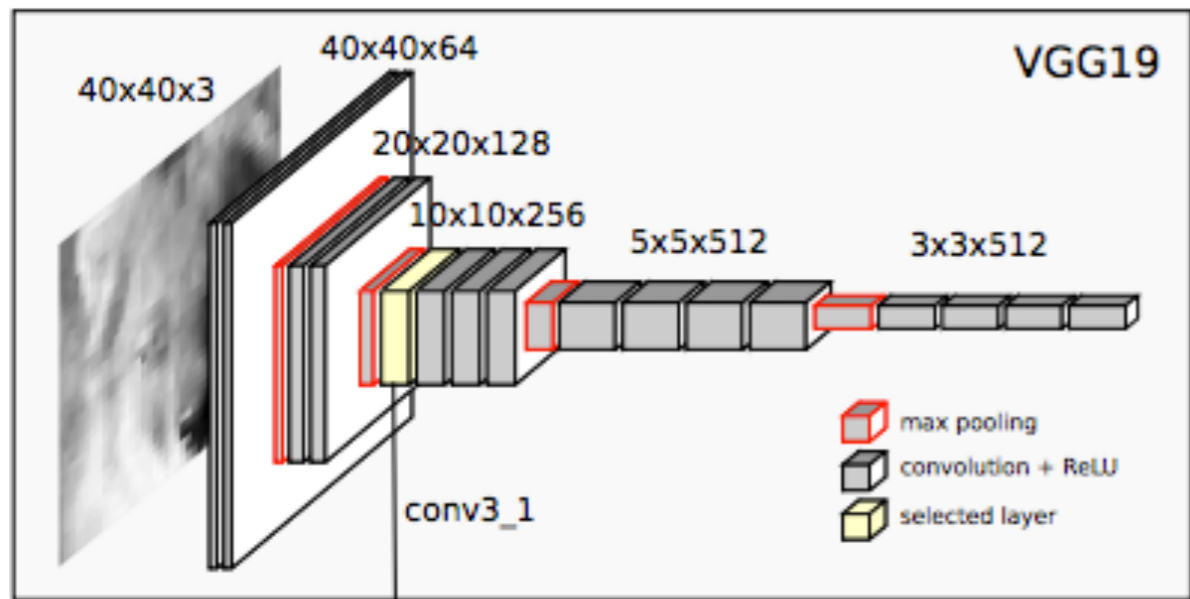
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Peak V1
Peak V4 (unpublished)
Peak IT (unpublished)

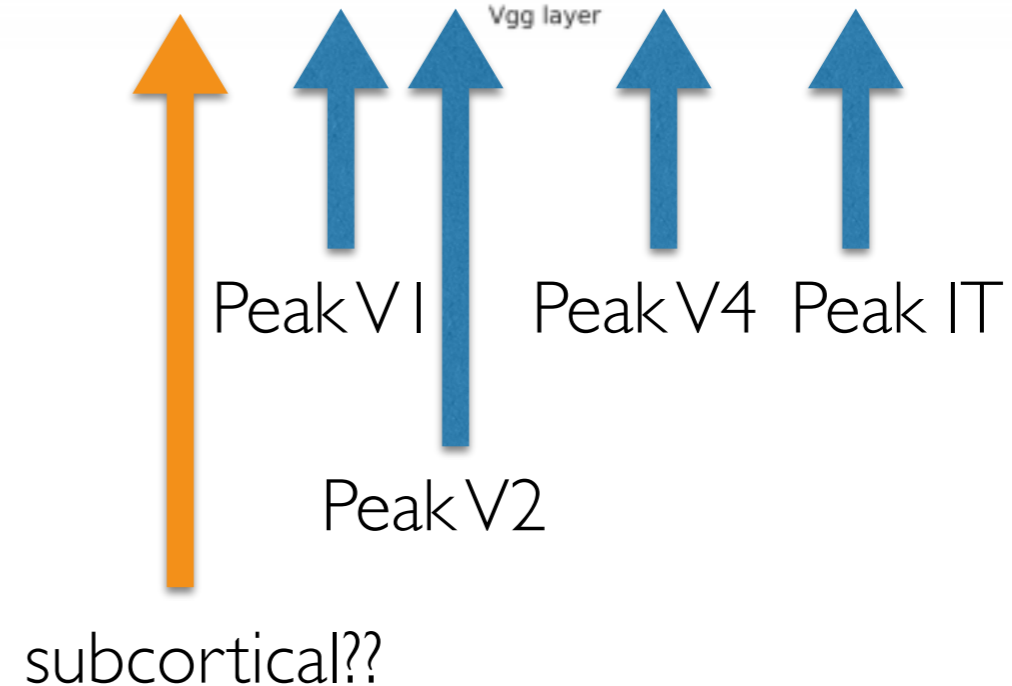
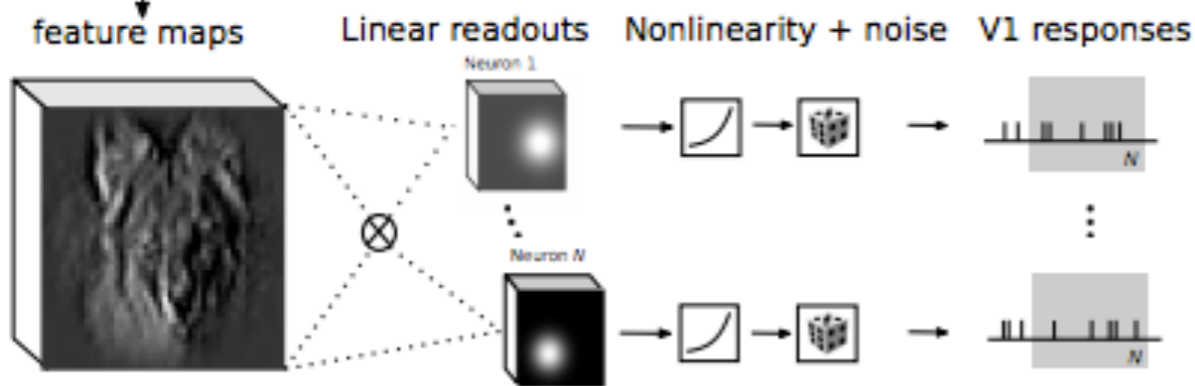
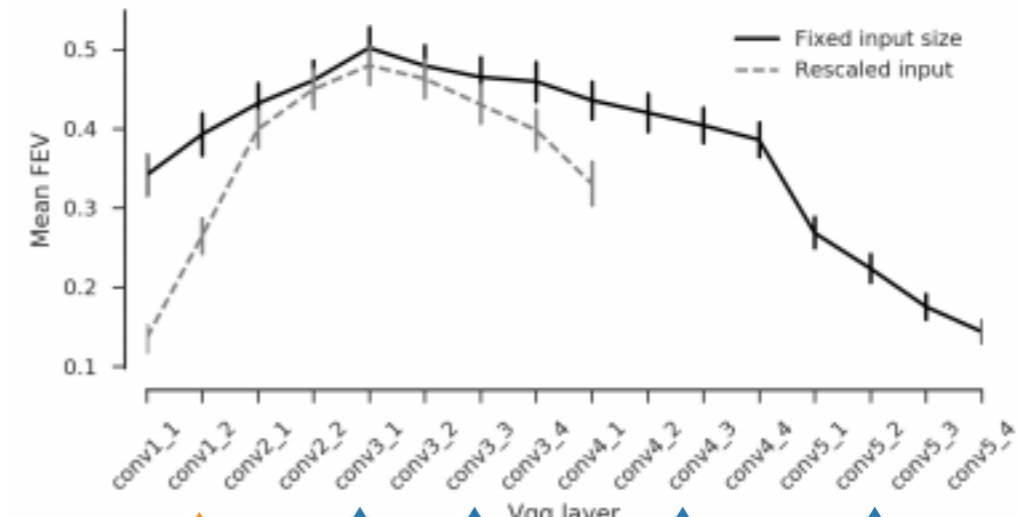
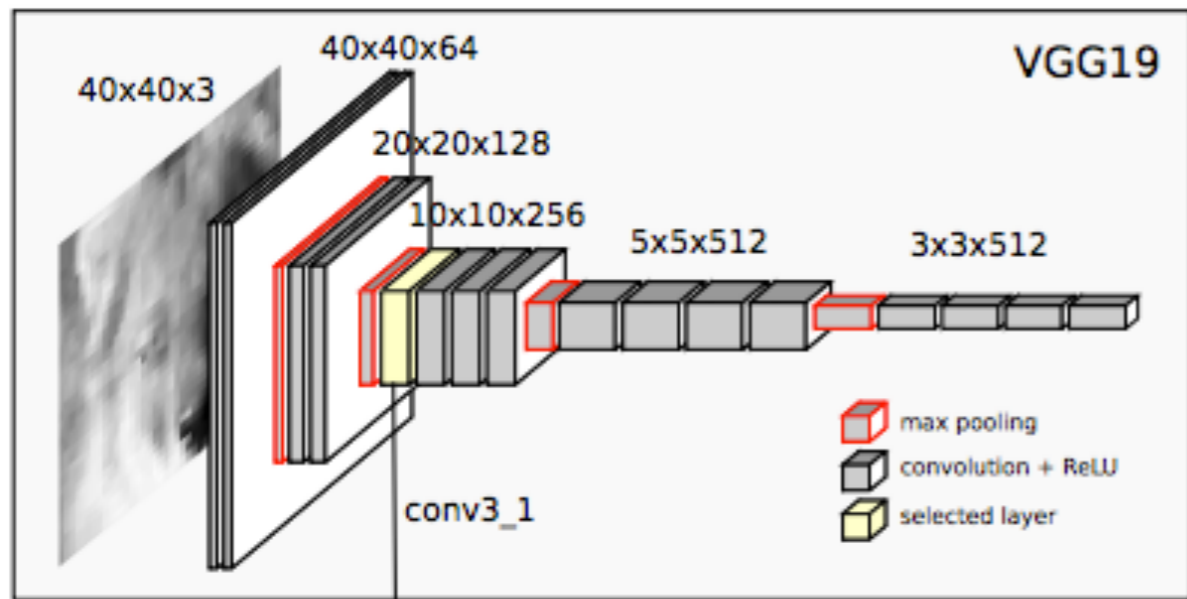
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Deep Learning Models of the Retinal Response to Natural Scenes

**Lane T. McIntosh^{*1}, Niru Maheswaranathan^{*1}, Aran Nayebi¹,
Surya Ganguli^{2,3}, Stephen A. Baccus³**

¹Neurosciences PhD Program, ²Department of Applied Physics, ³Neurobiology Department
Stanford University

{lmcintosh, nirum, anayebi, sganguli, baccus}@stanford.edu

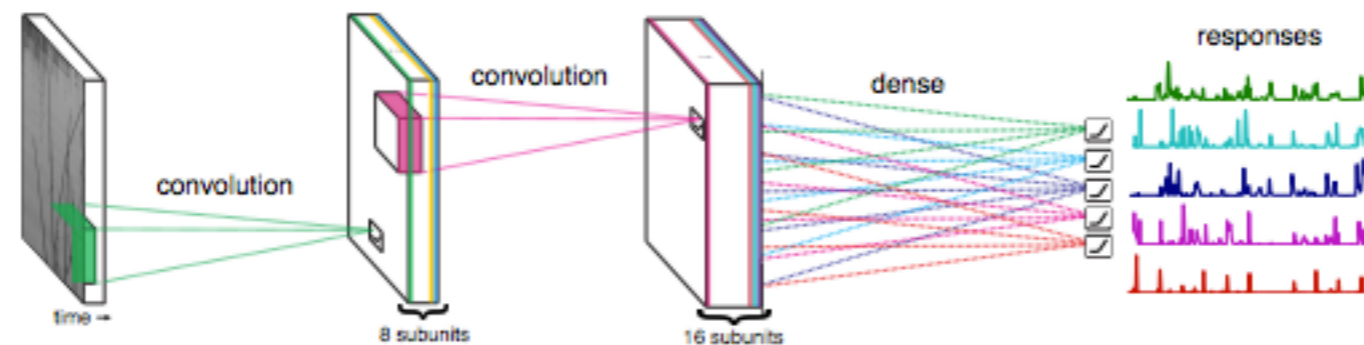
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Three-layer CNN best fits retinal ganglion cell response patterns to natural images.



Layer-area correspondence

Better models of the ventral visual stream:

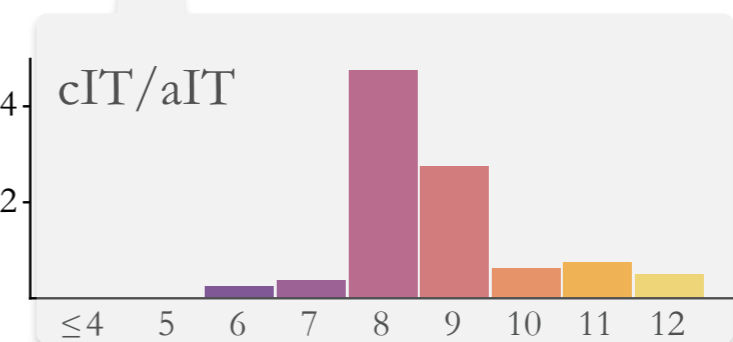
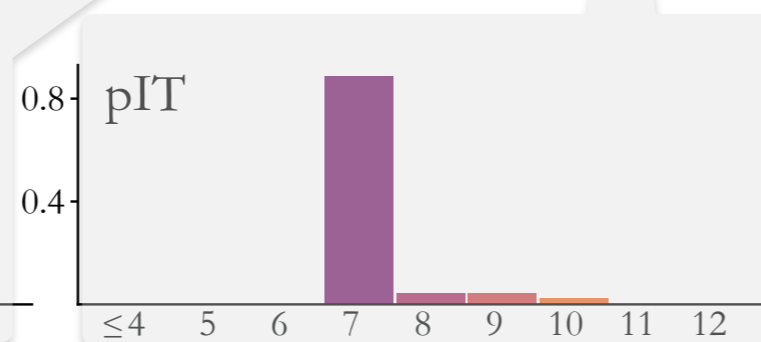
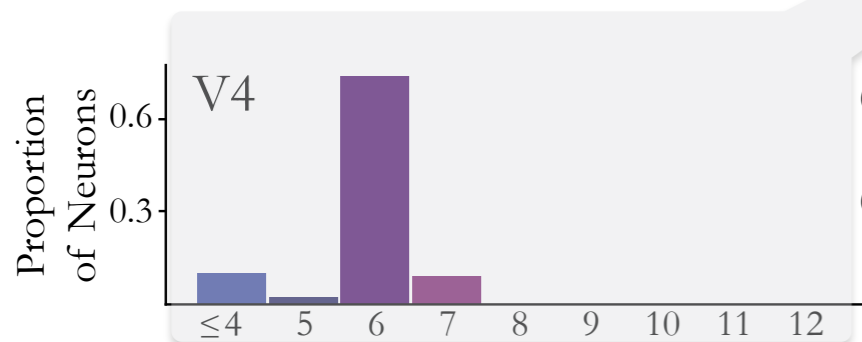
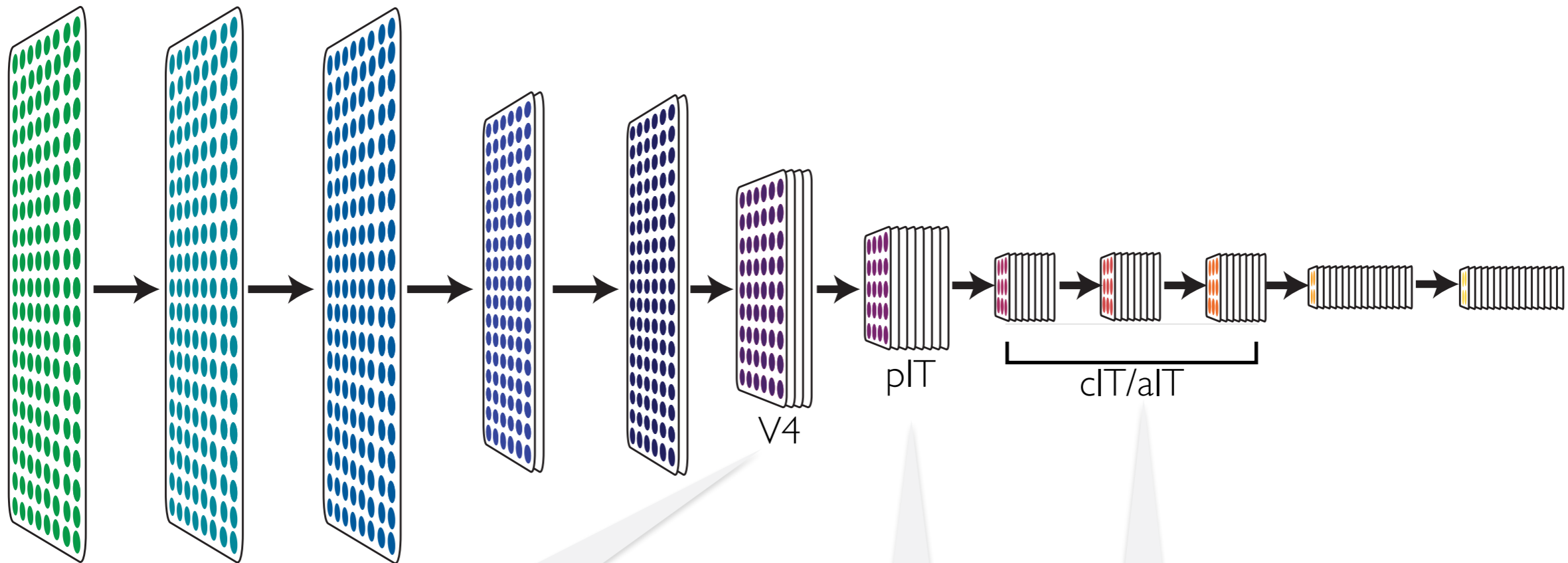
- ▶ V4 at 6th convolutional layer
- ▶ pIT at 7th convolutional layer
- ▶ cIT/aIT at layers 8-10, depending on neurons position on A/P axis



Dan Bear



Jonas Kubilius



Preferred Model Layer

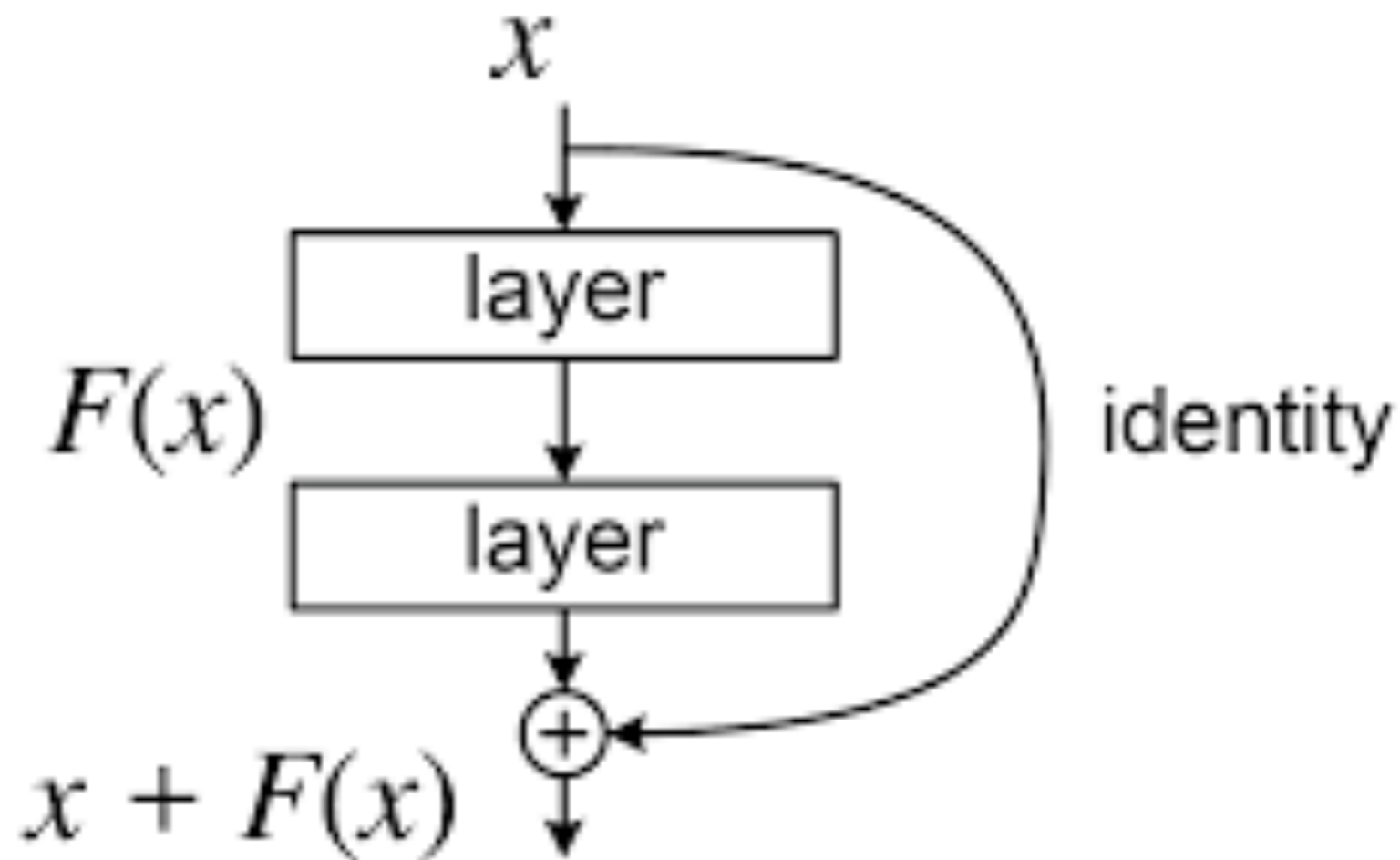
Post-AlexNet Developments

(1) Residual Connections and ResNets

(2) Vision Transformers

Post-AlexNet Developments

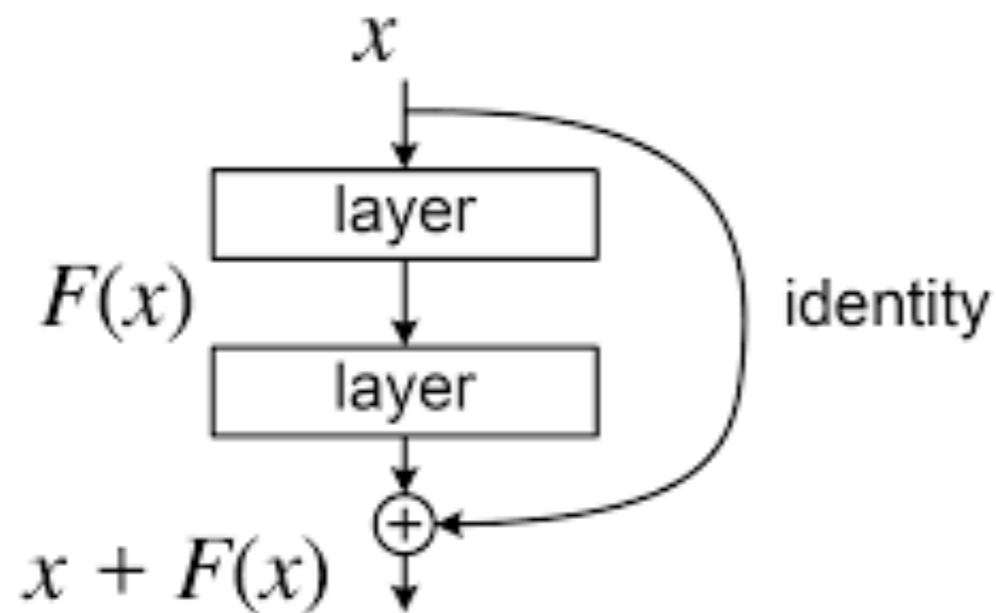
(I) Residual Connections and ResNets



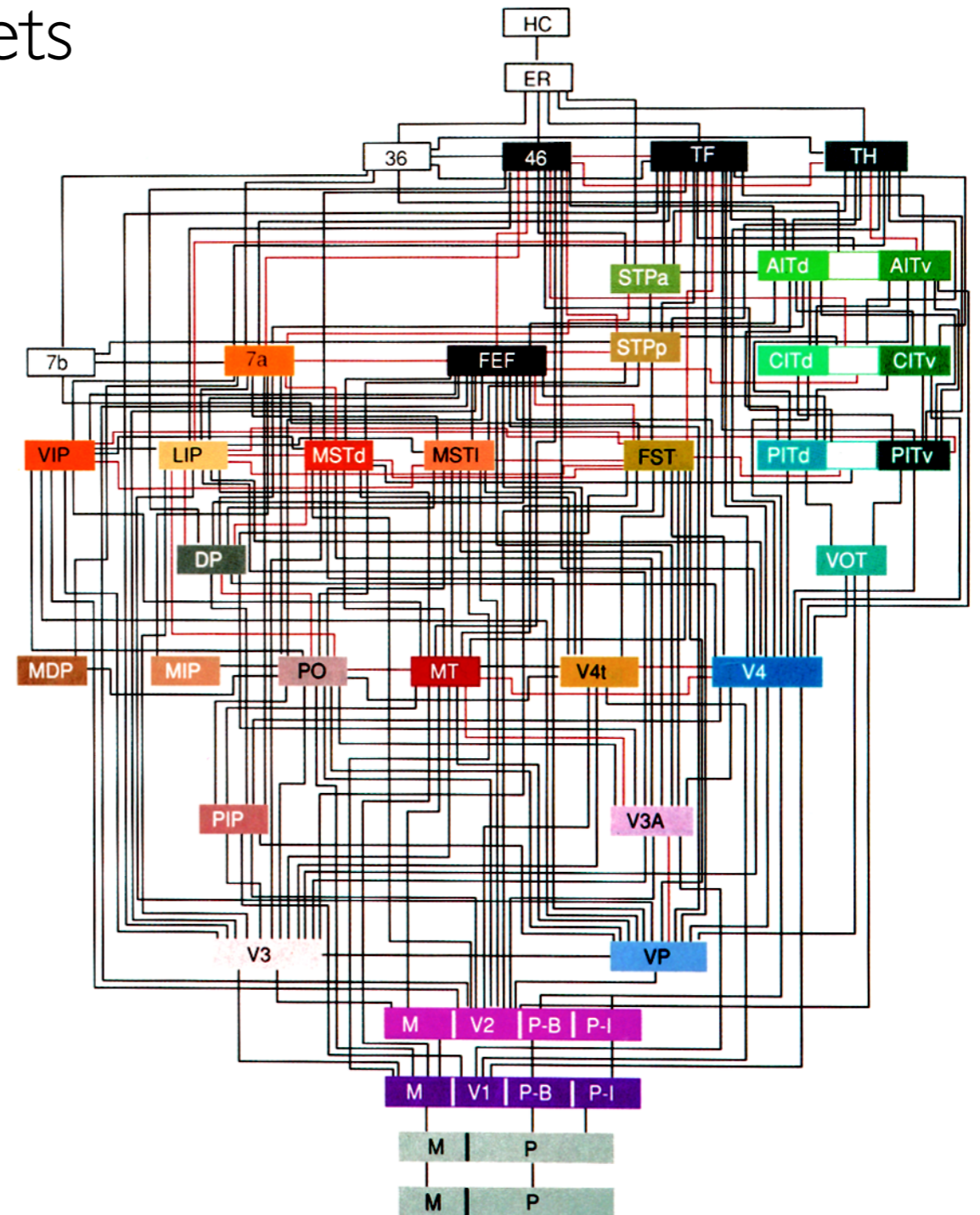
Residual connection stabilizes gradient backflow.

Post-AlexNet Developments

(I) Residual Connections and ResNets



Residual connection stabilizes gradient backflow.

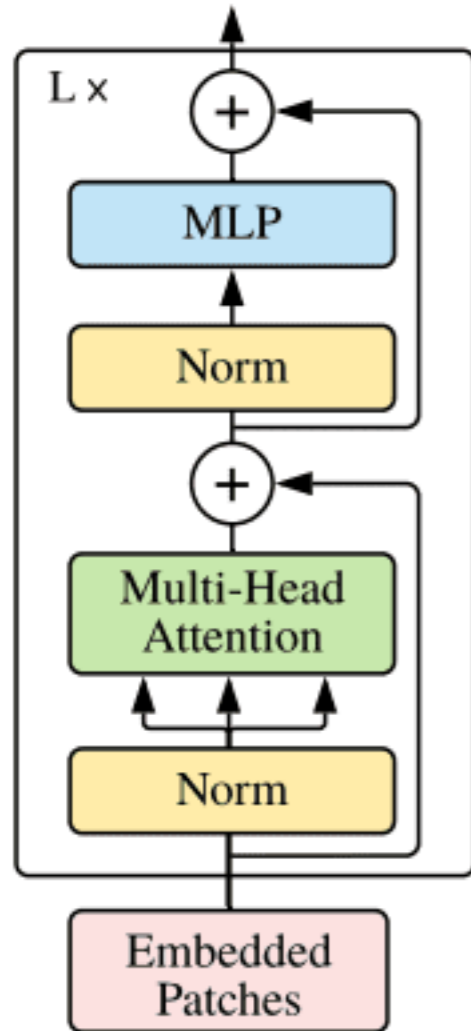


Lots of skip connections present in actual brain.

Post-AlexNet Developments

(2) Vision Transformers

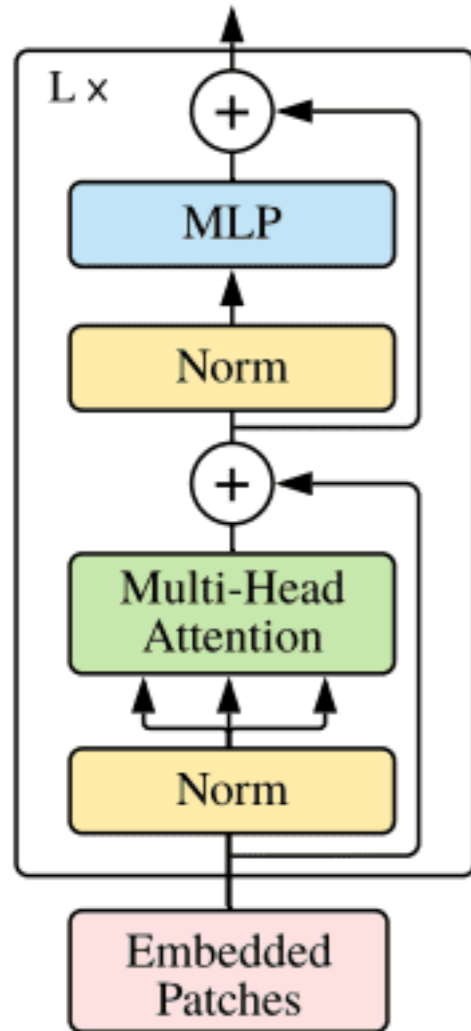
Transformer Encoder



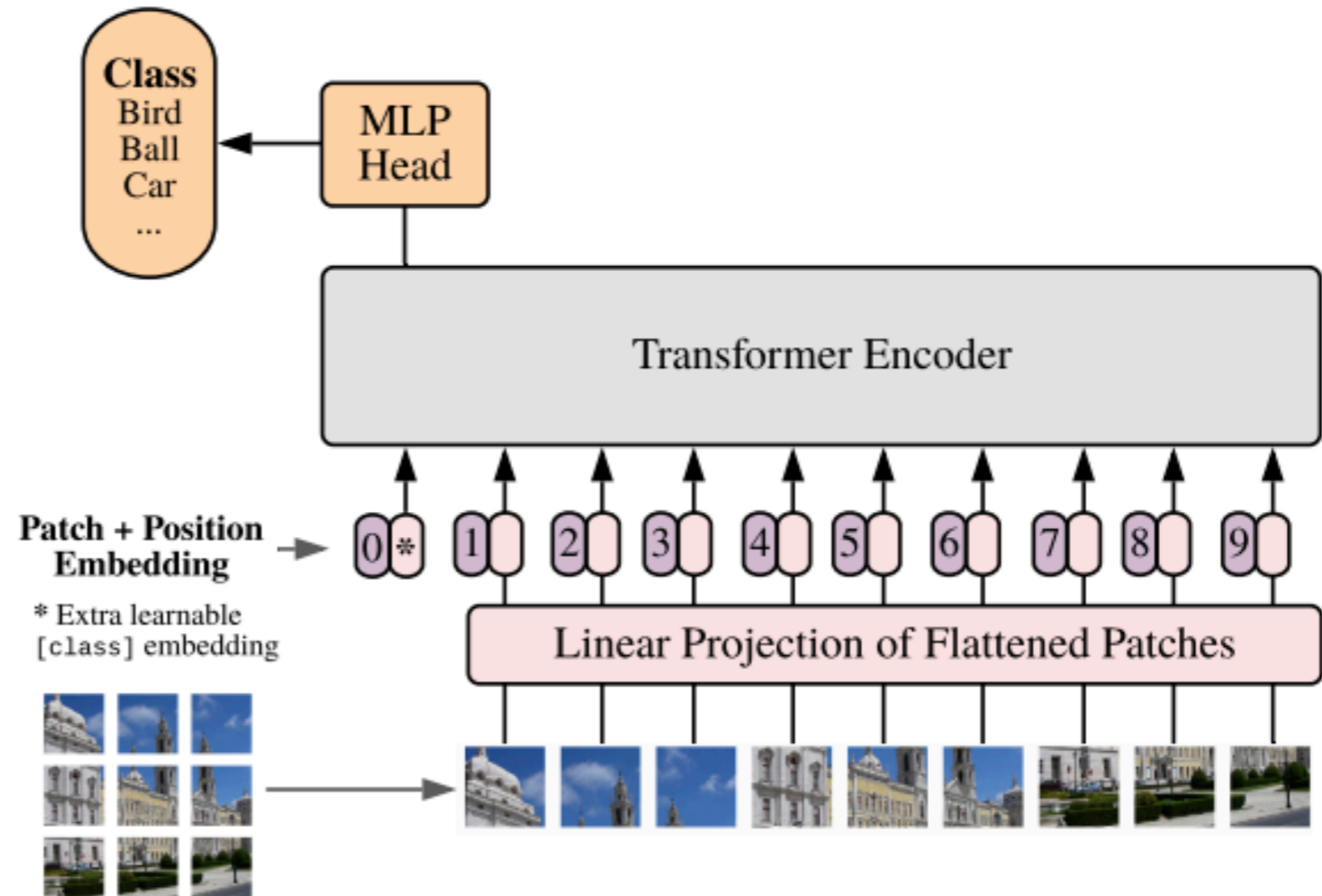
Post-AlexNet Developments

(2) Vision Transformers

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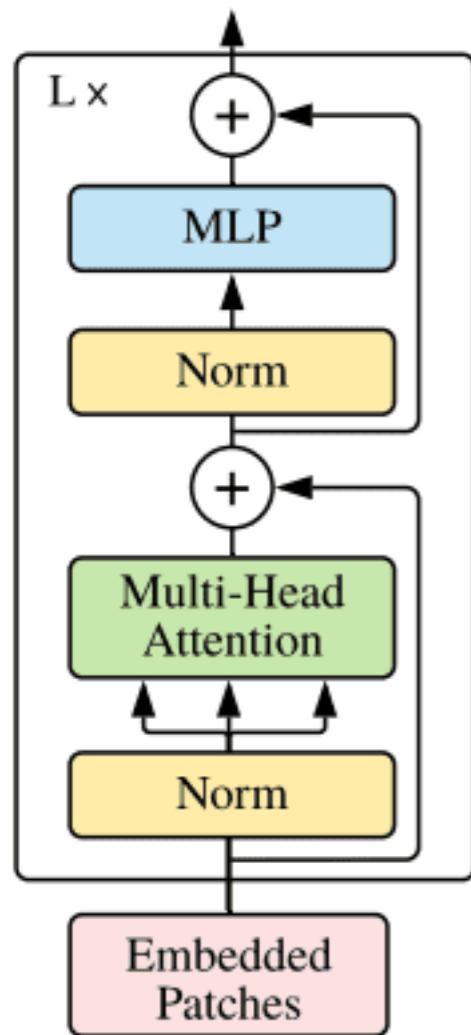
Vision Transformer (ViT)



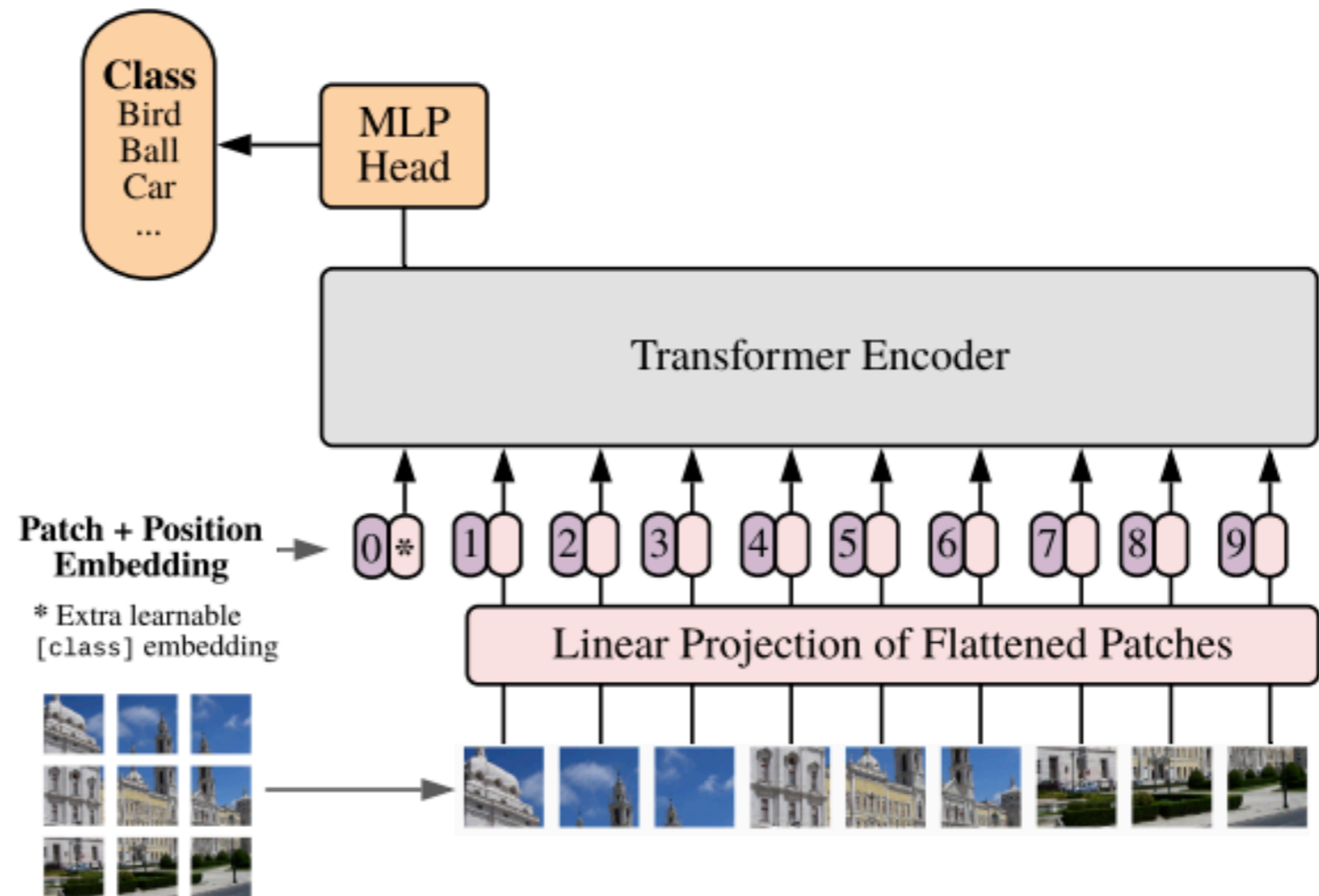
Post-AlexNet Developments

(2) Vision Transformers

Transformer Encoder



Vision Transformer (ViT)



NB: still hierarchical, still with residual connections, potential locality from patches . . .

Post-AlexNet Developments

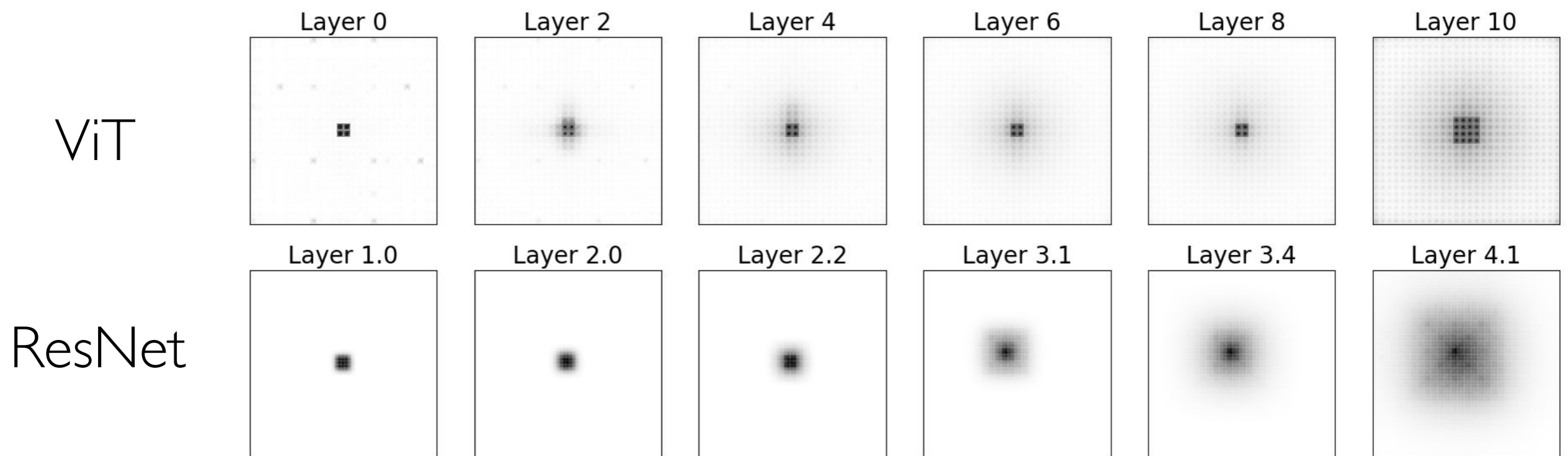
(2) Vision Transformers

Looking at receptive field analysis of ViTs vs ResNet:

Post-AlexNet Developments

(2) Vision Transformers

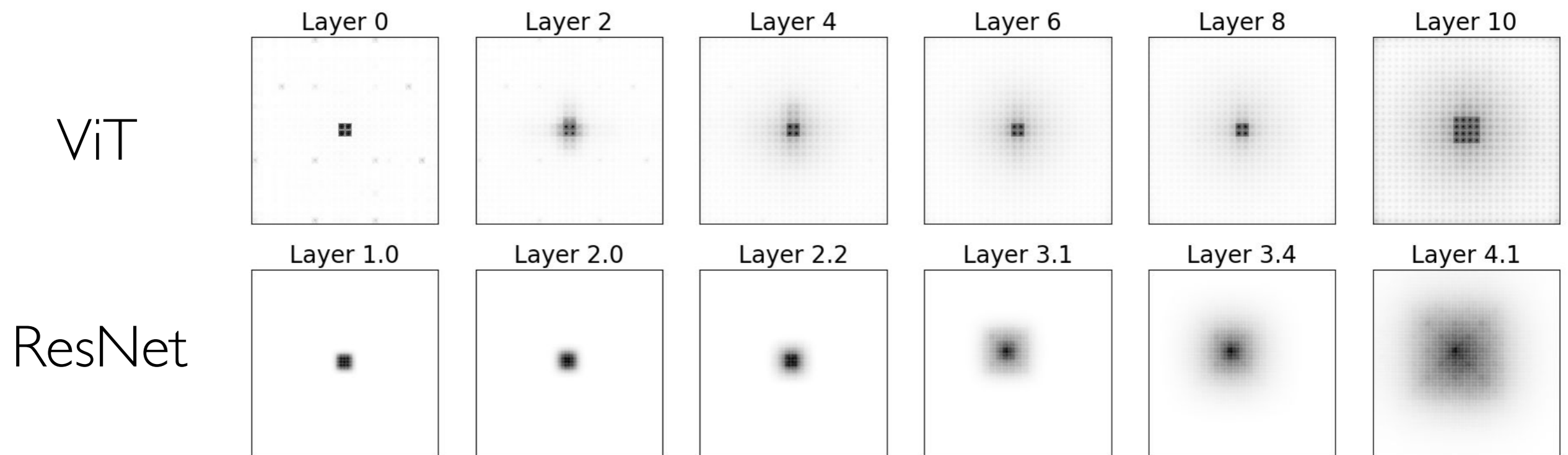
Looking at receptive field analysis of ViTs vs ResNet:



Post-AlexNet Developments

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Looking at receptive field analysis of ViTs vs ResNet:

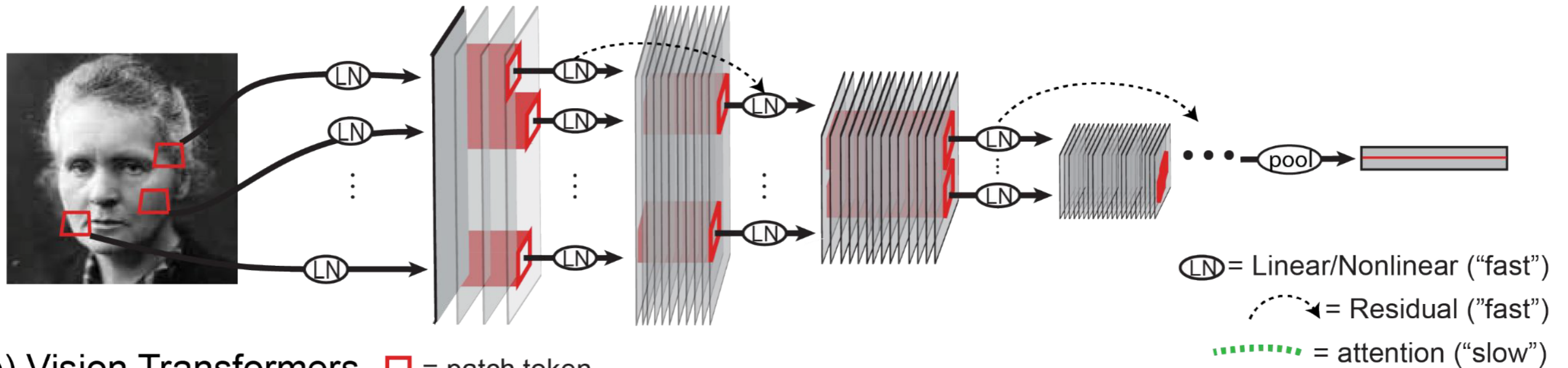


... we see learned ViT is mostly local, with increasing receptive field sizes.

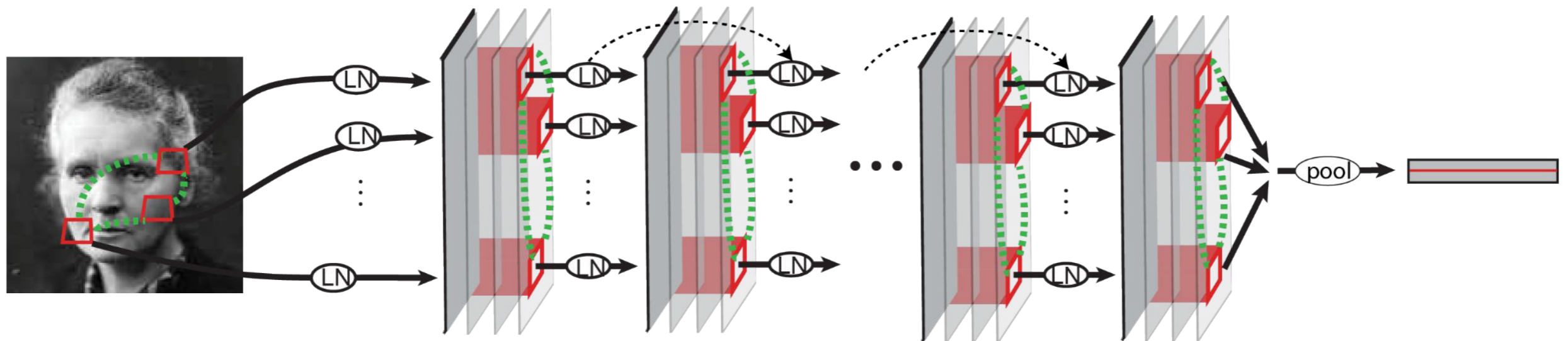
Post-AlexNet Developments

(2) Vision Transformers

a) Convolutional Neural Networks □ = local kernel



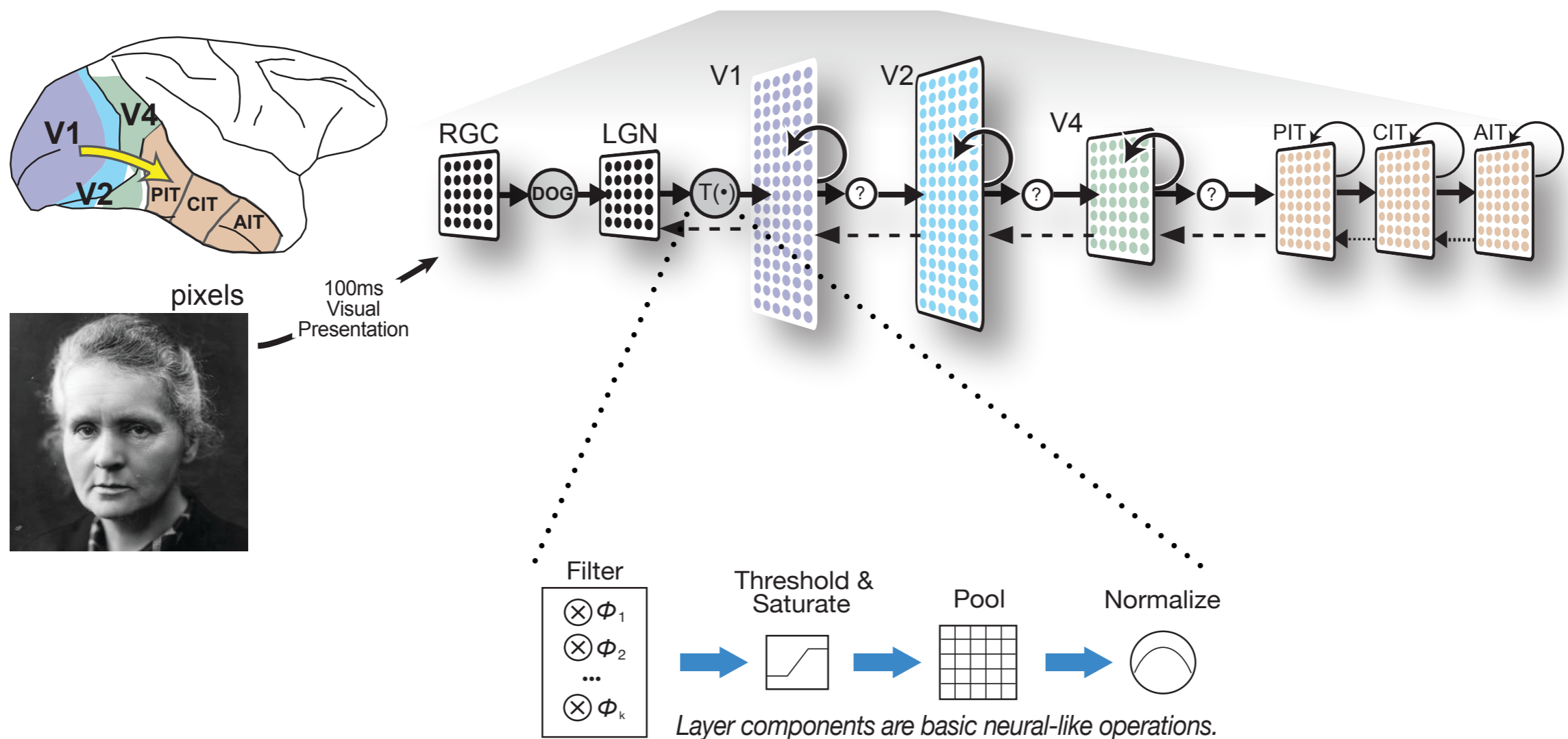
b) Vision Transformers □ = patch token



ViT is a bit like a CNN with sparse global connections.

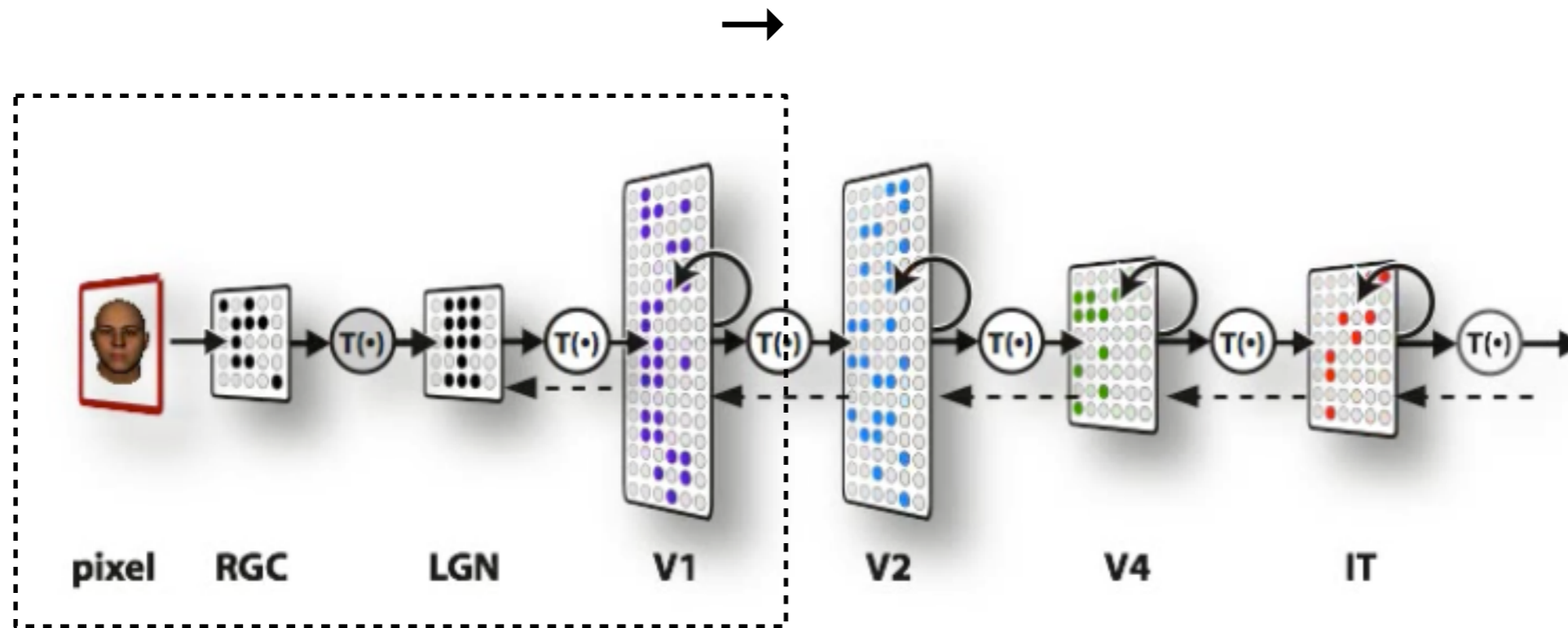
Principles of Visual Architecture

- (1) Hierarchical (2) Mostly local (3) Rectification-like nonlinearity
- (4) Some residual connections (5) Normalization



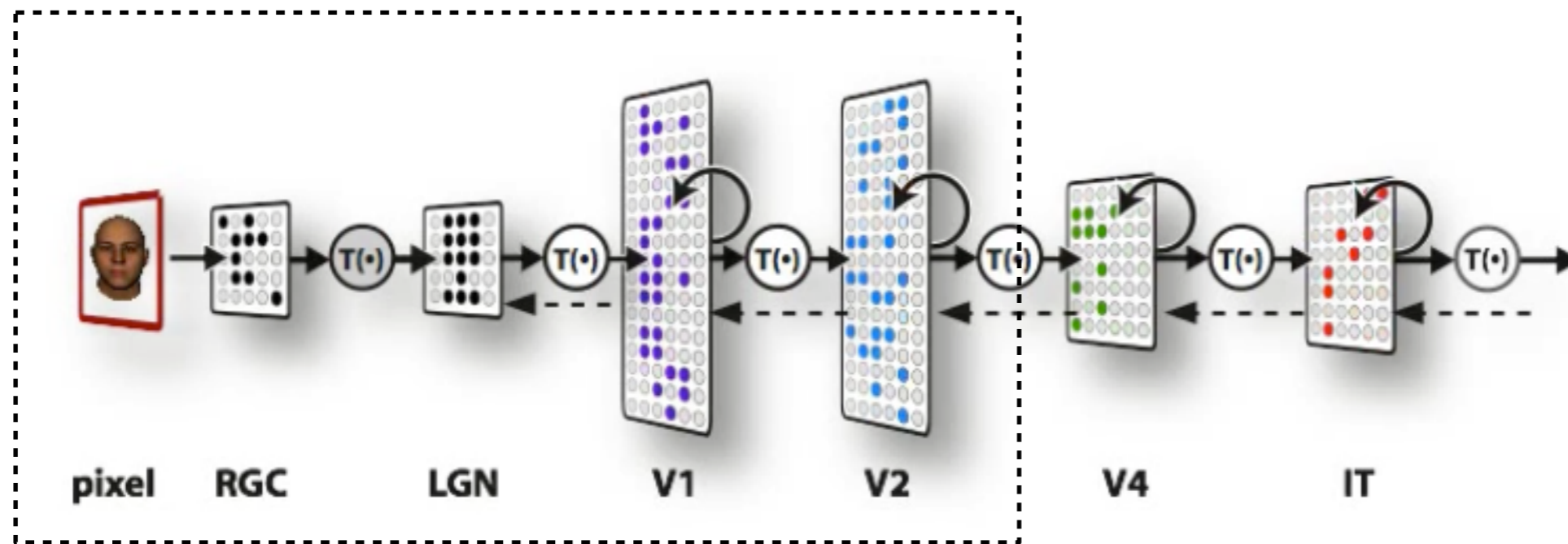
Behavioral “Top-Down” constraints

Complement standard “from below” approach ...



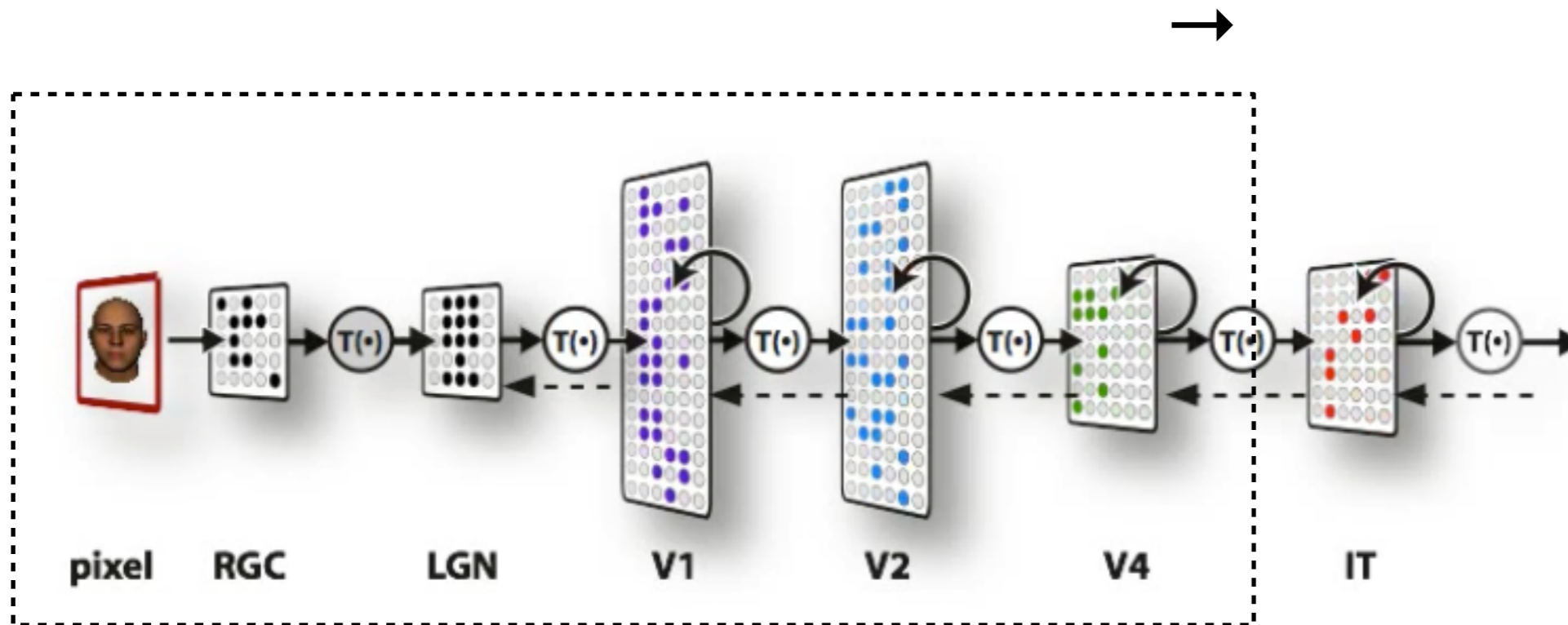
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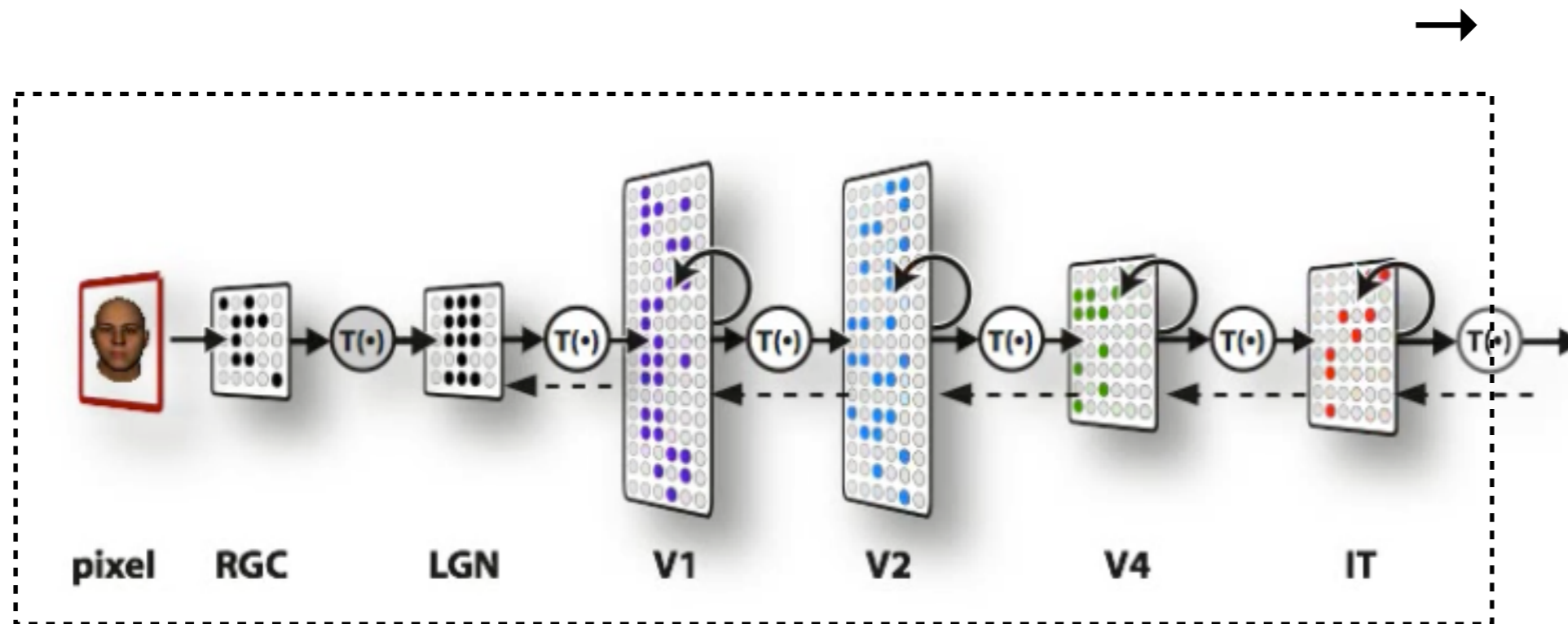
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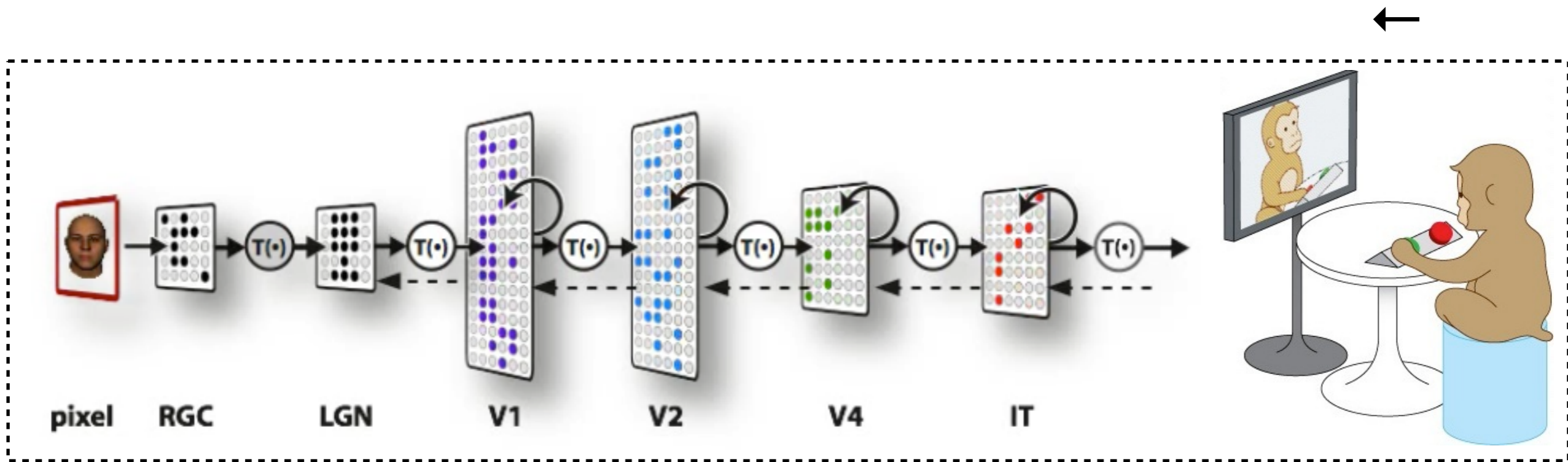
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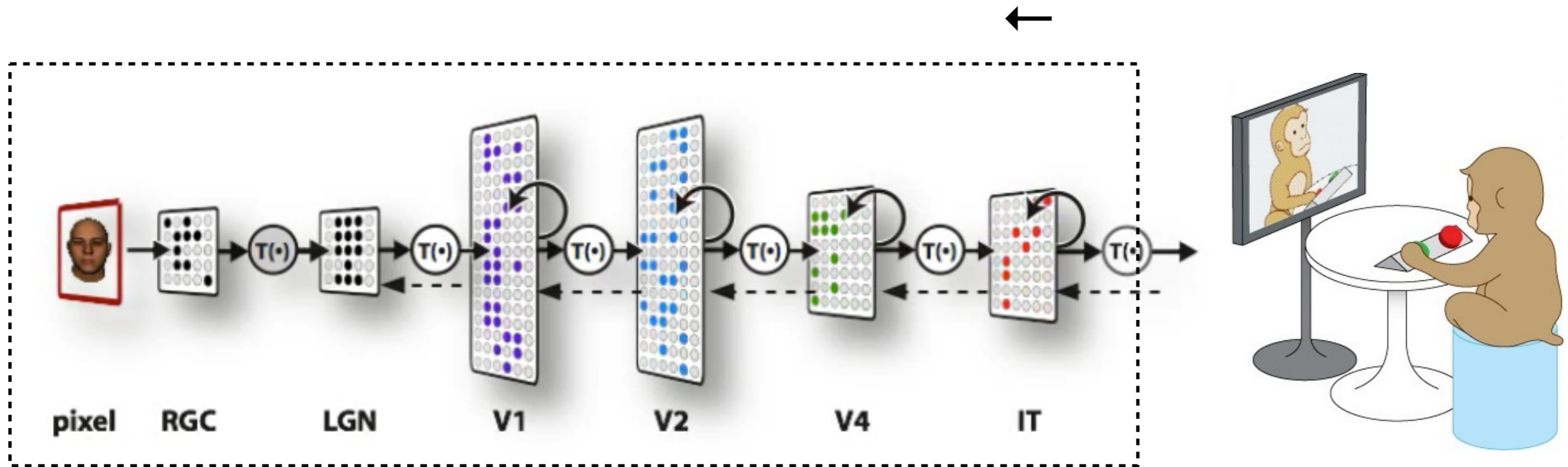
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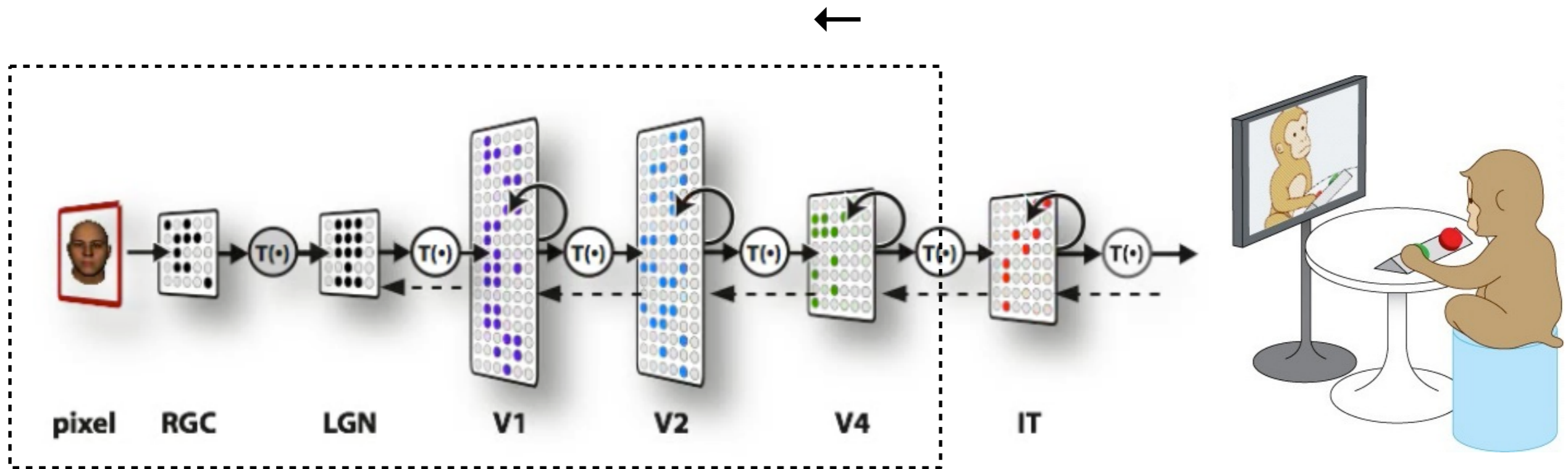
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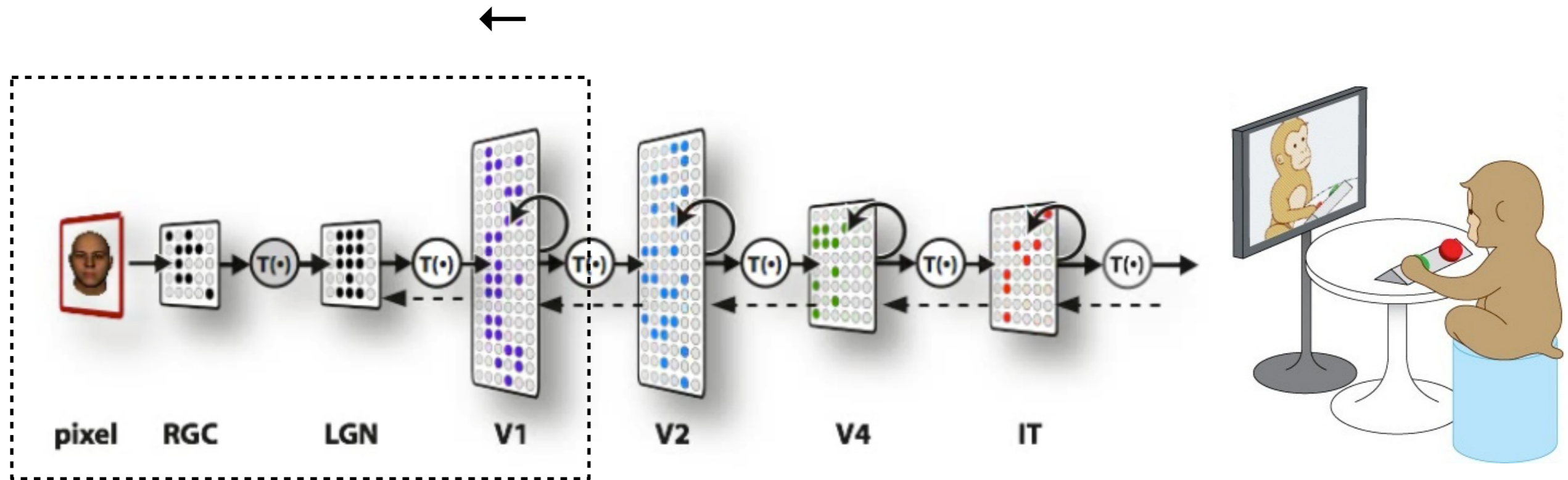
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Behavioral “Top-Down” constraints

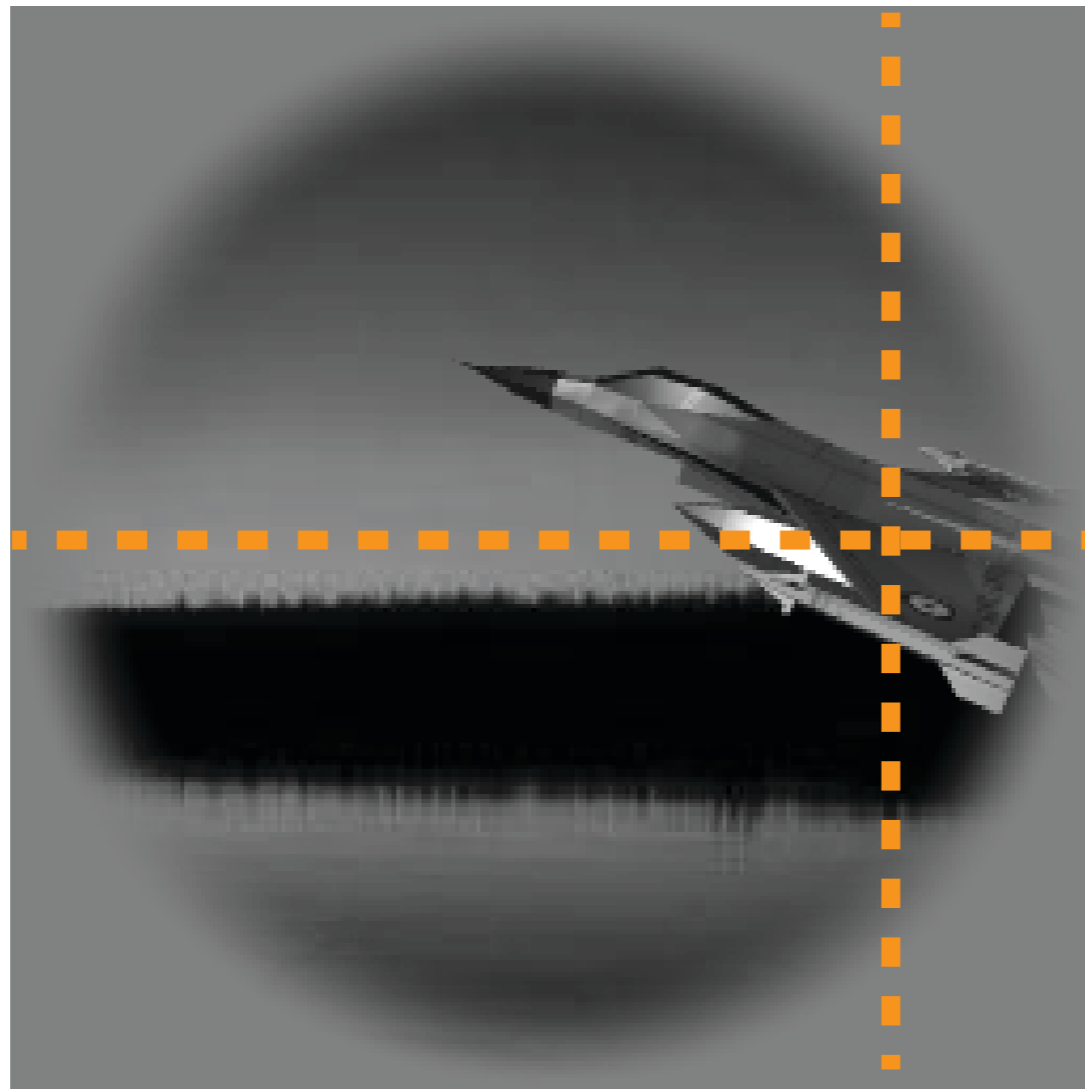
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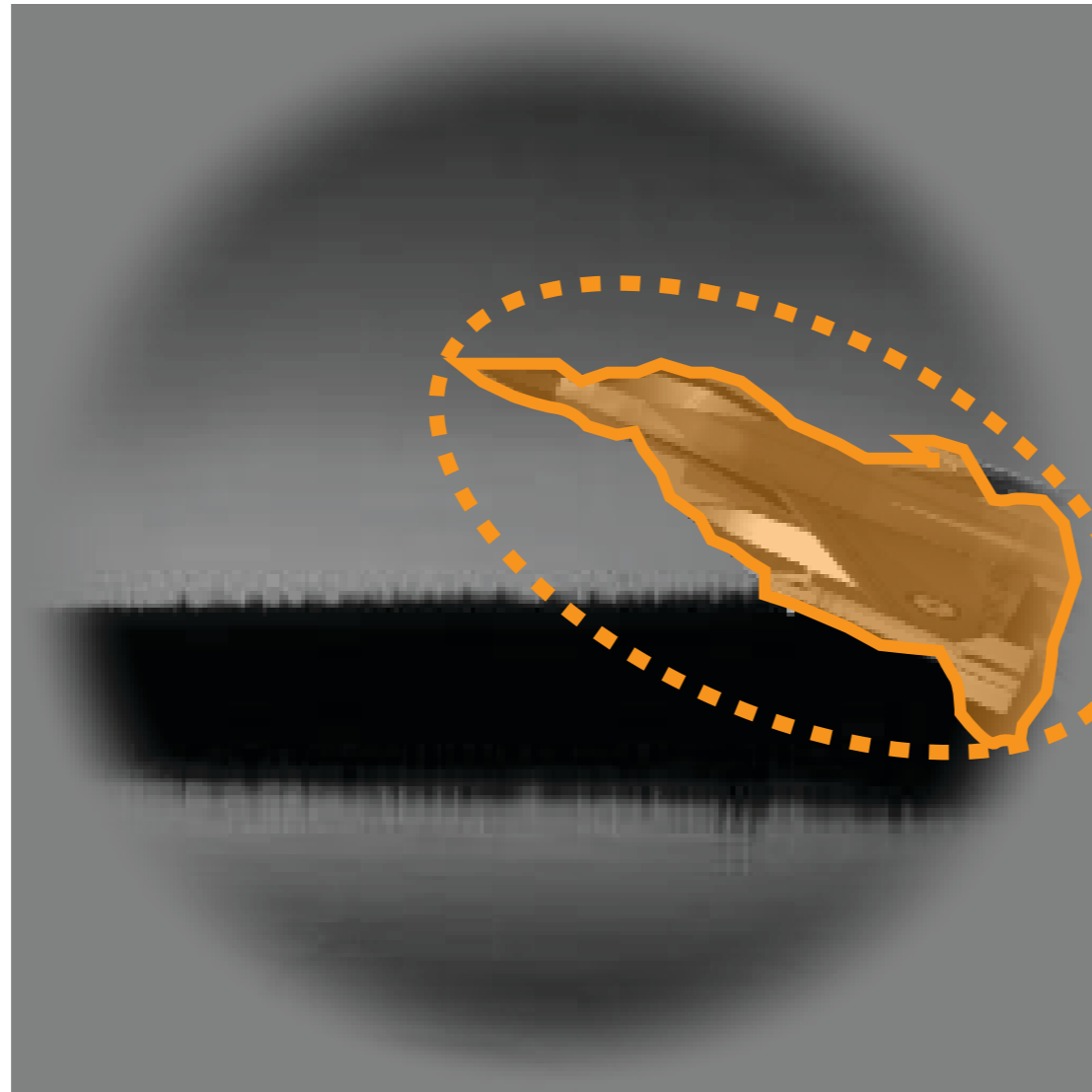
Category

Identity

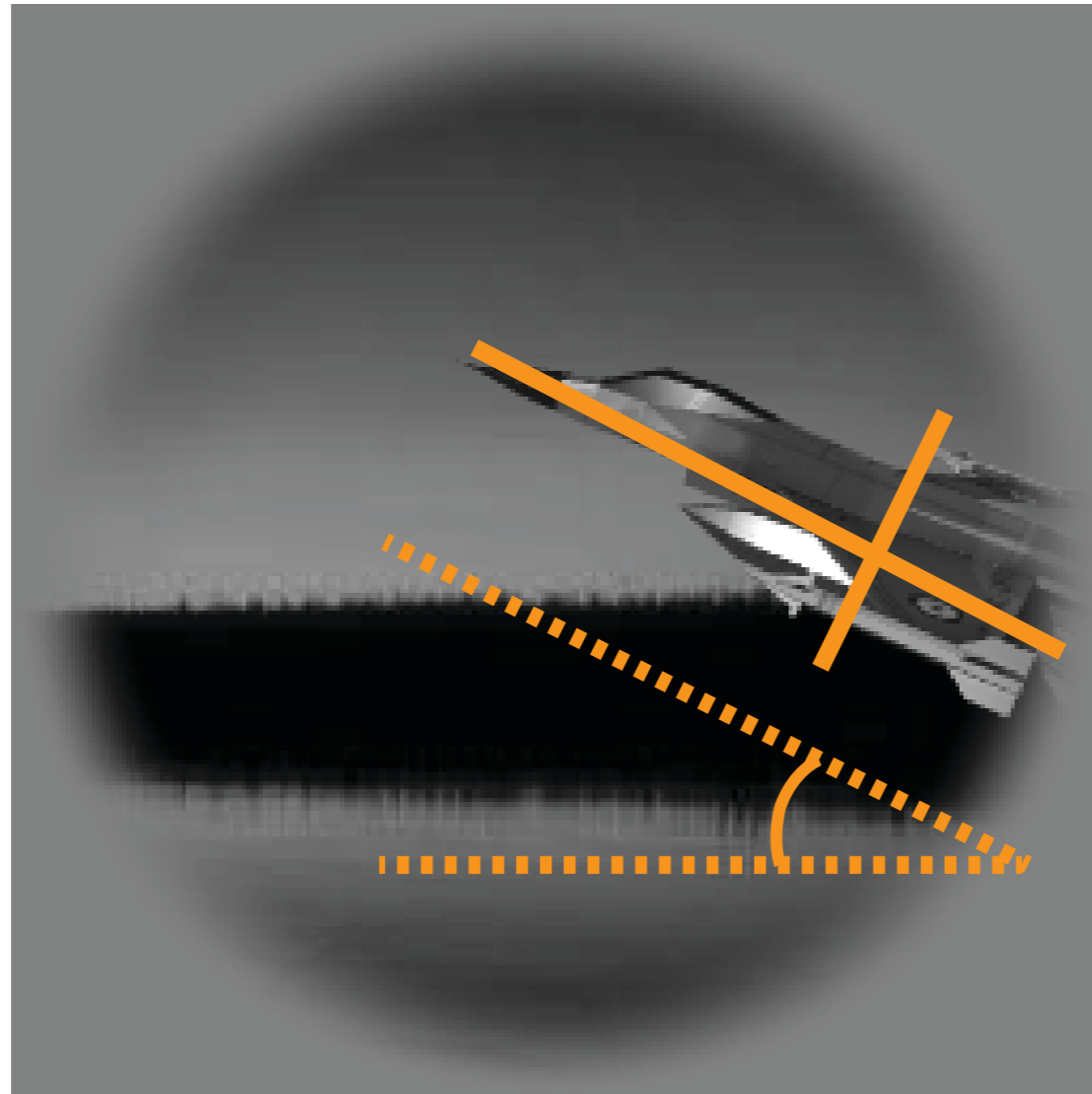


Position

Beyond categorization



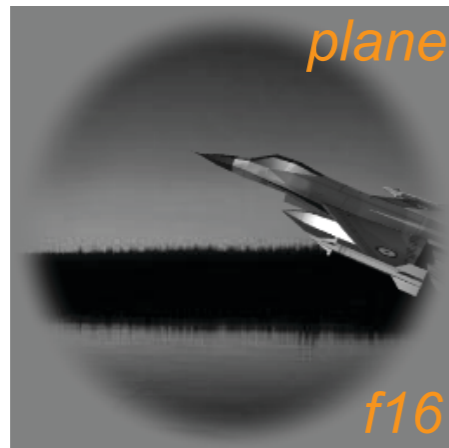
Size



*Aspect Ratio
and Angle*

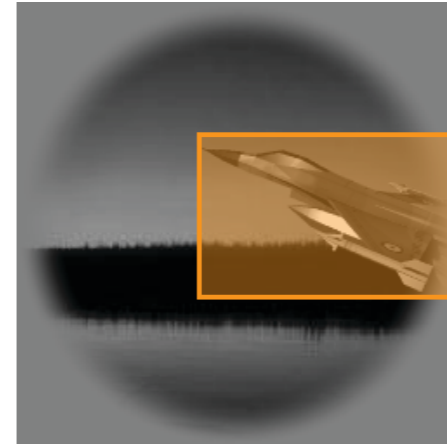
Beyond categorization

We can quickly assess the scene as a whole.

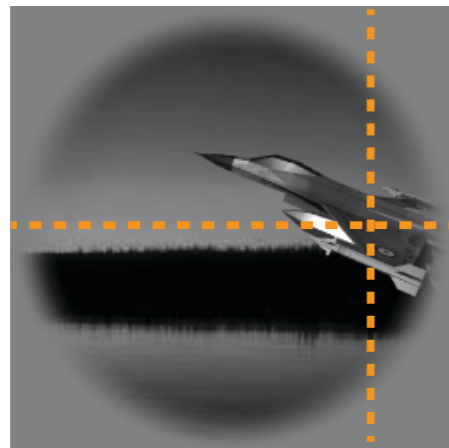


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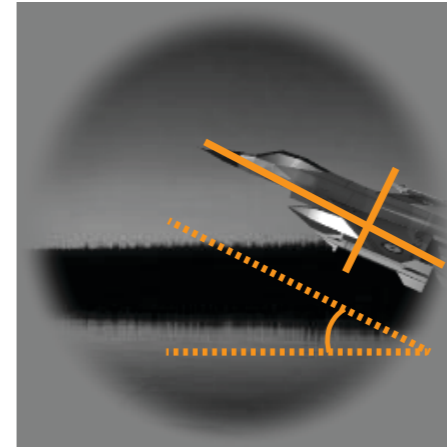
Identity



Bounding Box



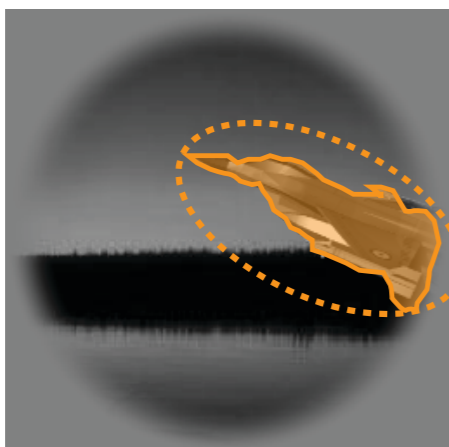
X and Y Axis
Position



Aspect Ratio

Major Axis Length

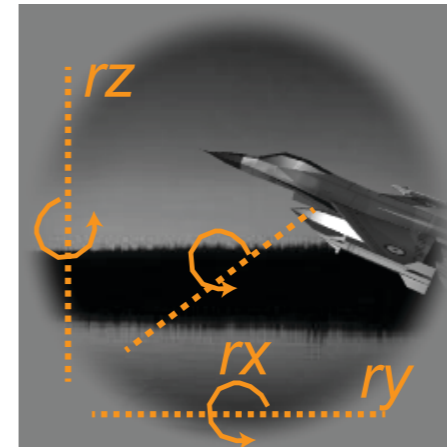
Major Axis Angle



Perimeter

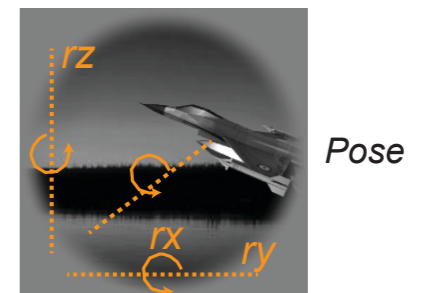
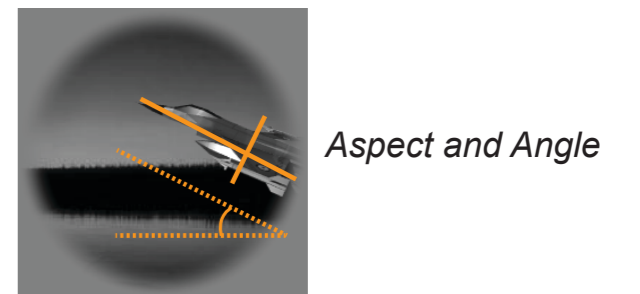
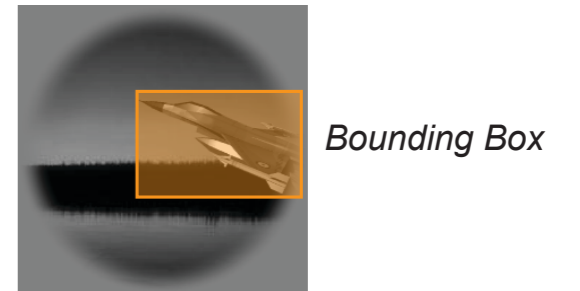
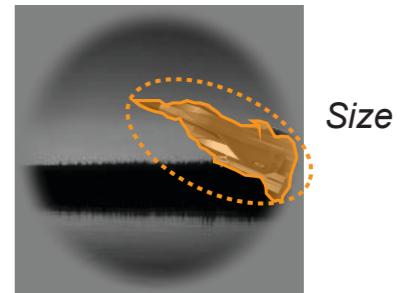
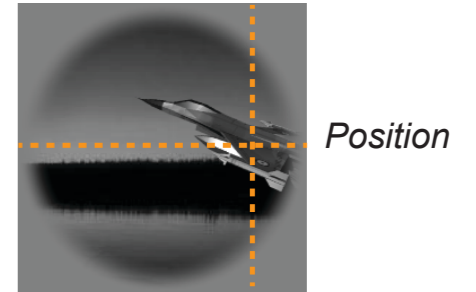
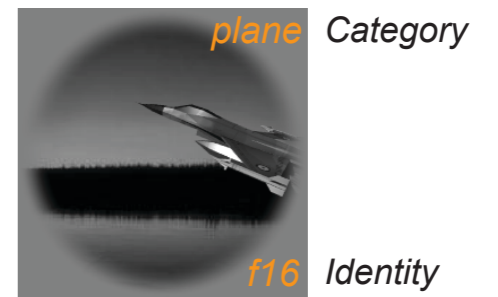
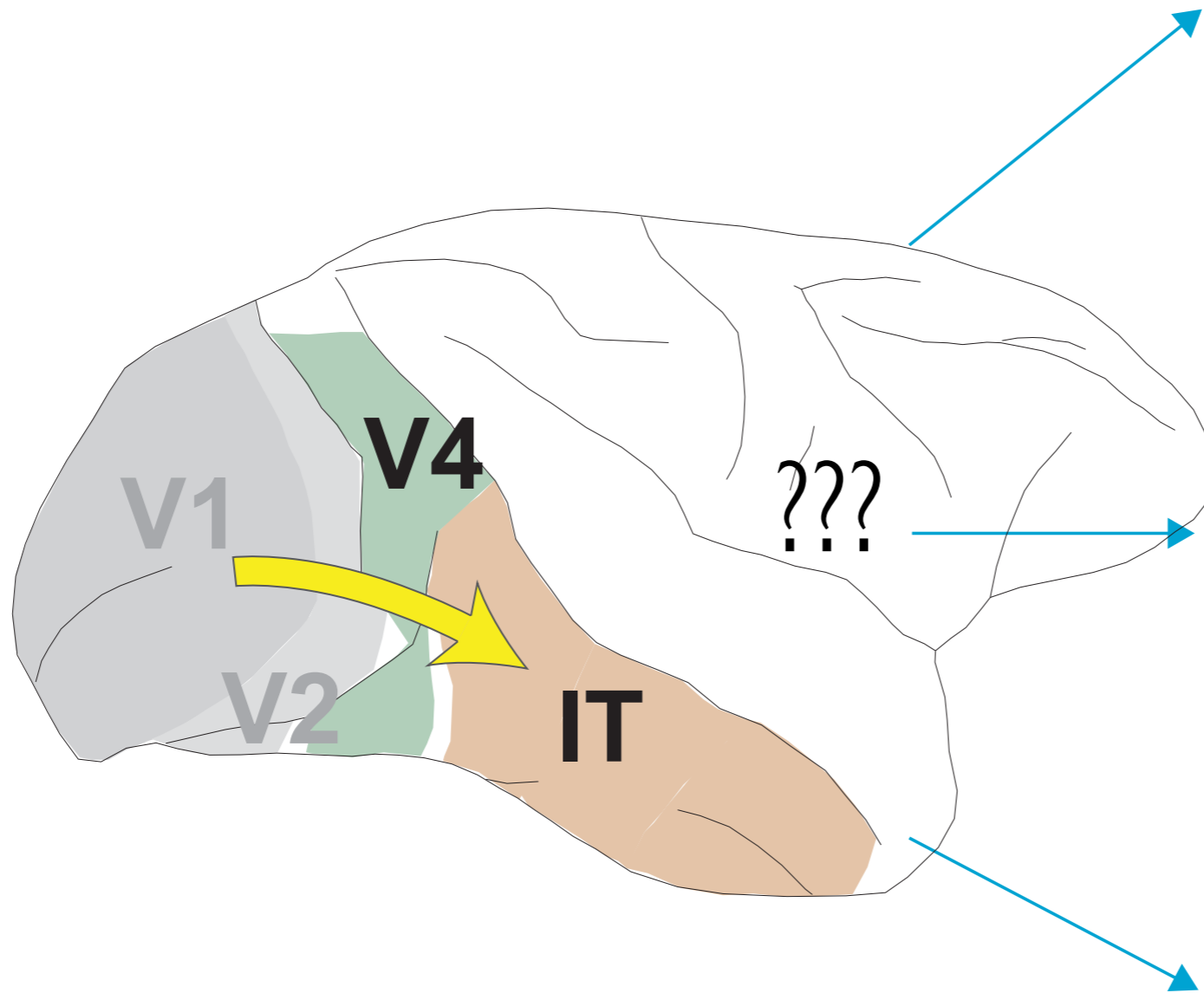
2-D Retinal Area

3-D Object Scale



Pose in
each axis

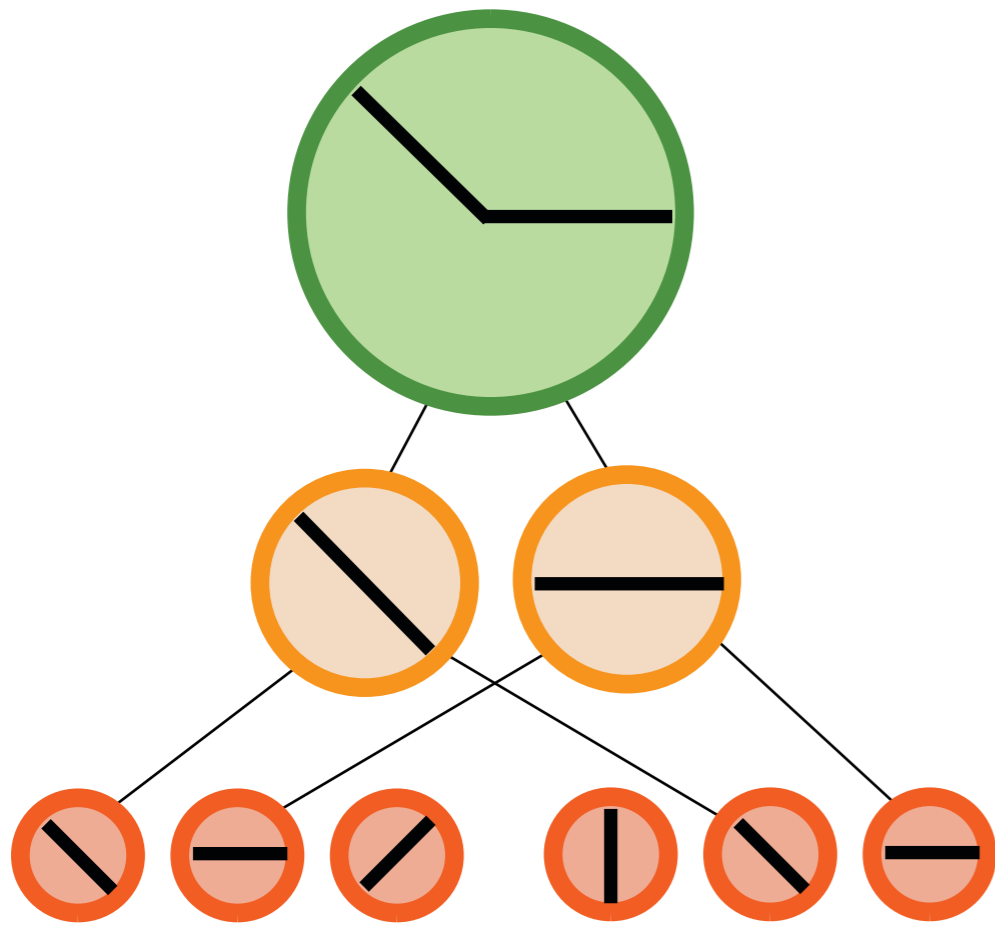
Where and how are all these properties coded neurally?



Beyond categorization

“Standard word model” predicts: **not at the top of the ventral stream.**

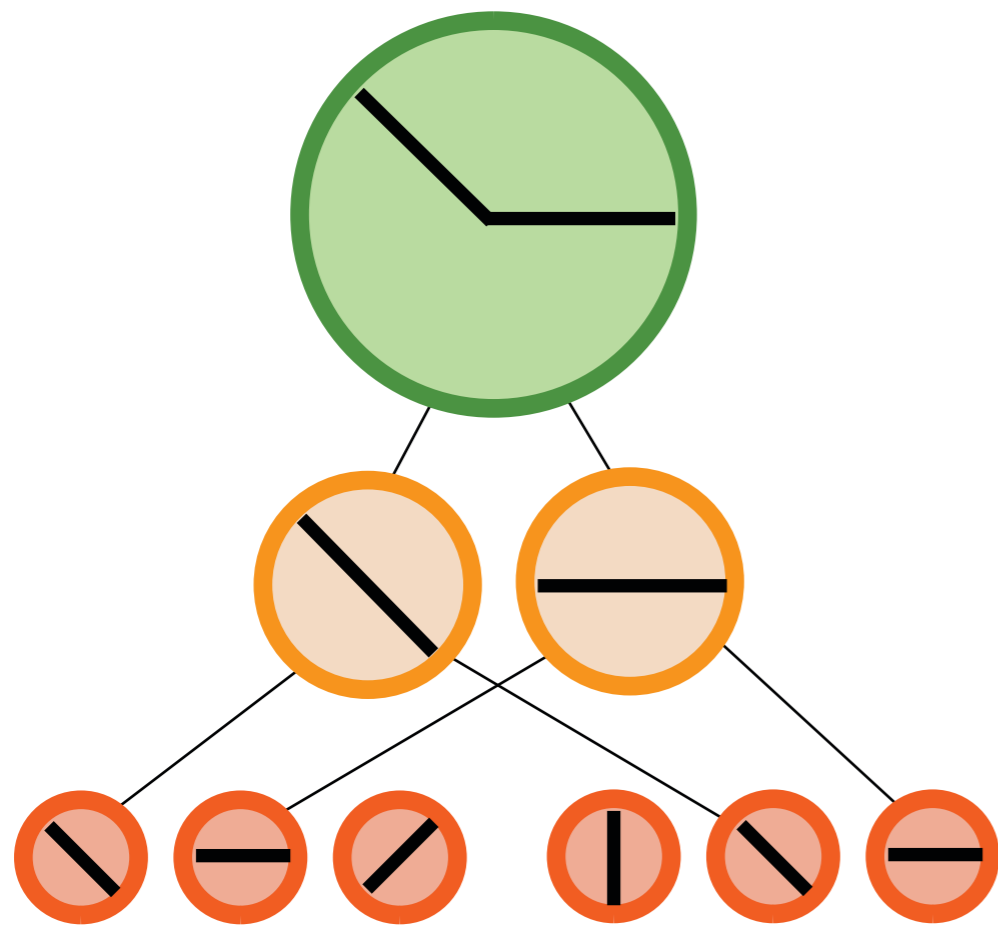
Aggregation over identity-preserving transformations, e.g. translation.



Beyond categorization

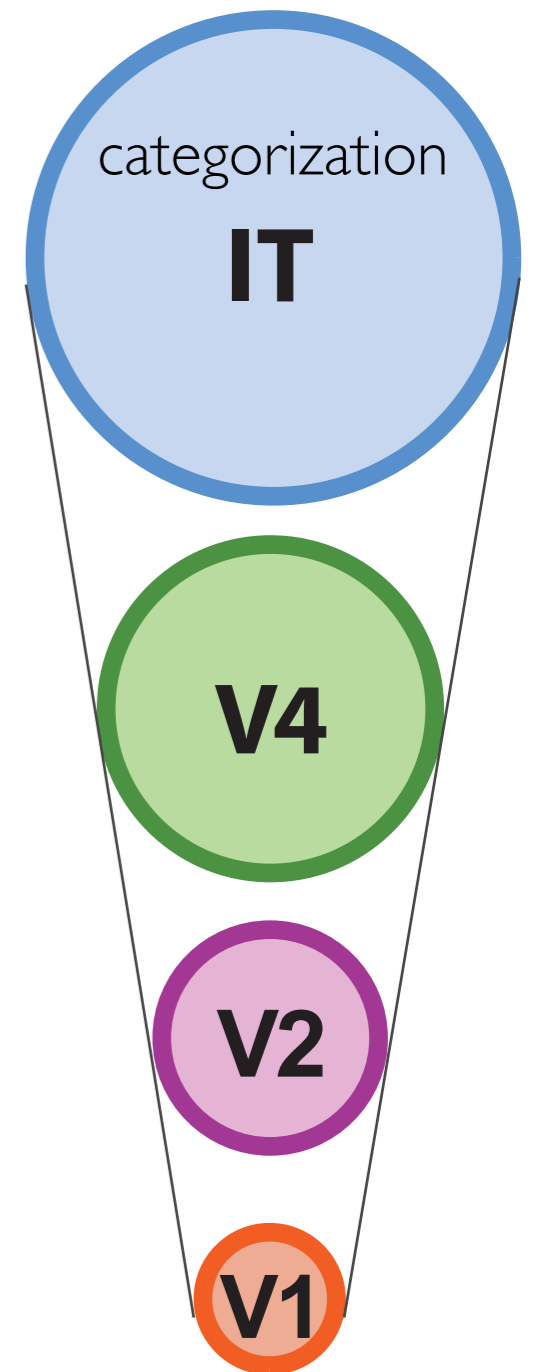
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Receptive Field Size ↑

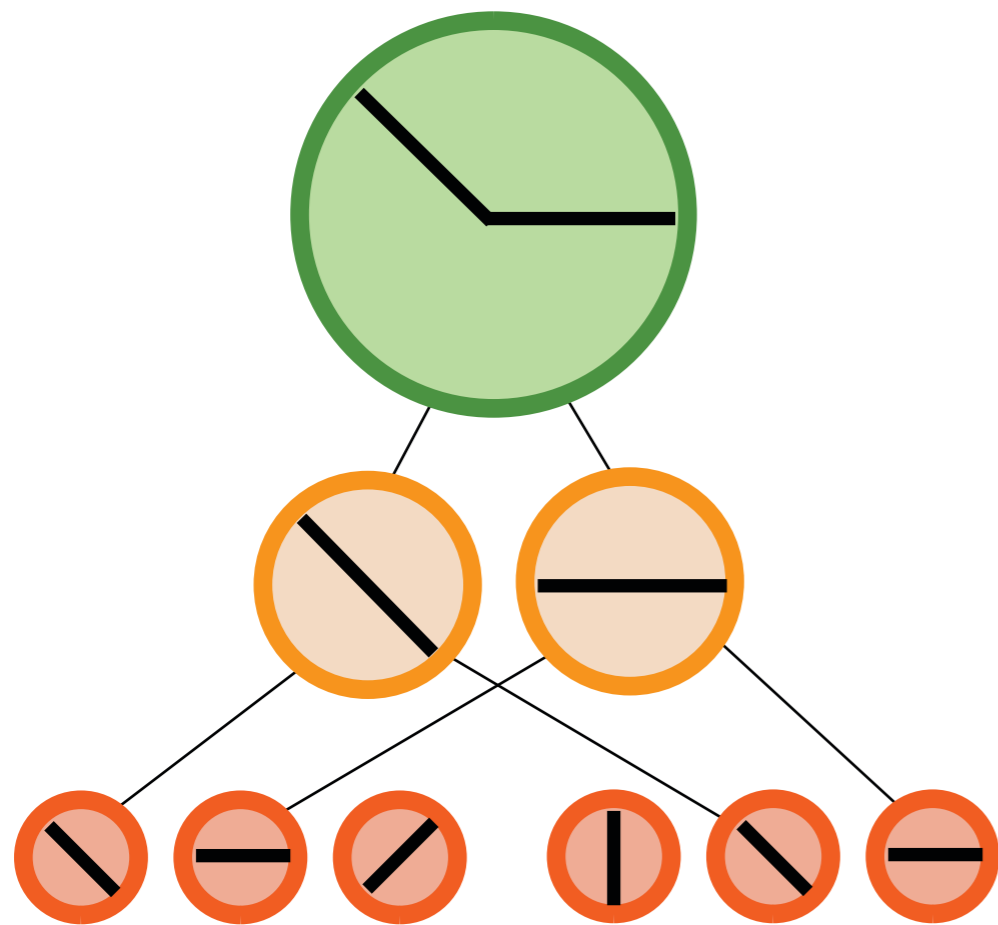
Category Invariance ↑



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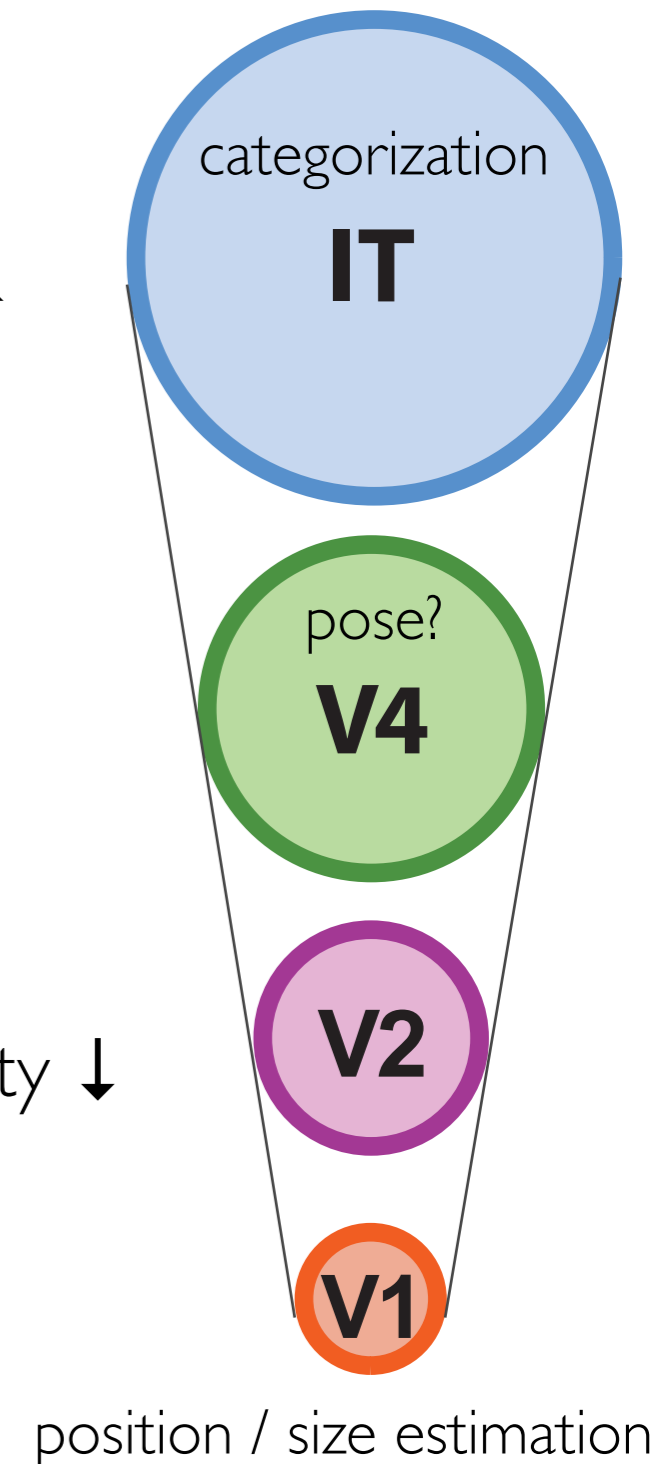
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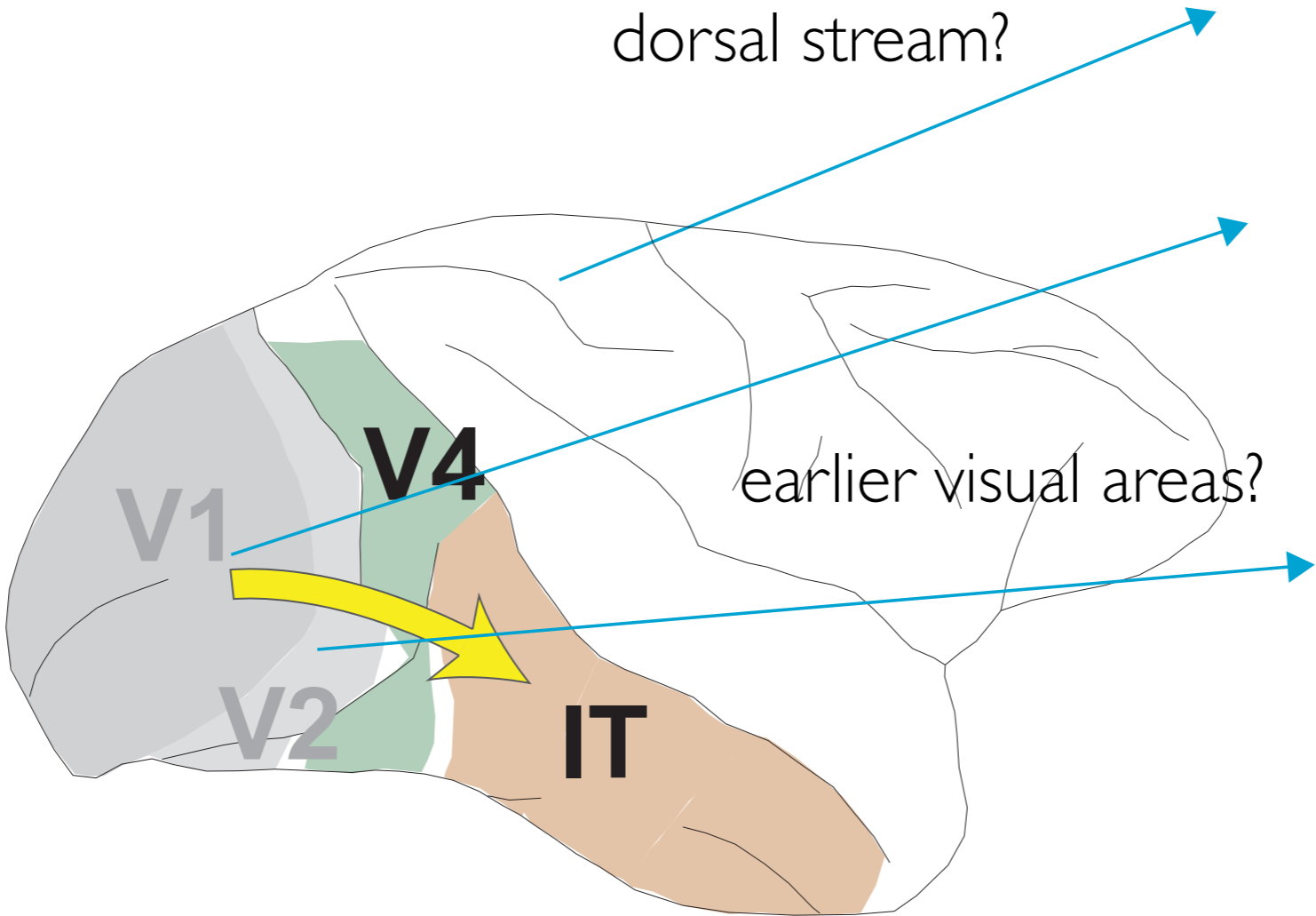
Receptive Field Size \uparrow

Category Invariance \uparrow

(e.g.) Position Sensitivity \downarrow

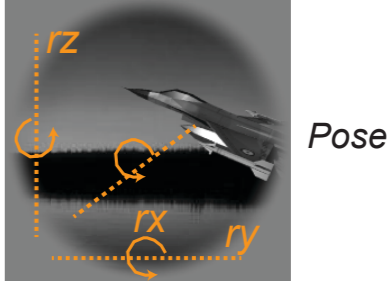
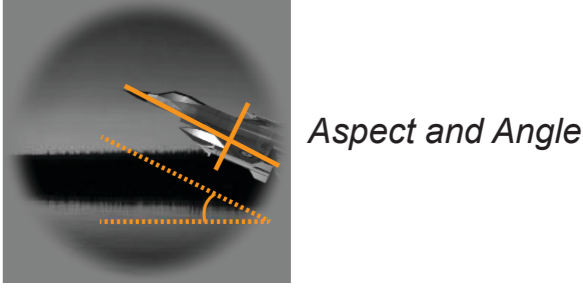
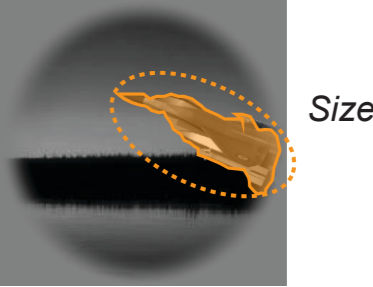
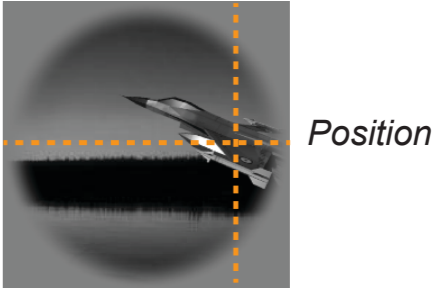
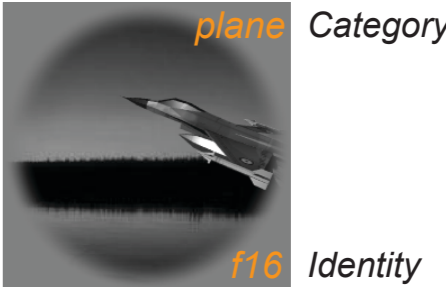


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dorsal stream?

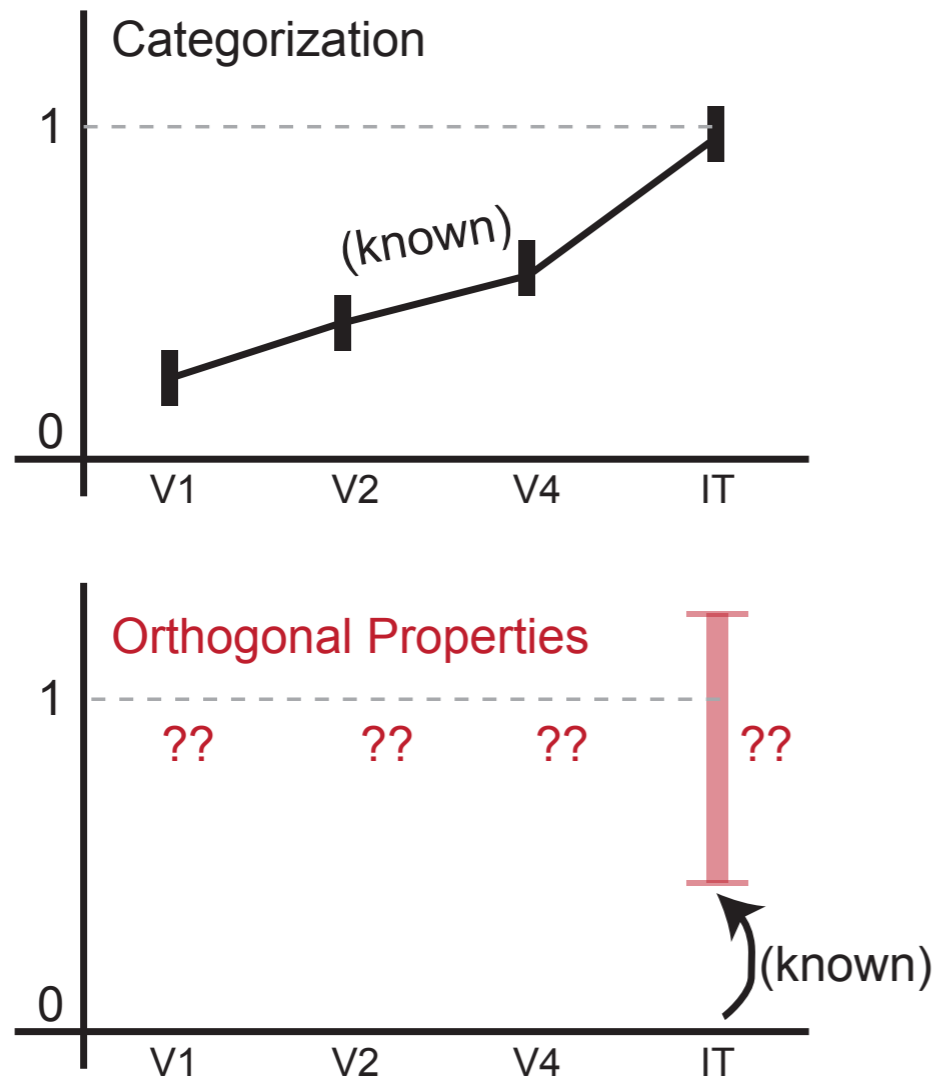
earlier visual areas?



Somewhat newish ideas about IT?

Population Decode Performance
(relative to human performance)

State of knowledge
from previous studies . . .

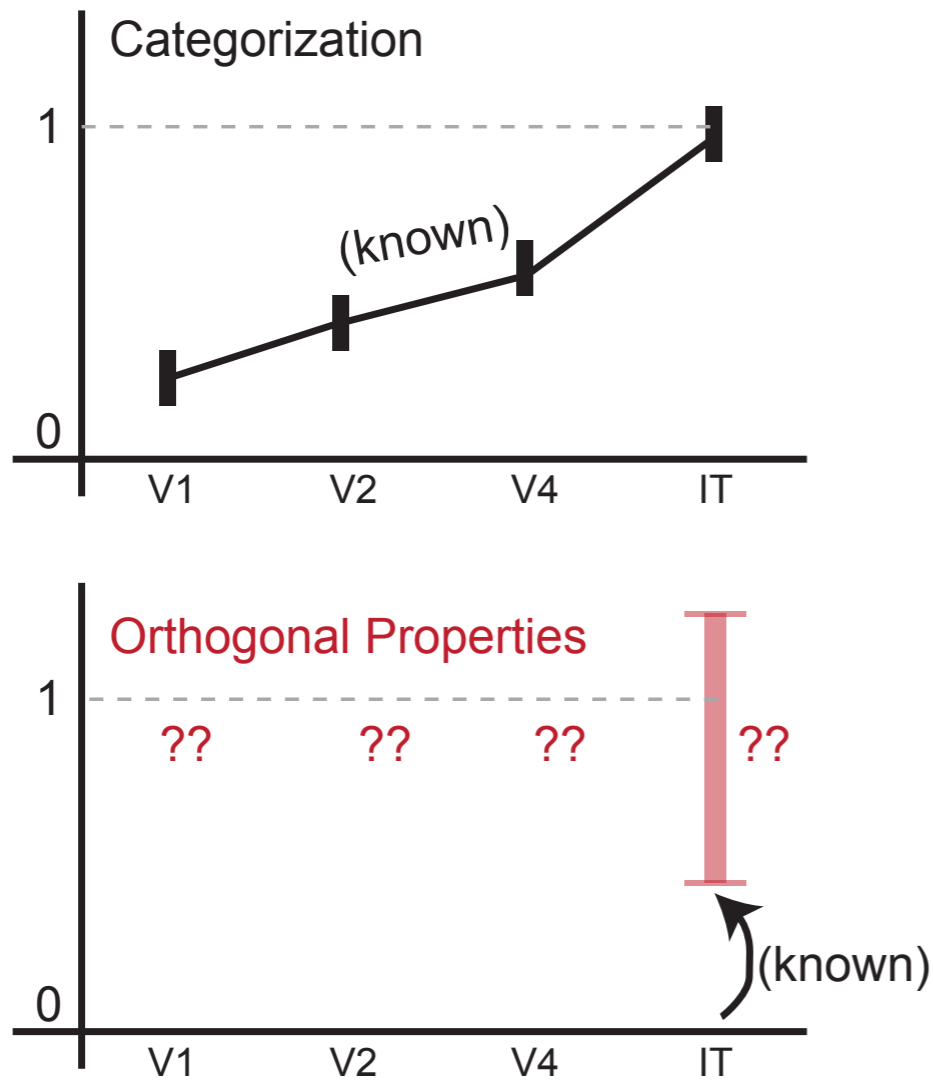


Depth Along Ventral Stream
(increasing receptive field size →)

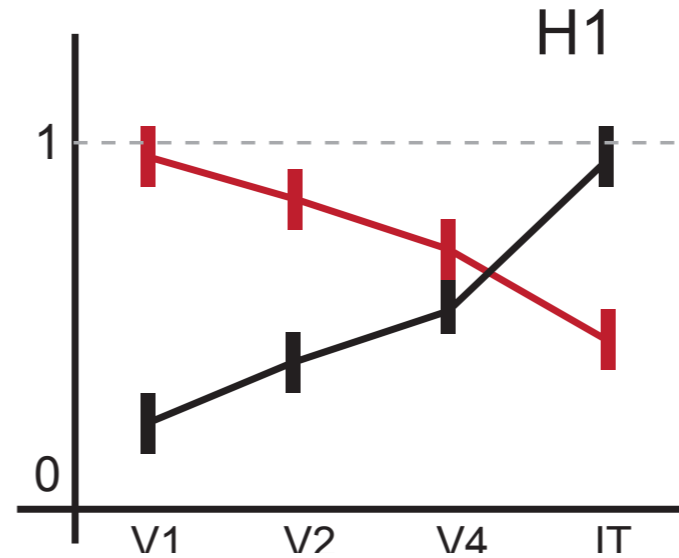
Somewhat newish ideas about IT?

Population Decode Performance
(relative to human performance)

State of knowledge from previous studies . . .

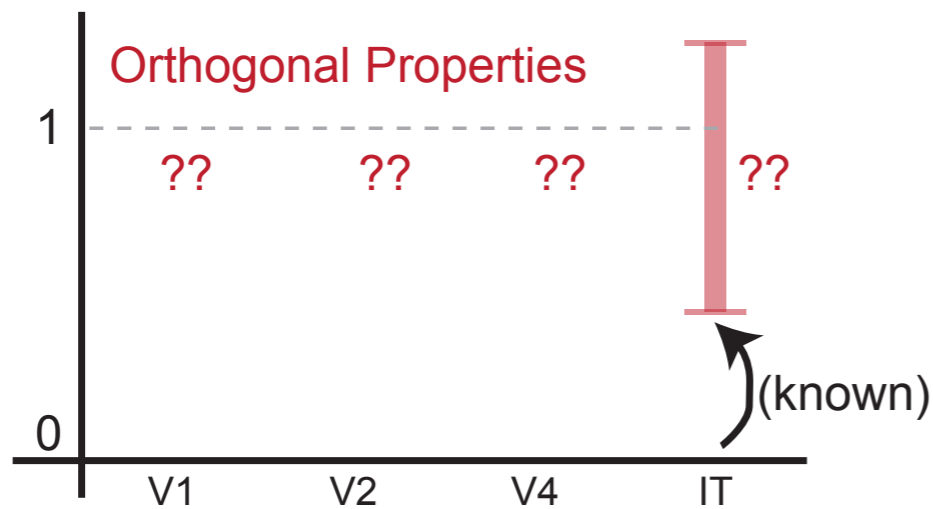


Multiple hypotheses consistent with the existing data . . .



H1: Tolerance / sensitivity tradeoff?

Orthogonal Properties

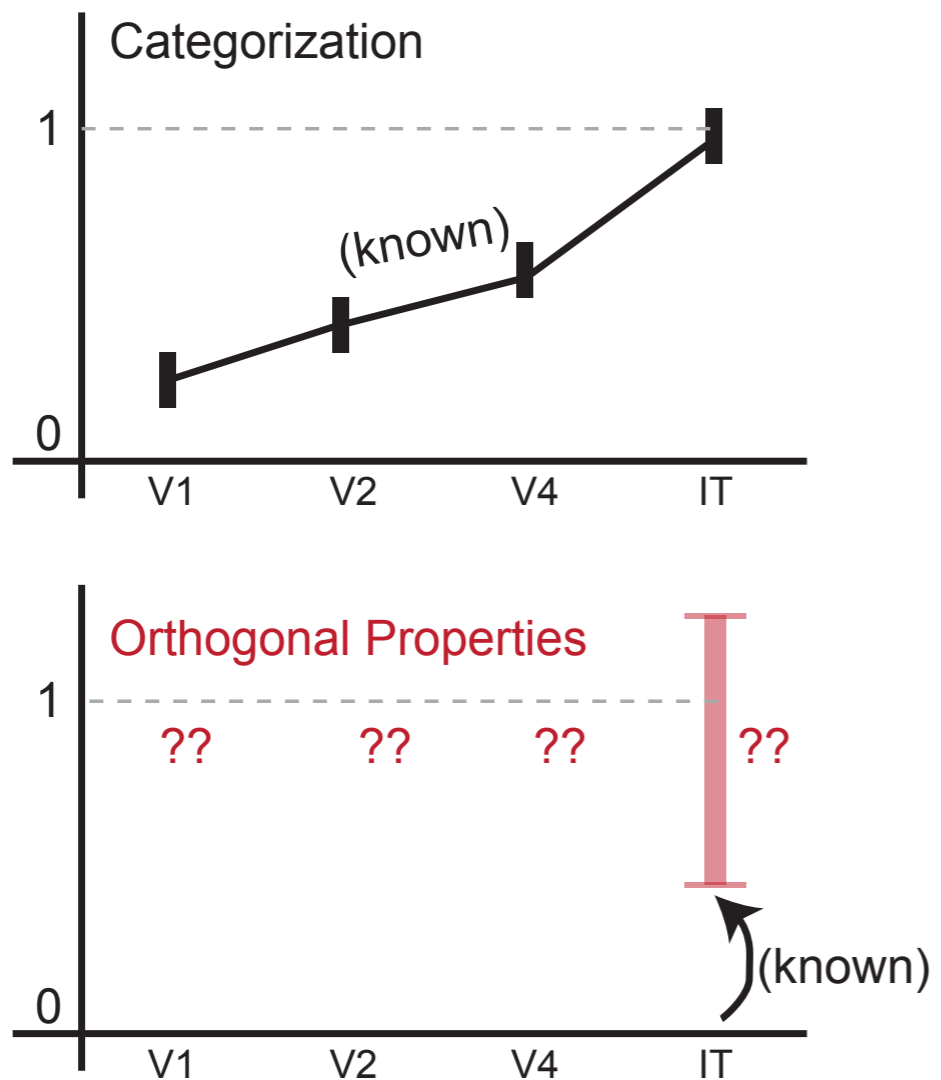


Depth Along Ventral Stream
(increasing receptive field size →)

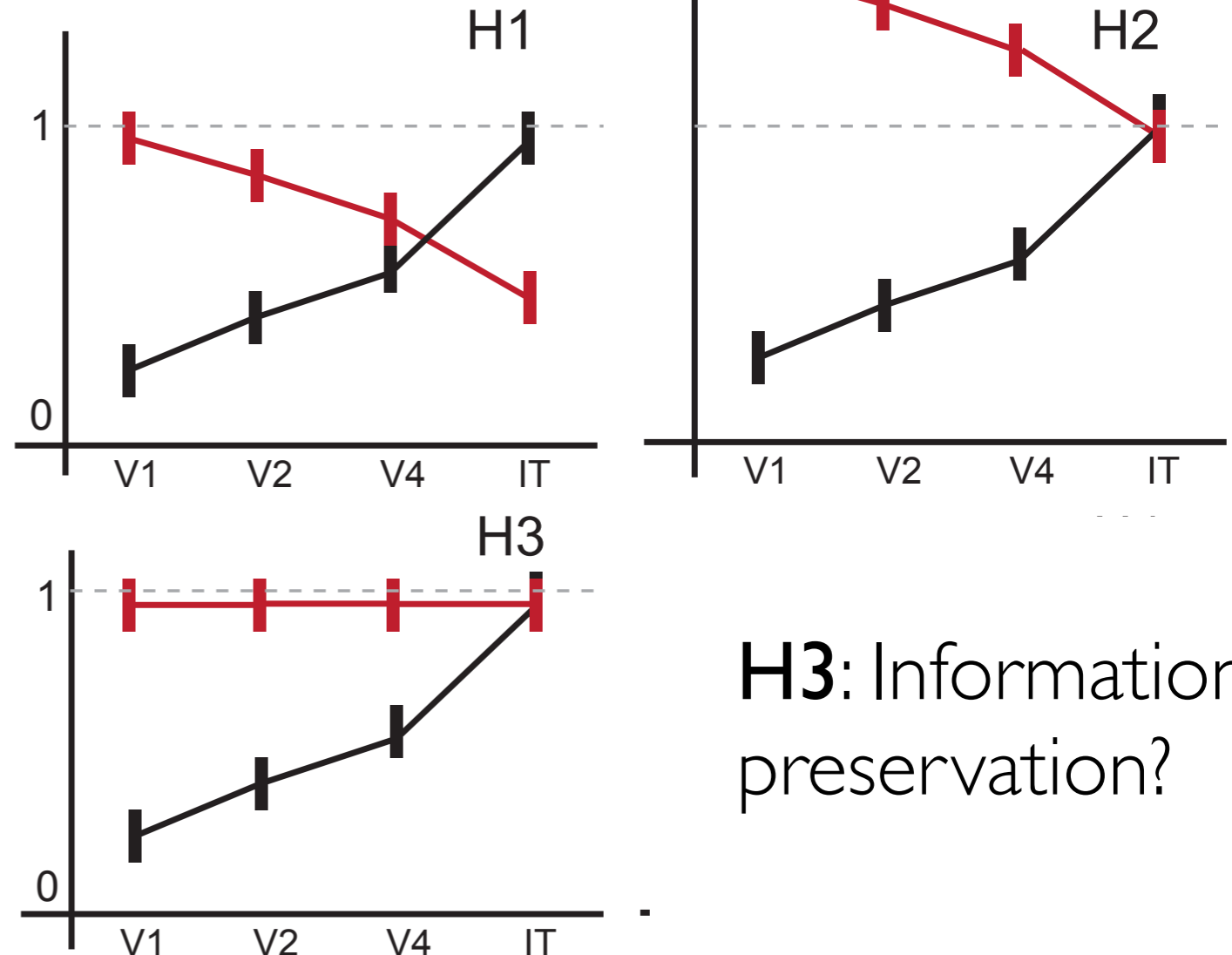
Somewhat newish ideas about IT?

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Multiple hypotheses consistent with the existing data . . .



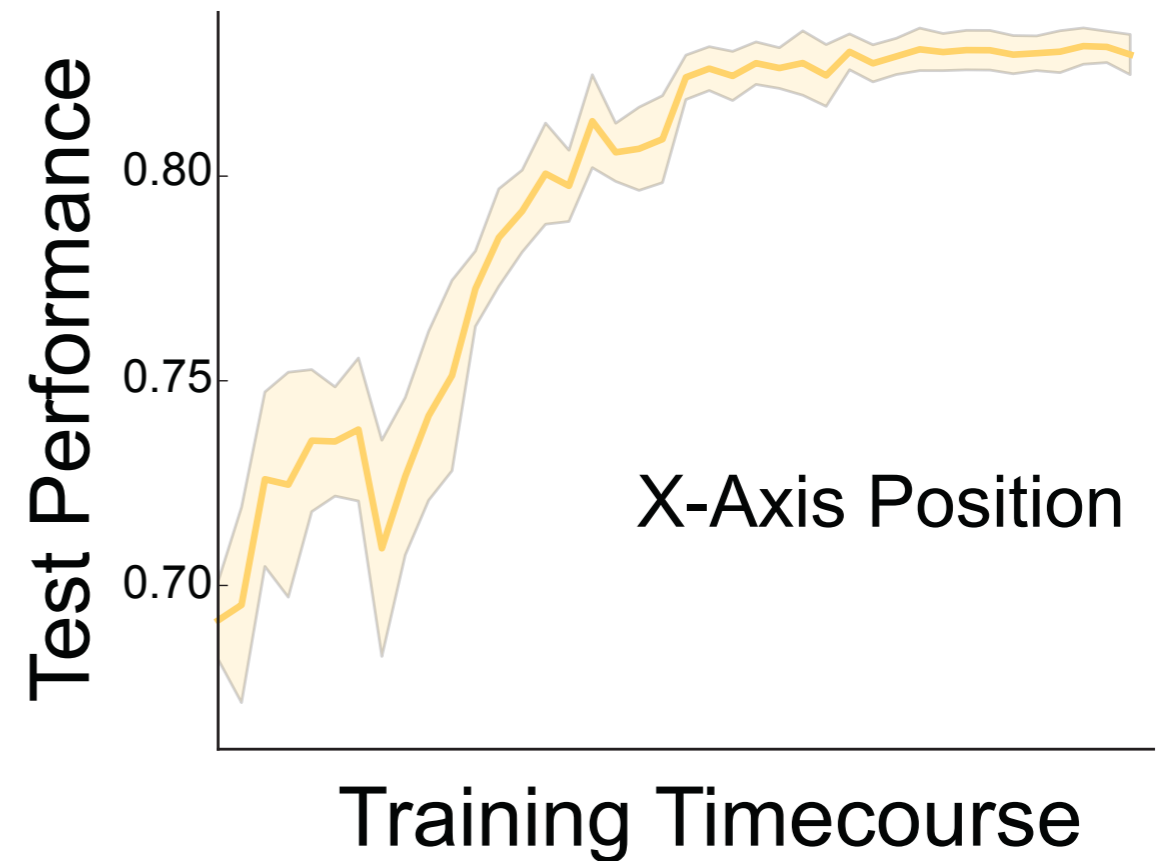
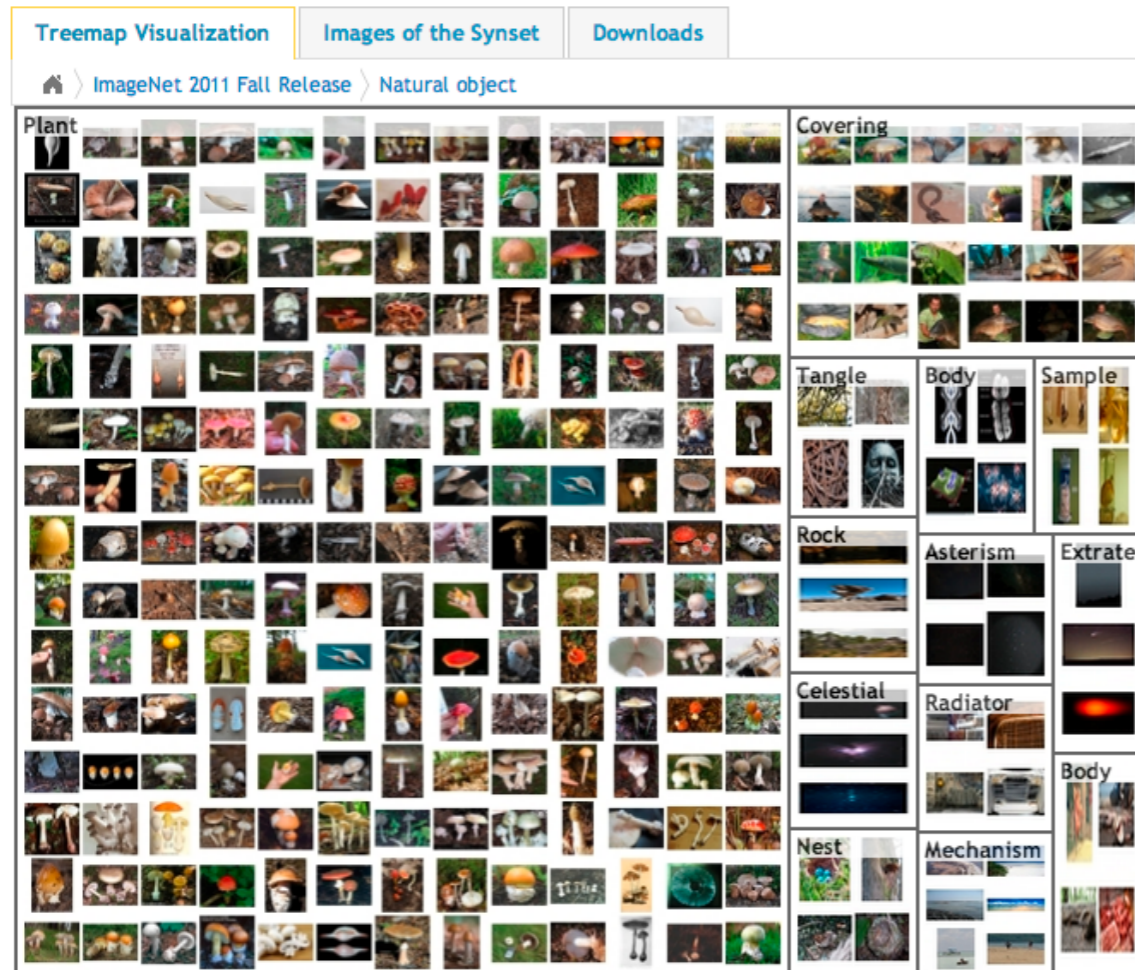
H3: Information preservation?

Depth Along Ventral Stream
(increasing receptive field size →)

Beyond categorization

Unexpected observation:

Hong*, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)



Training on
categorization task

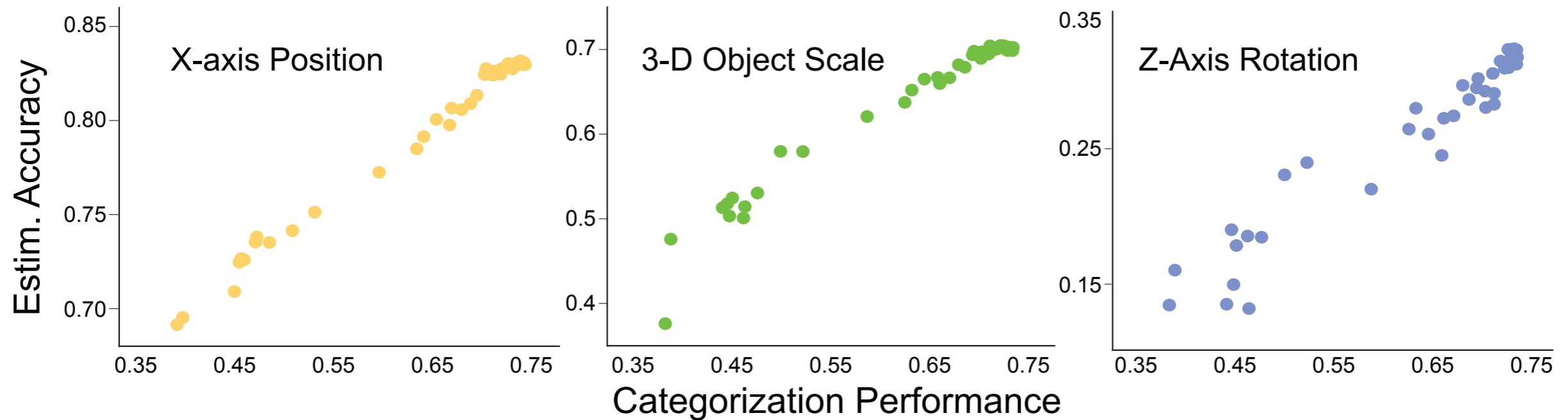


Increased performance on
position estimation task.

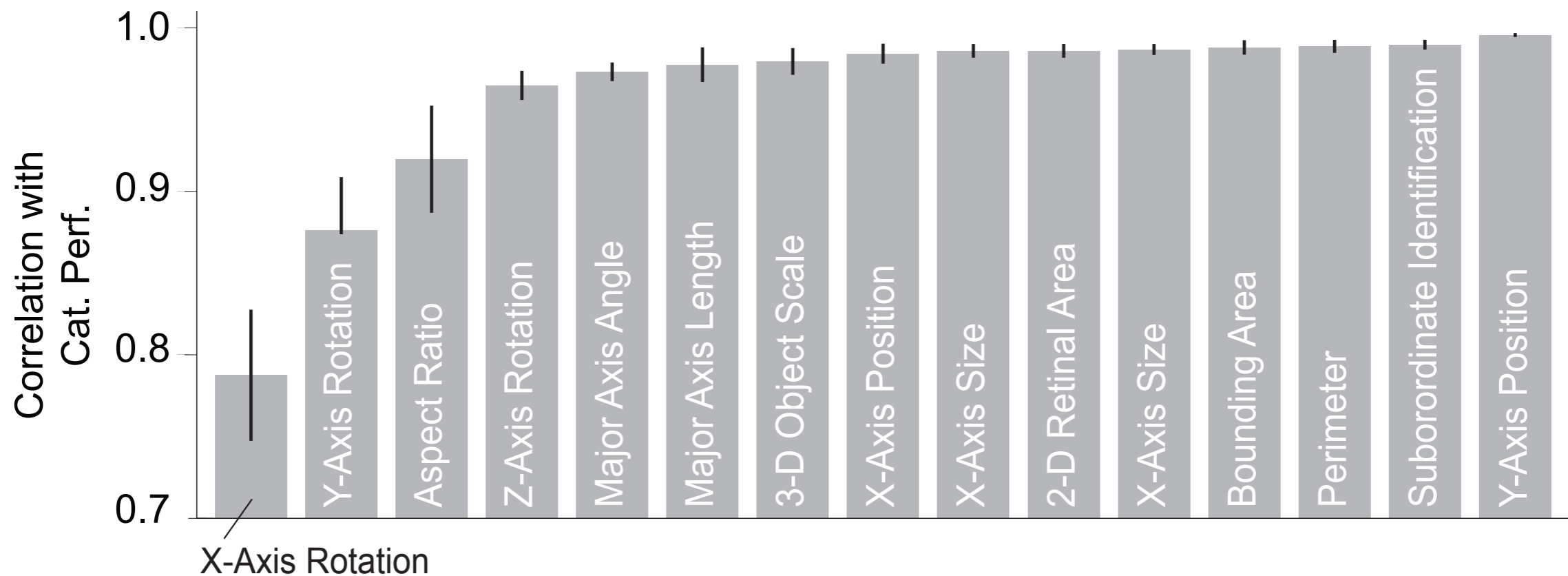
even though the goal was to become *INVARIANT* to position

Beyond categorization

Category optimization → improved performance on non-categorical tasks.

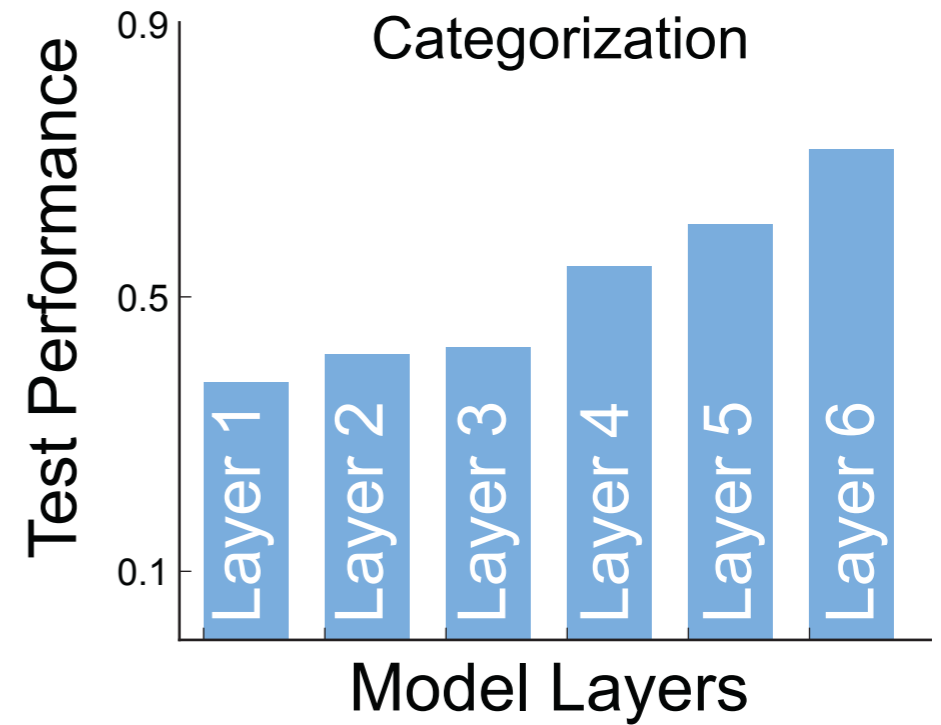
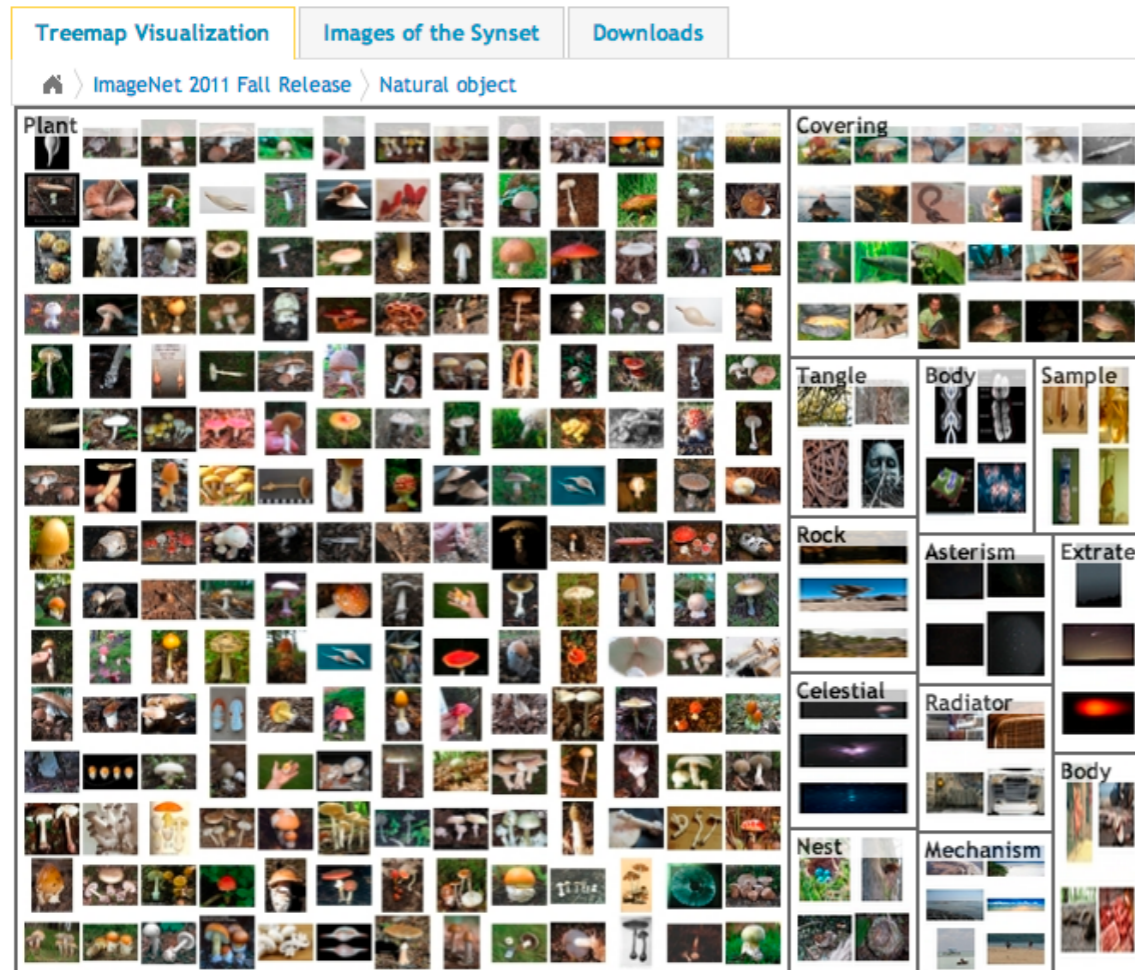


Hong*, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)



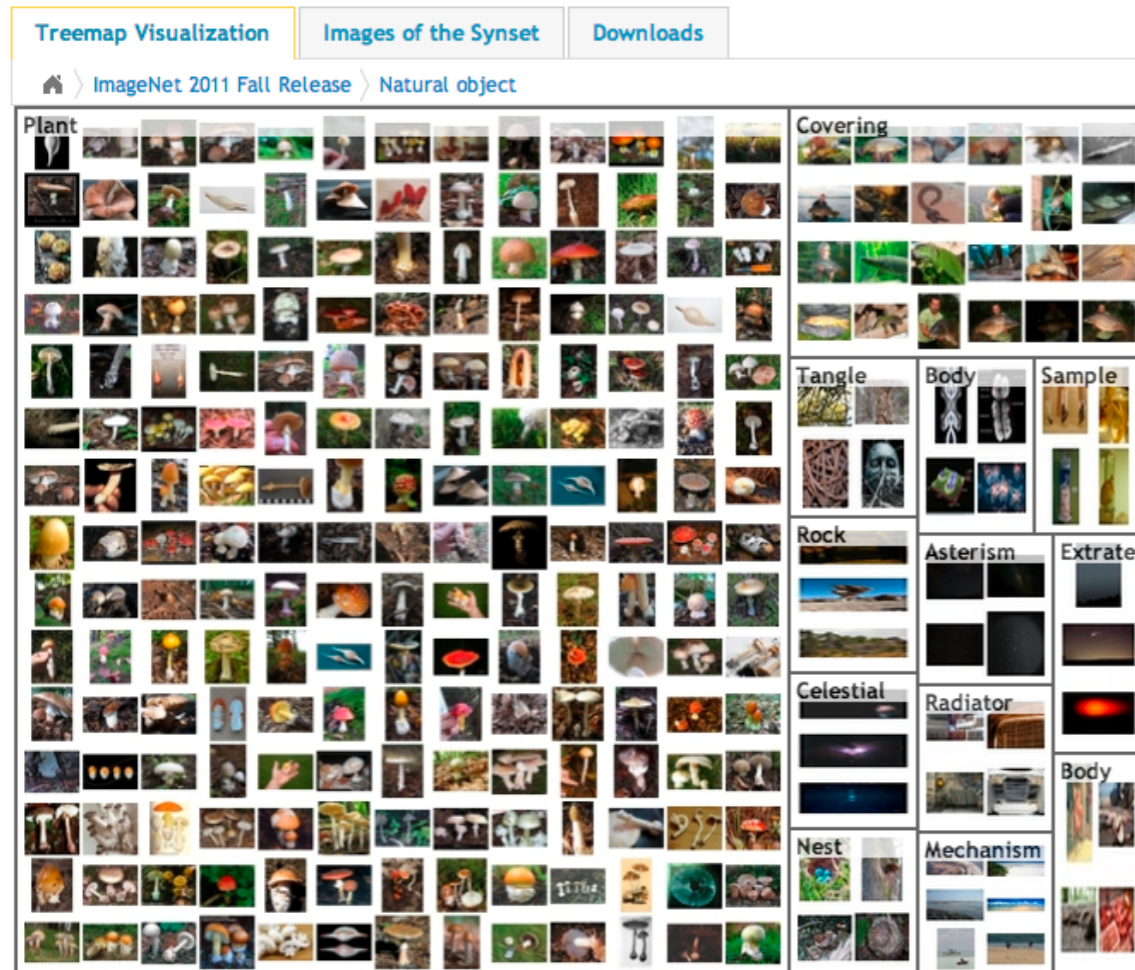
Beyond categorization

Unexpected observation #2:

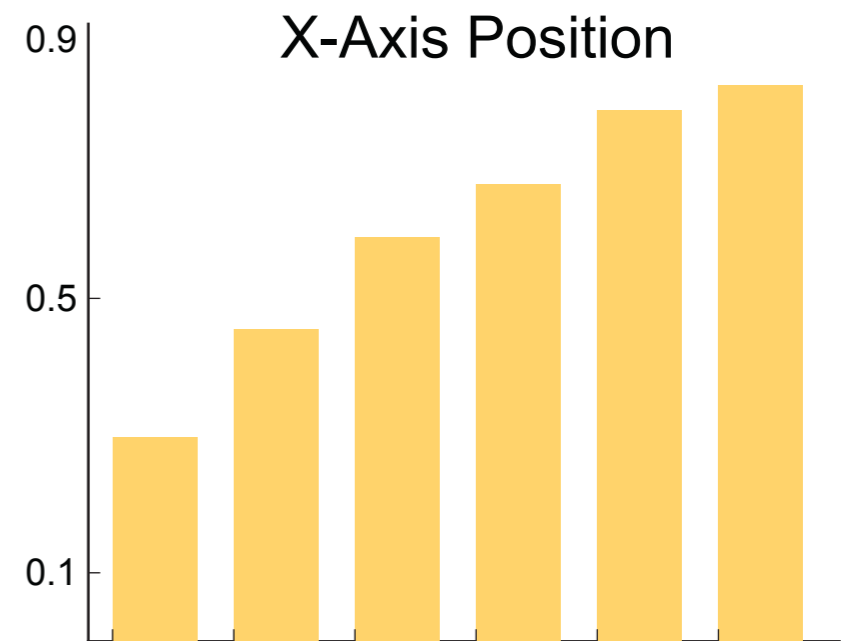
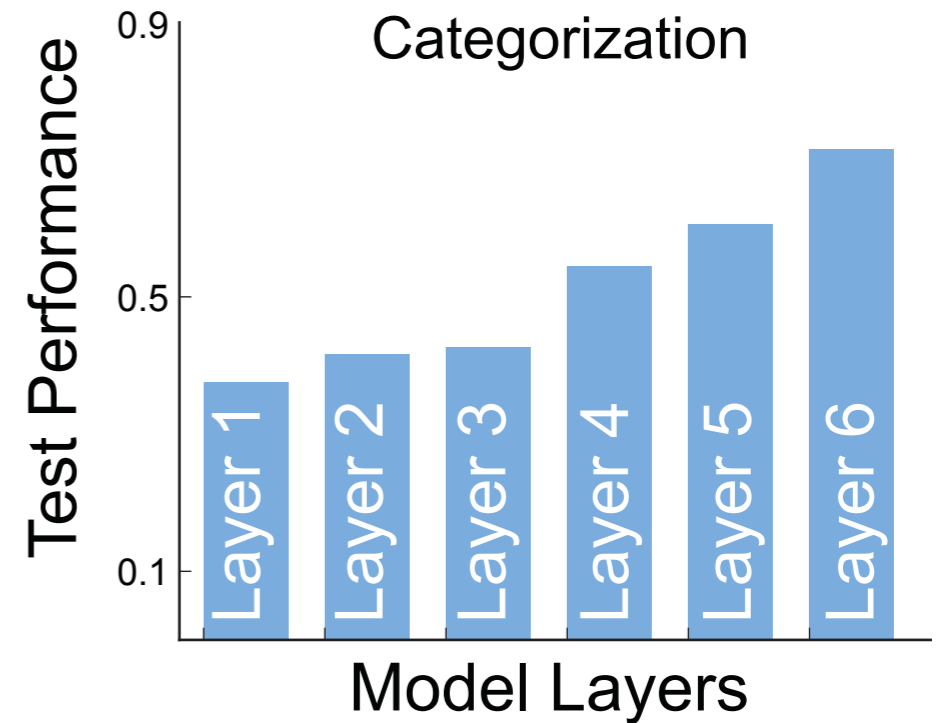


Beyond categorization

Unexpected observation #2:

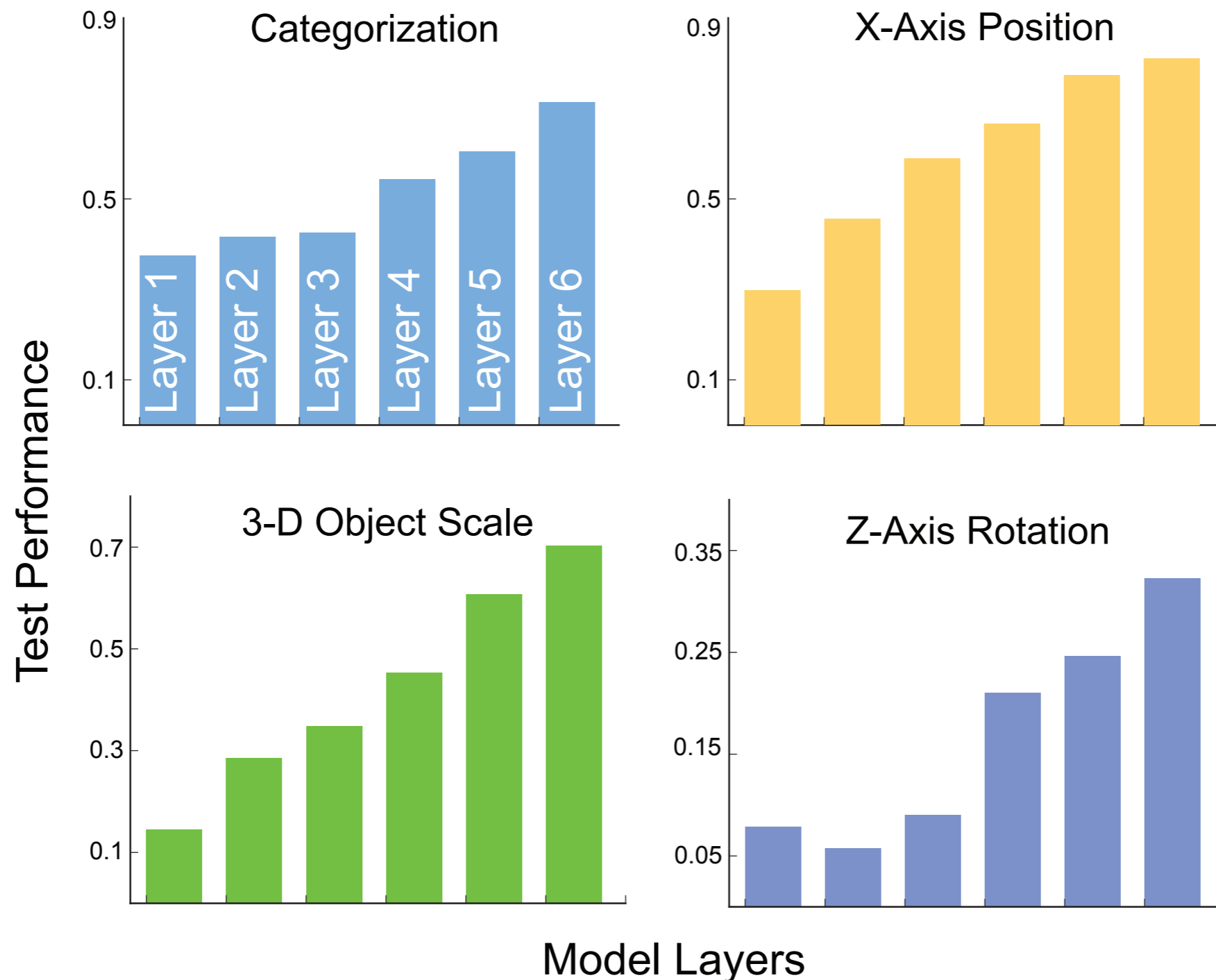


Increased performance on position estimation task at each model layer.



Beyond categorization

For all tasks of visual interest we could measure in our test dataset:

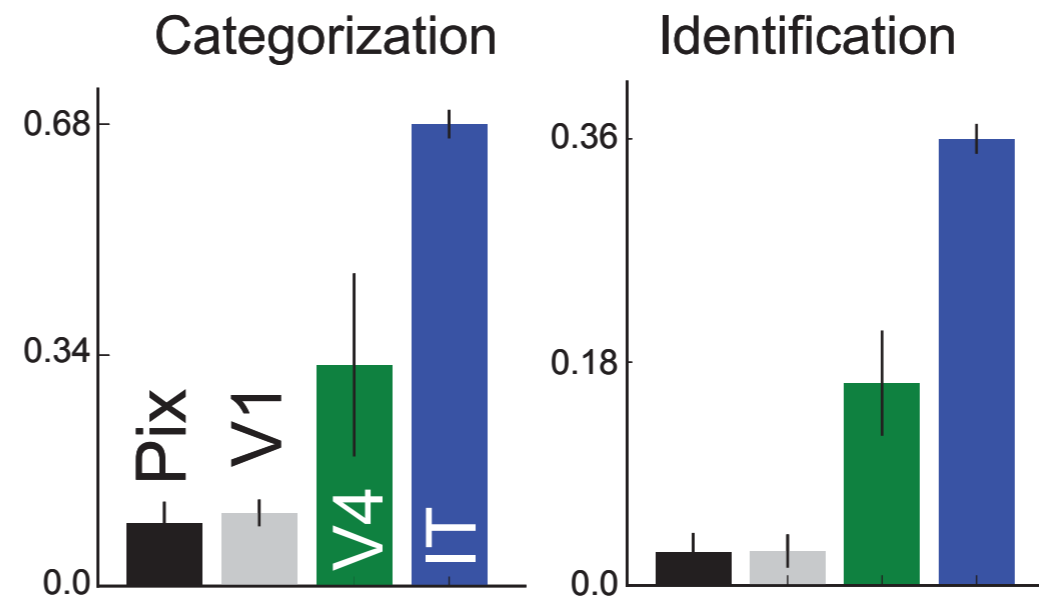


Performance on non-categorical tasks increases at each layer.

Beyond categorization

What do the data say?

Population Decoding

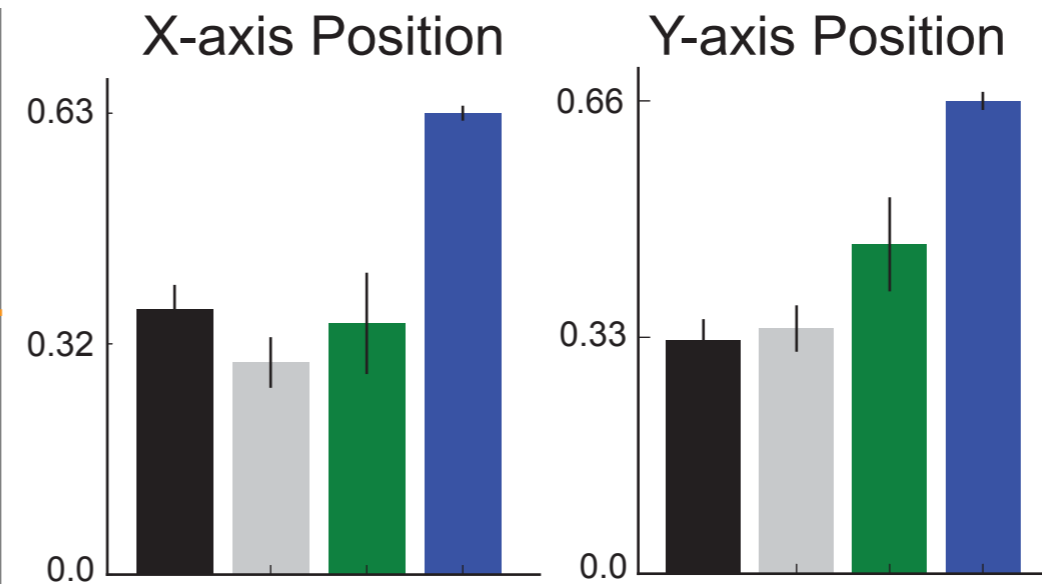
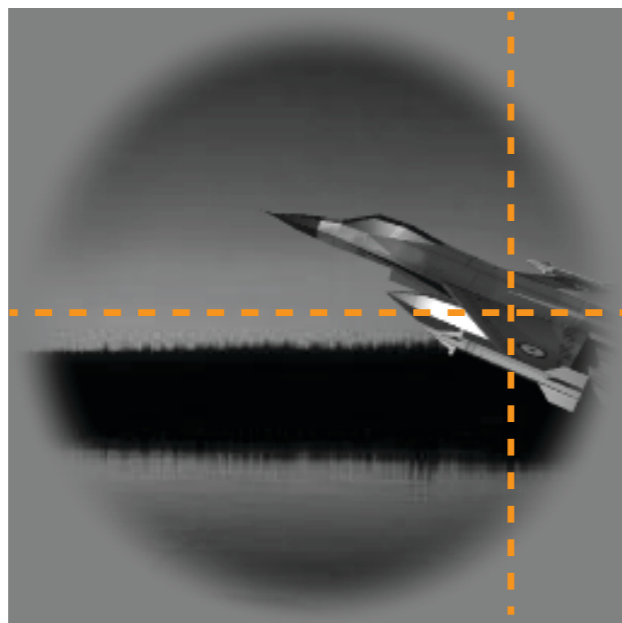
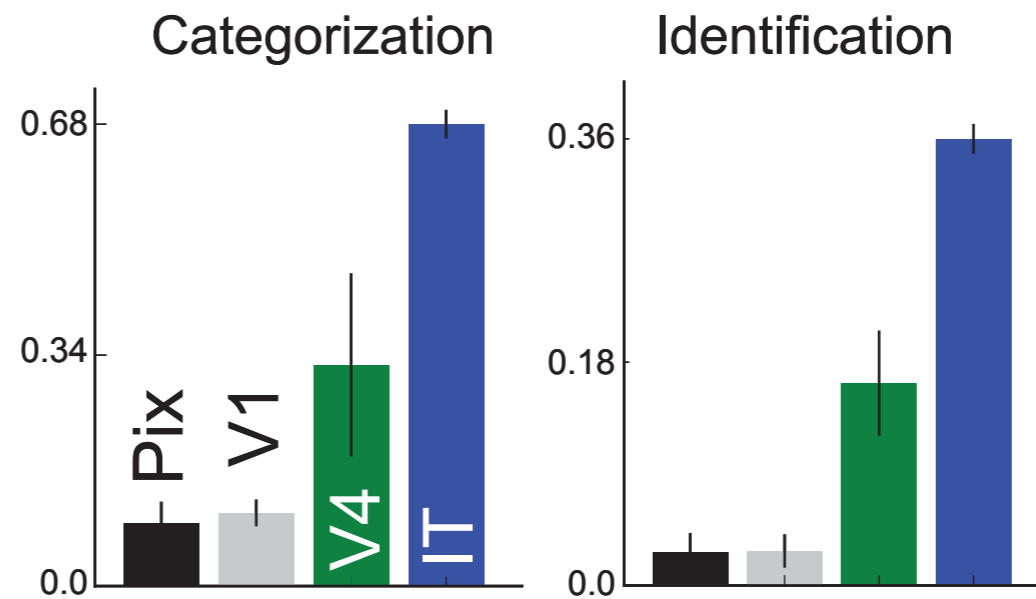


Hong*, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)

IT cortex
V1-like model

V4 cortex
pixel control

Population Decoding



Hong*, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)

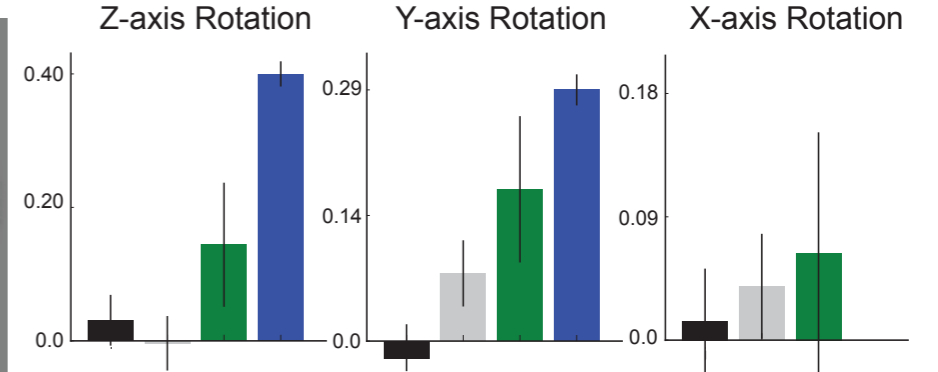
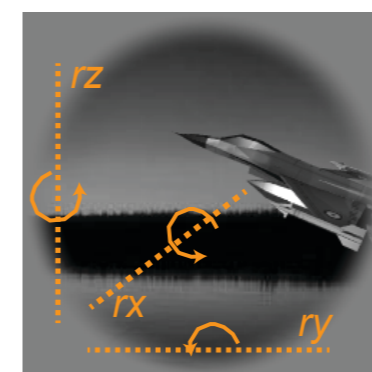
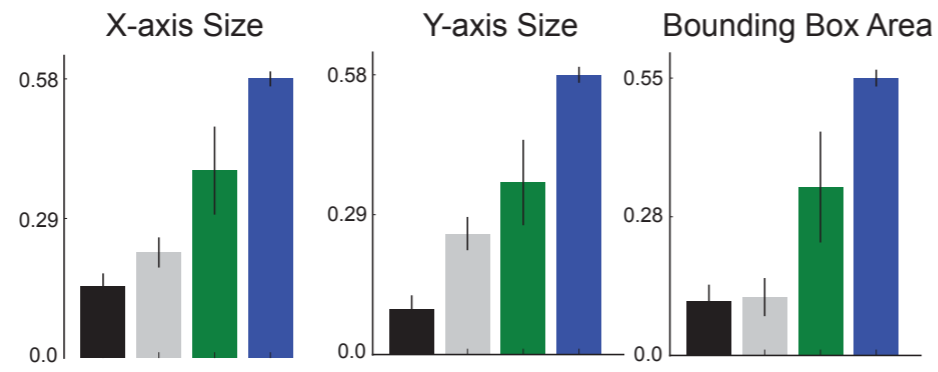
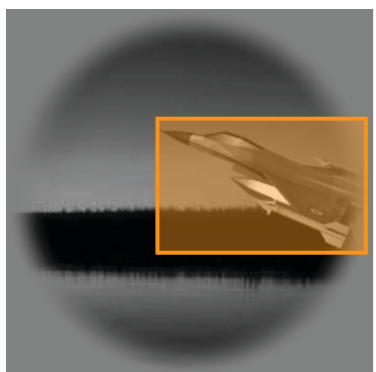
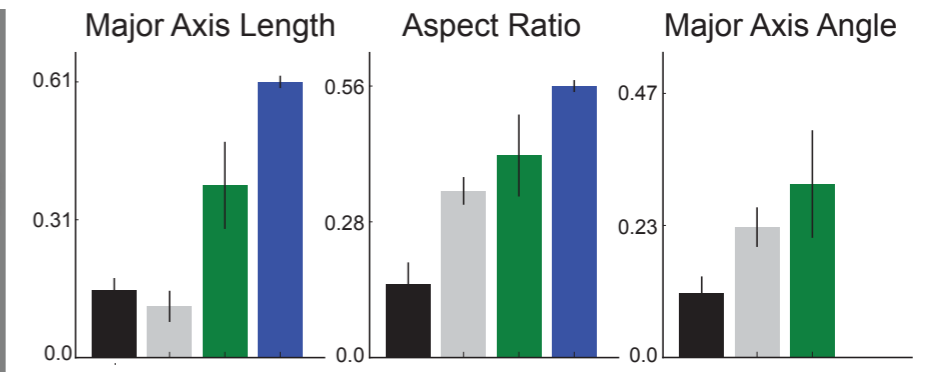
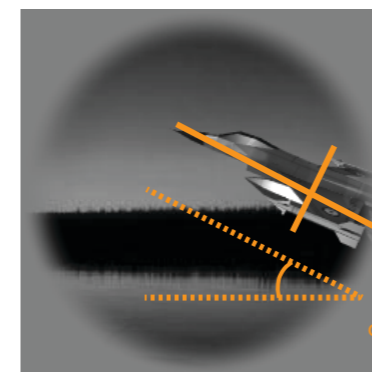
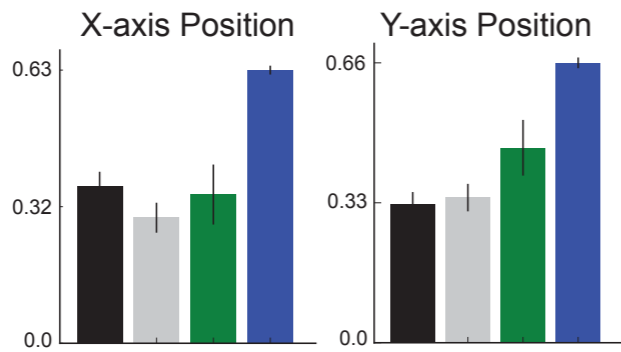
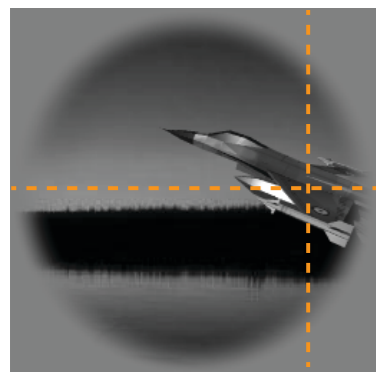
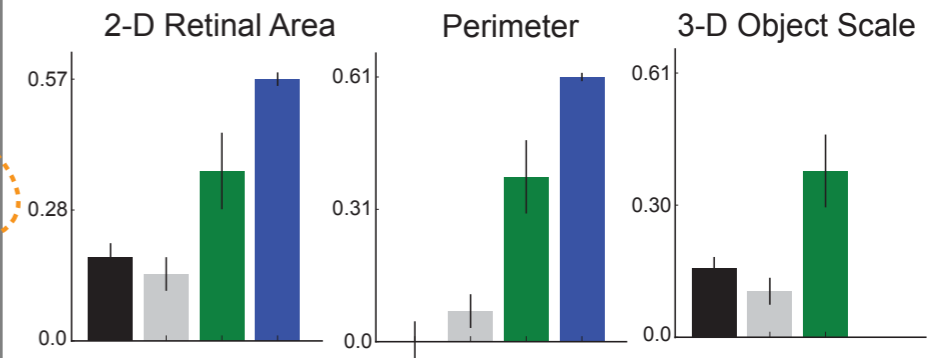
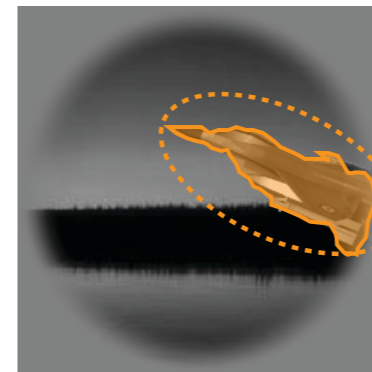
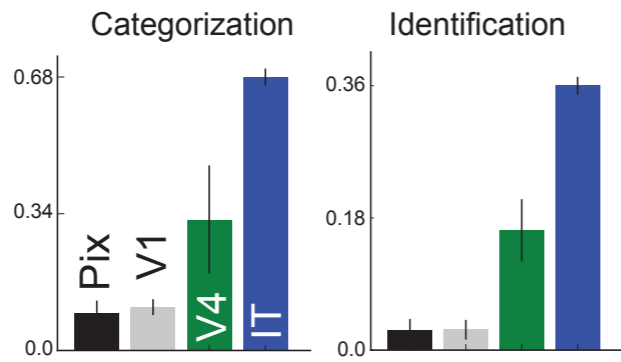
IT cortex
V1-like model

V4 cortex
pixel control

Population Decoding

IT > V4, V1 for all tasks

V4 > V1 for most tasks

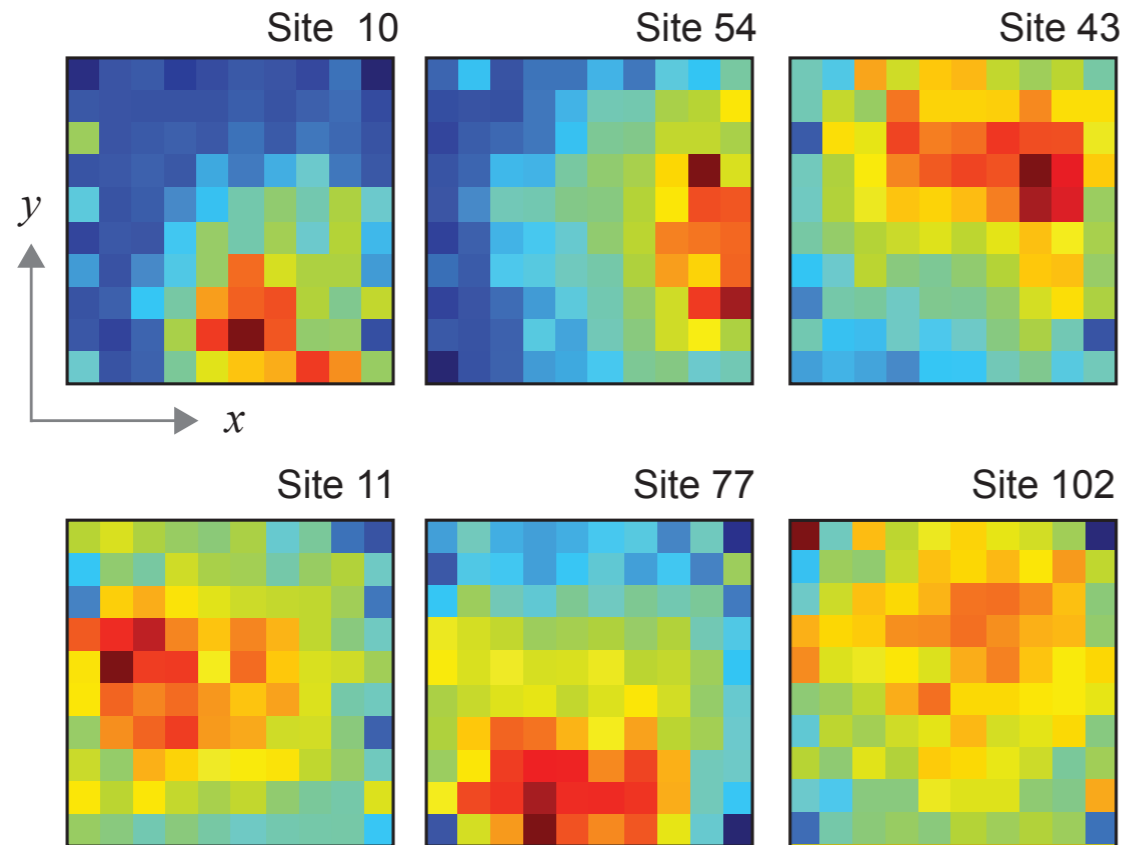


Hong*, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)

IT cortex
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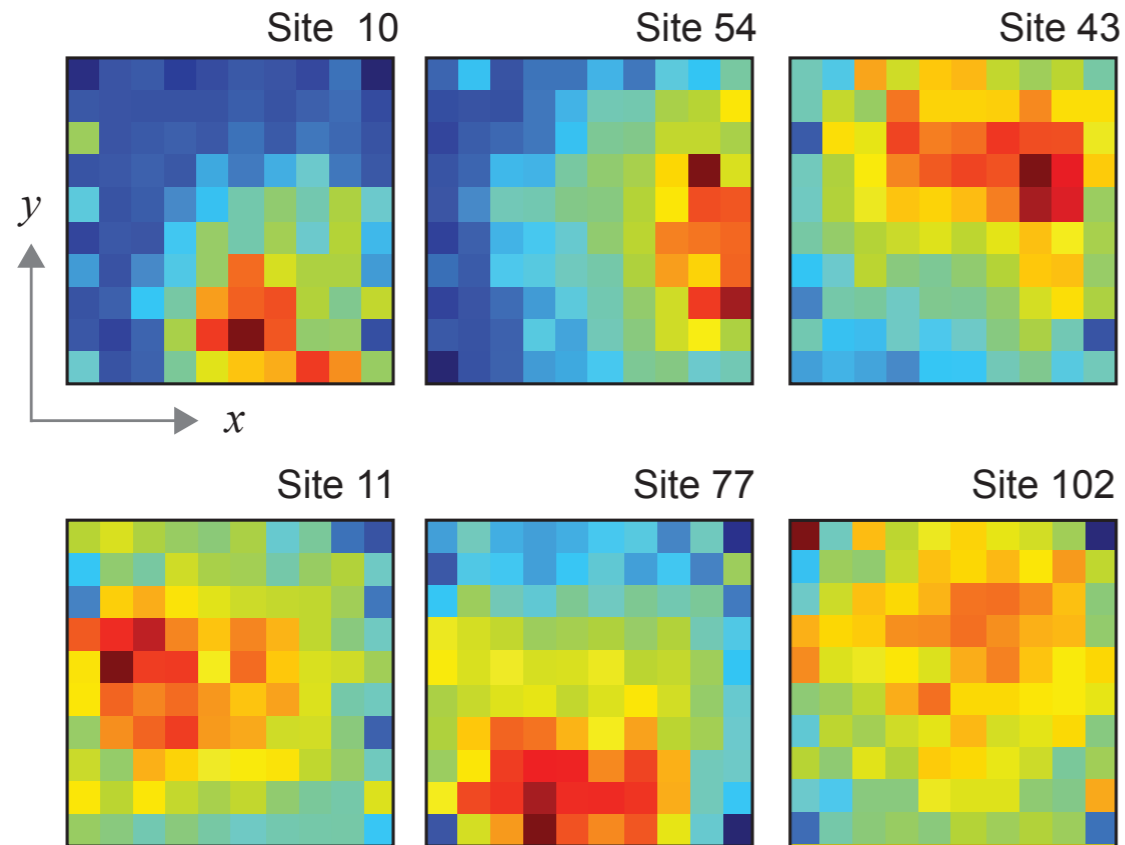
Single Site Responses



Best single position-encoding sites.

heat map value at $x, y =$
response averaged over all
images where object center is in
position x, y

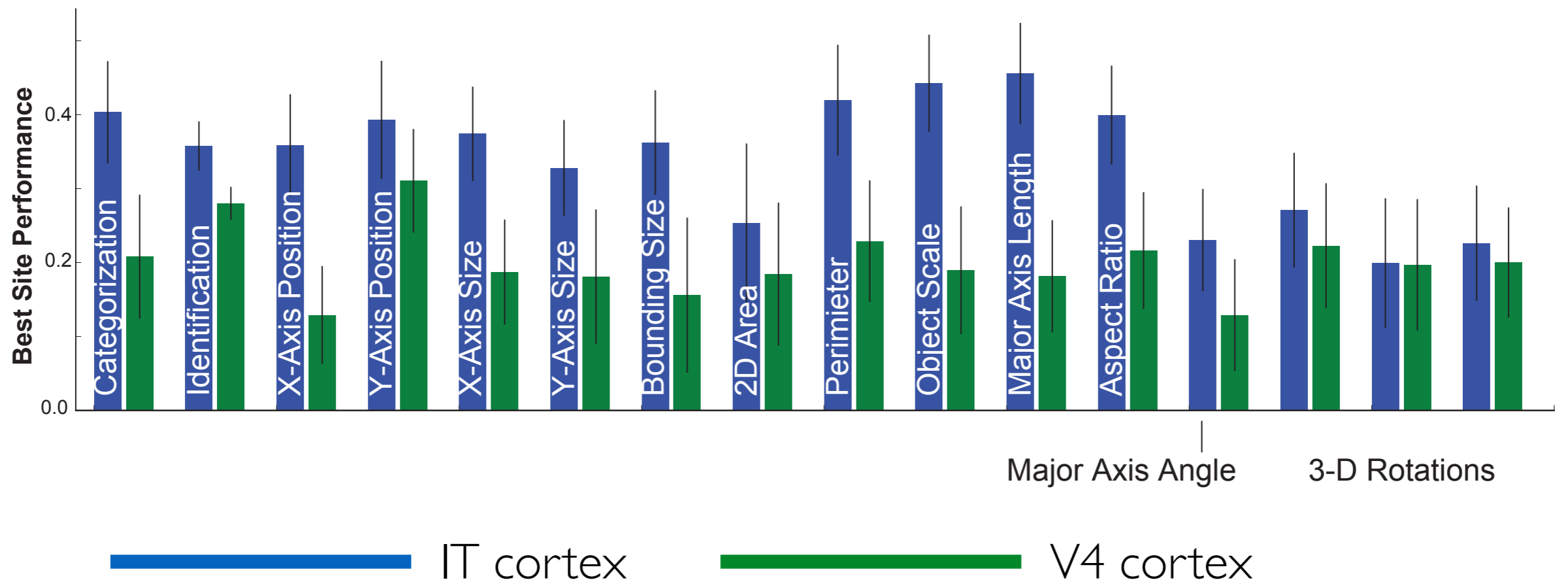
Single Site Responses



Best single position-encoding sites.

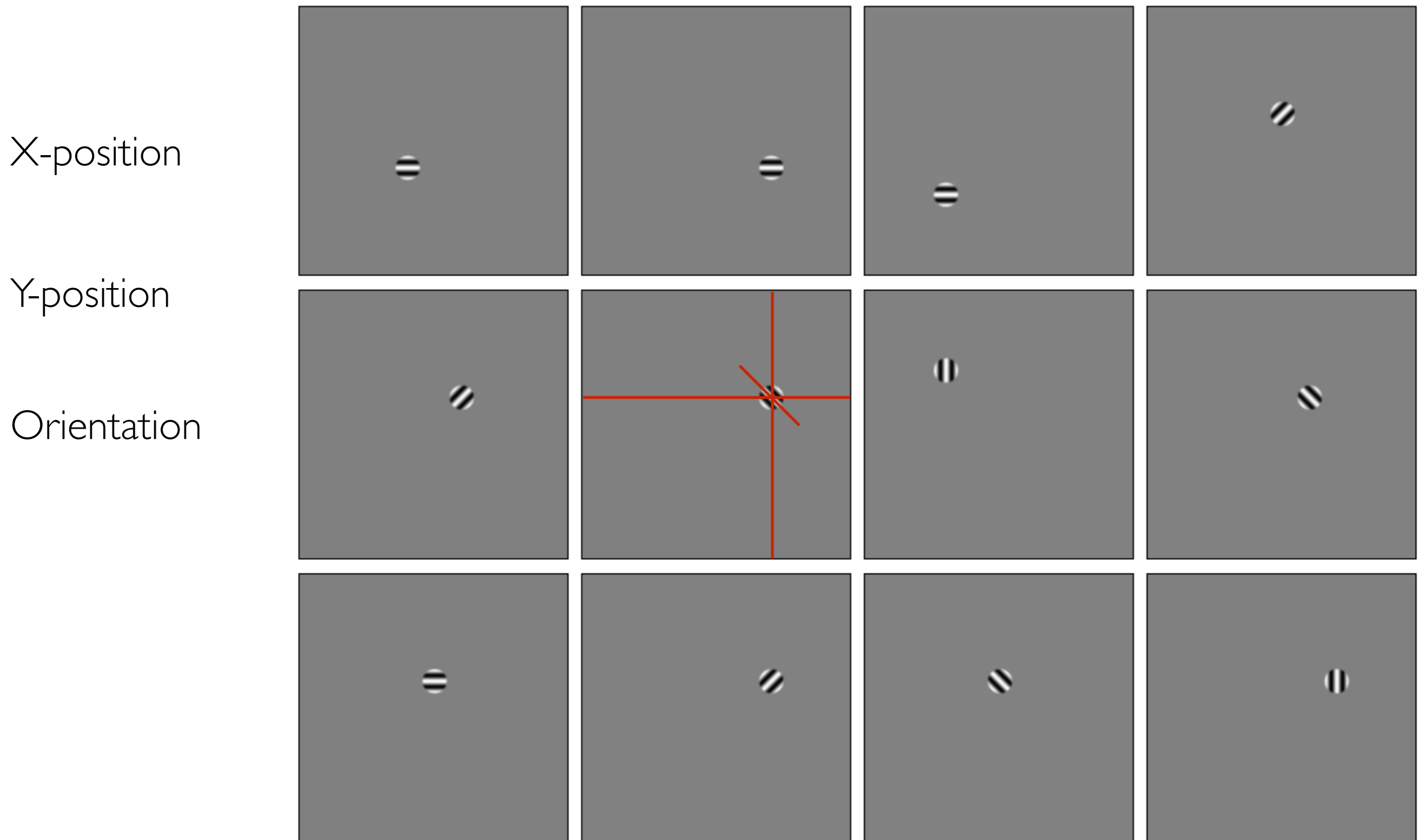
heat map value at $x, y =$
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Hong*, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)



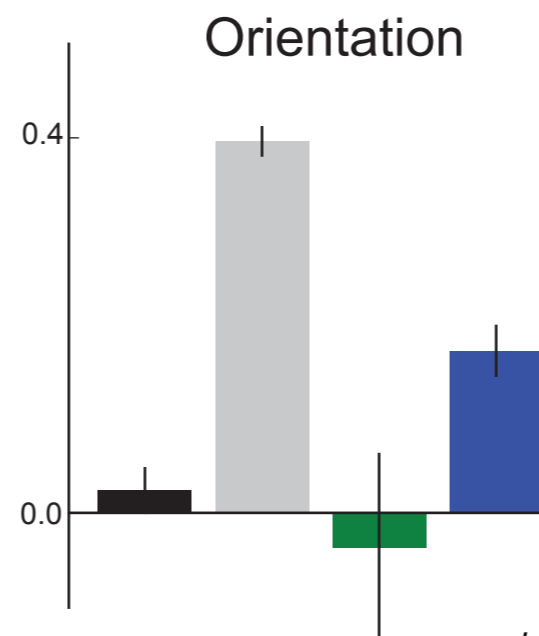
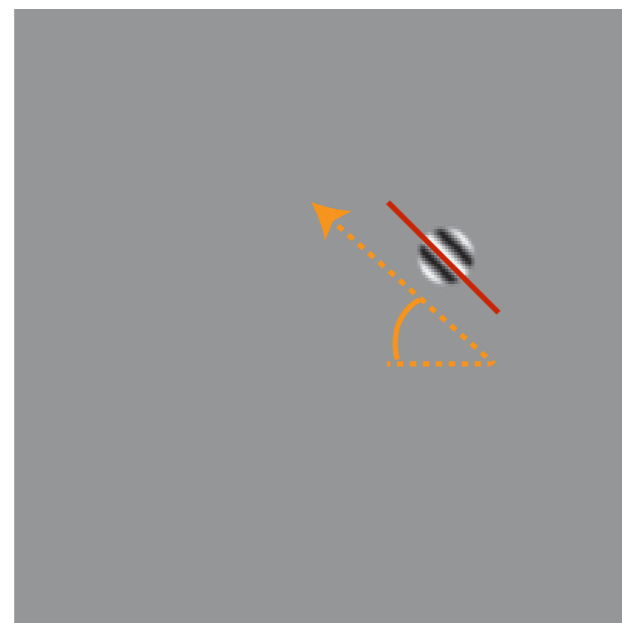
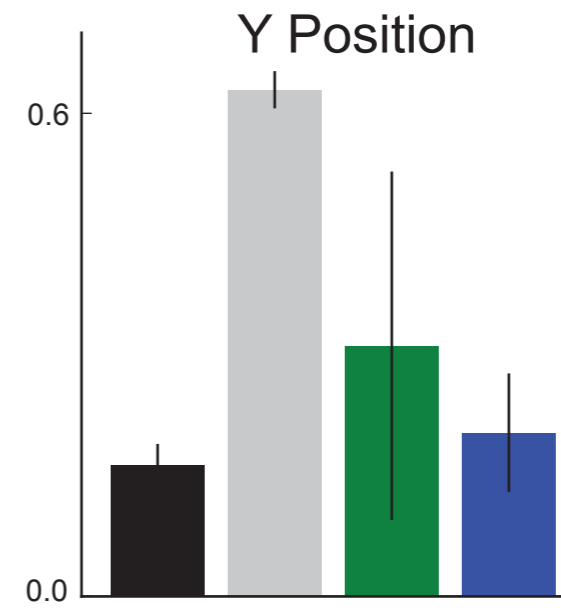
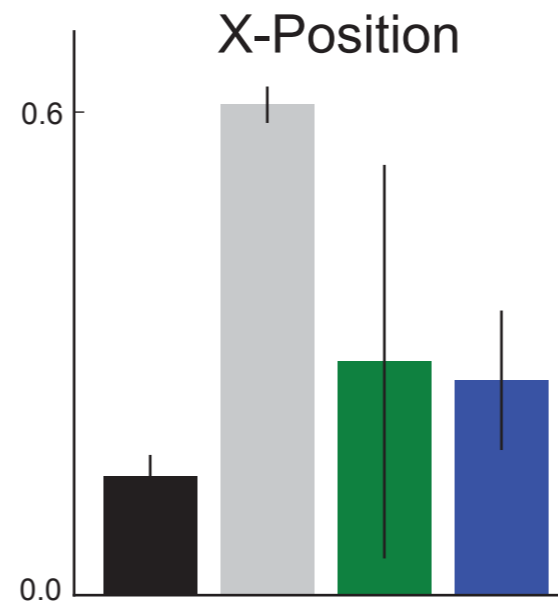
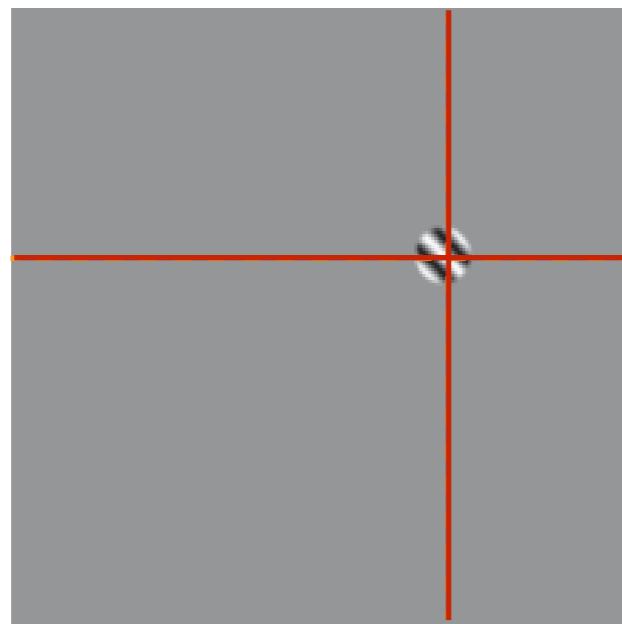
Population Decoding

“Standard” receptive field-mapping stimuli w/ position and orientation variation:



Population Decoding

VI > V4, IT for “standard” tasks

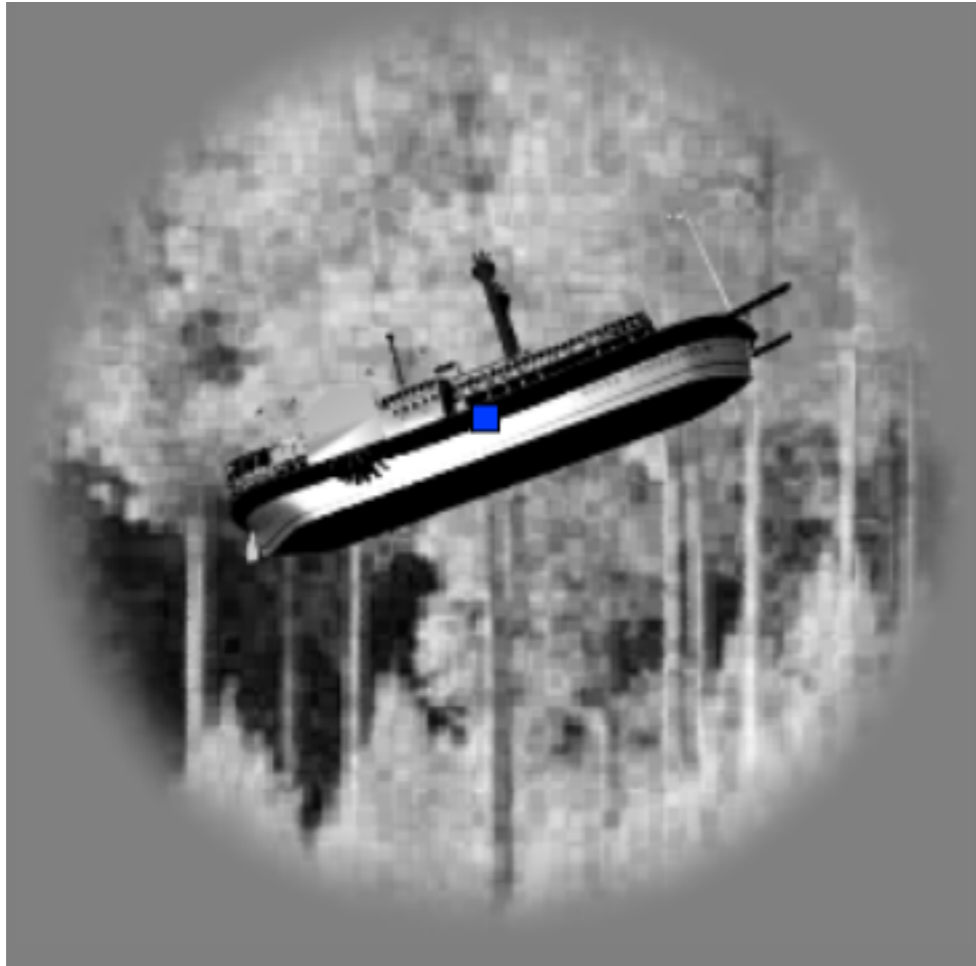


Hong*, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)

IT cortex
VI-like model

V4 cortex
pixel control

Human Psychophysical Measurements

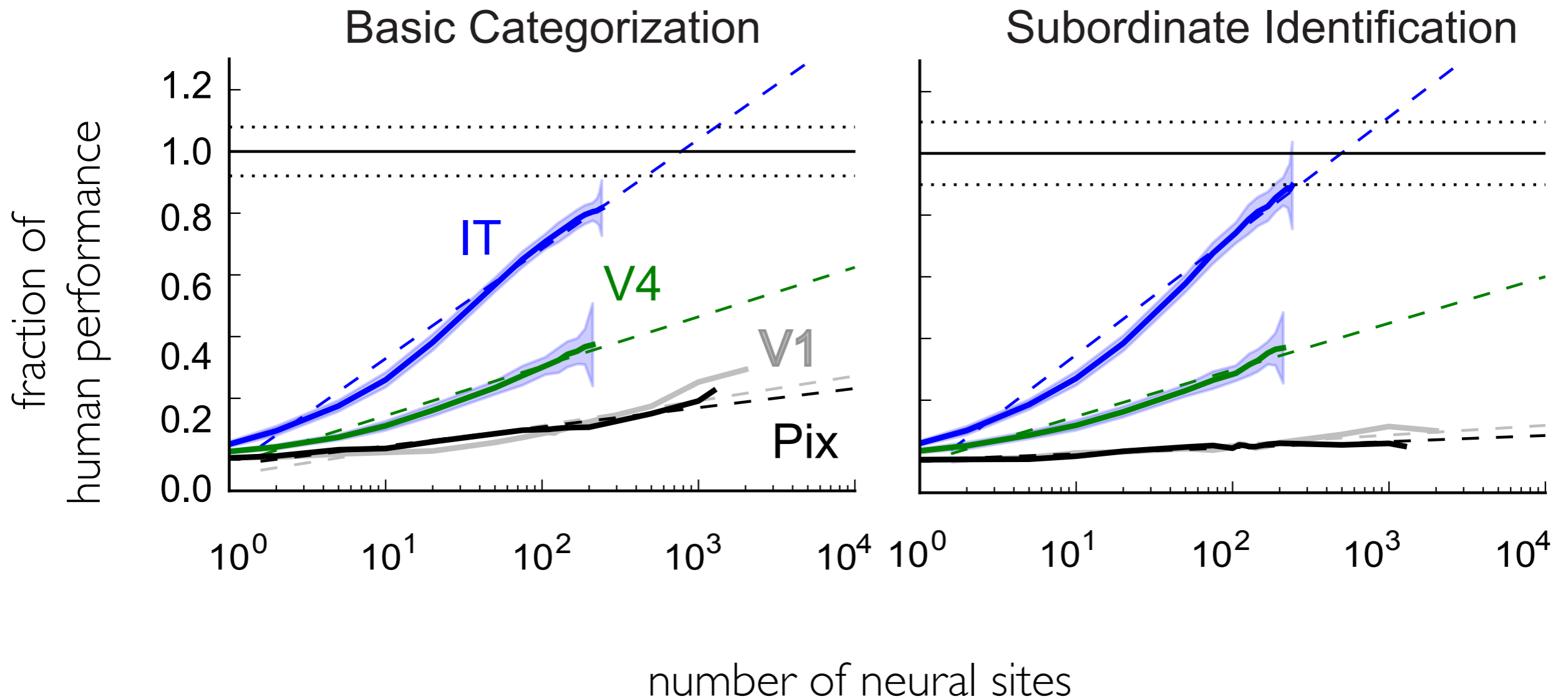


Click where the **boat** was!

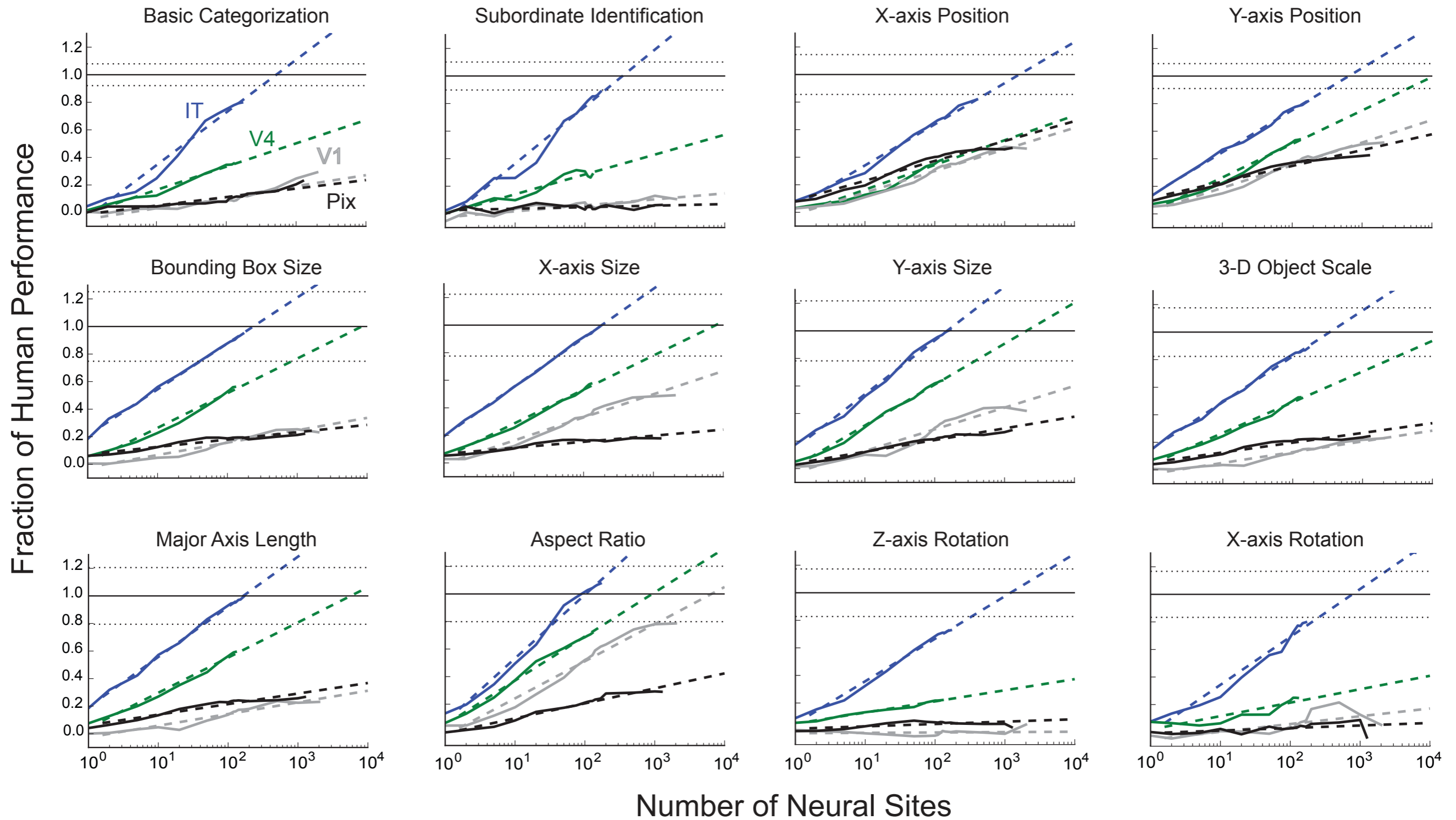
6 learning trial(s) left after this.

Monkey Neurons vs Humans

$$\text{performance} \sim k * \log(N)$$



Monkey Neurons vs Humans



Monkey Neurons vs Humans

	IT	V4	V1	Pix
Basic Categorization	773 ± 185	2.2 × 10 ⁶	—	—
Subordinate Identification	496 ± 93	4.4 × 10 ⁶	—	—
X-axis Position	1414 ± 403	5.2 × 10 ⁵	3.0 × 10 ⁷	—
Y-axis Position	918 ± 309	2.5 × 10 ⁴	8.7 × 10 ⁶	—
Bounding Box Size	322 ± 90	1.7 × 10 ⁴	—	—
X-axis Size	256 ± 87	9.8 × 10 ³	3.4 × 10 ⁷	—
Y-axis Size	237 ± 87	3.8 × 10 ³	9.5 × 10 ⁶	—
3-D Object Scale	401 ± 90	3.2 × 10 ⁴	—	—
Major Axis Length	201 ± 70	1.1 × 10 ⁴	—	—
Aspect Ratio	163 ± 61	951 ± 59	6.5 × 10 ³	—
Major Axis Angle	804 ± 136	3.2 × 10 ⁶	—	—
Z-axis Rotation	1932 ± 1061	—	—	—
Y-axis Rotation	369 ± 115	2.8 × 10 ⁵	—	—
X-axis Rotation	1570 ± 530	—	—	—

— = more than 10 billion sites required

Hong, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)*

Mean over tasks, human-parity for IT is at ~**700** multi-unit trial-averaged sites.

Monkey Neurons vs Humans

	IT	V4	V1	Pix
Basic Categorization	773 ± 185	2.2 × 10 ⁶	—	—
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— = more than 10 billion sites required

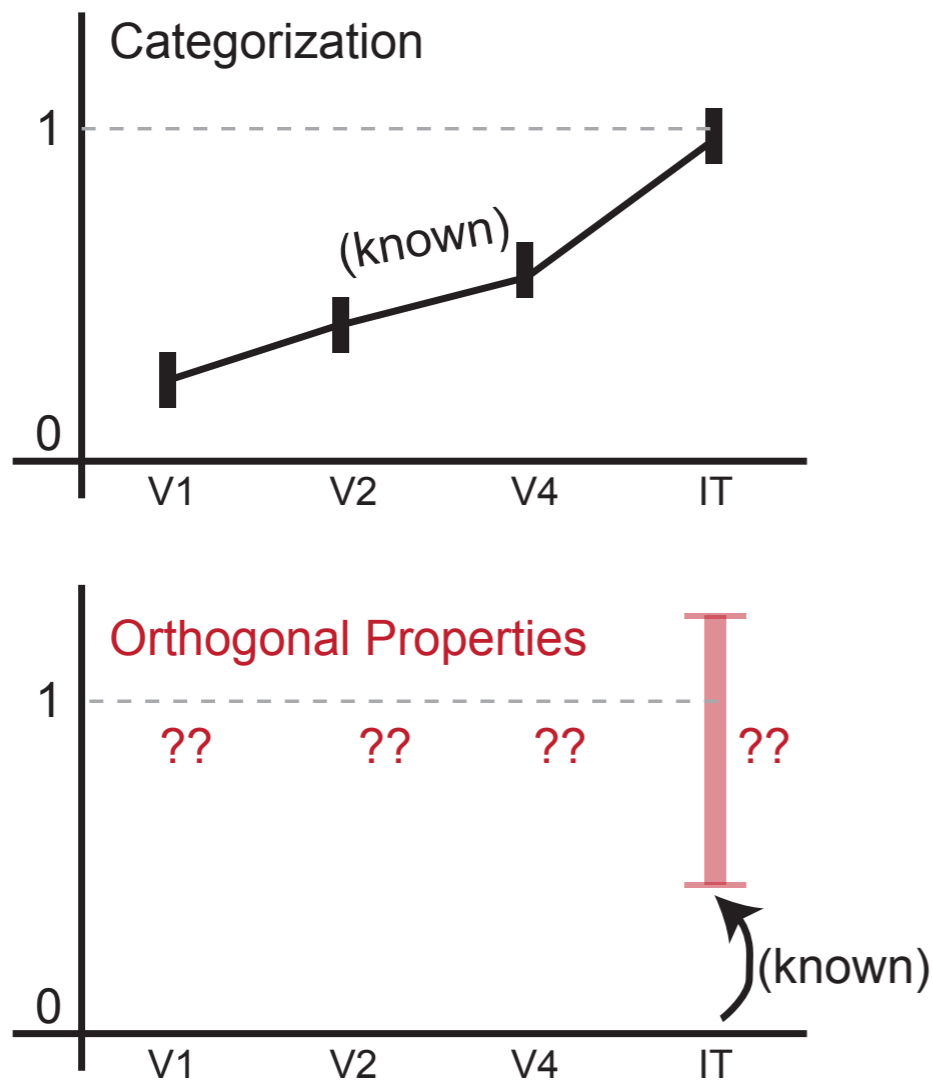
Hong, Yamins*, Majaj & DiCarlo. **Nat. Neuro.** (2016)*

Mean over tasks, human-parity for IT is at ~**350000** single-unit single-trial neurons.

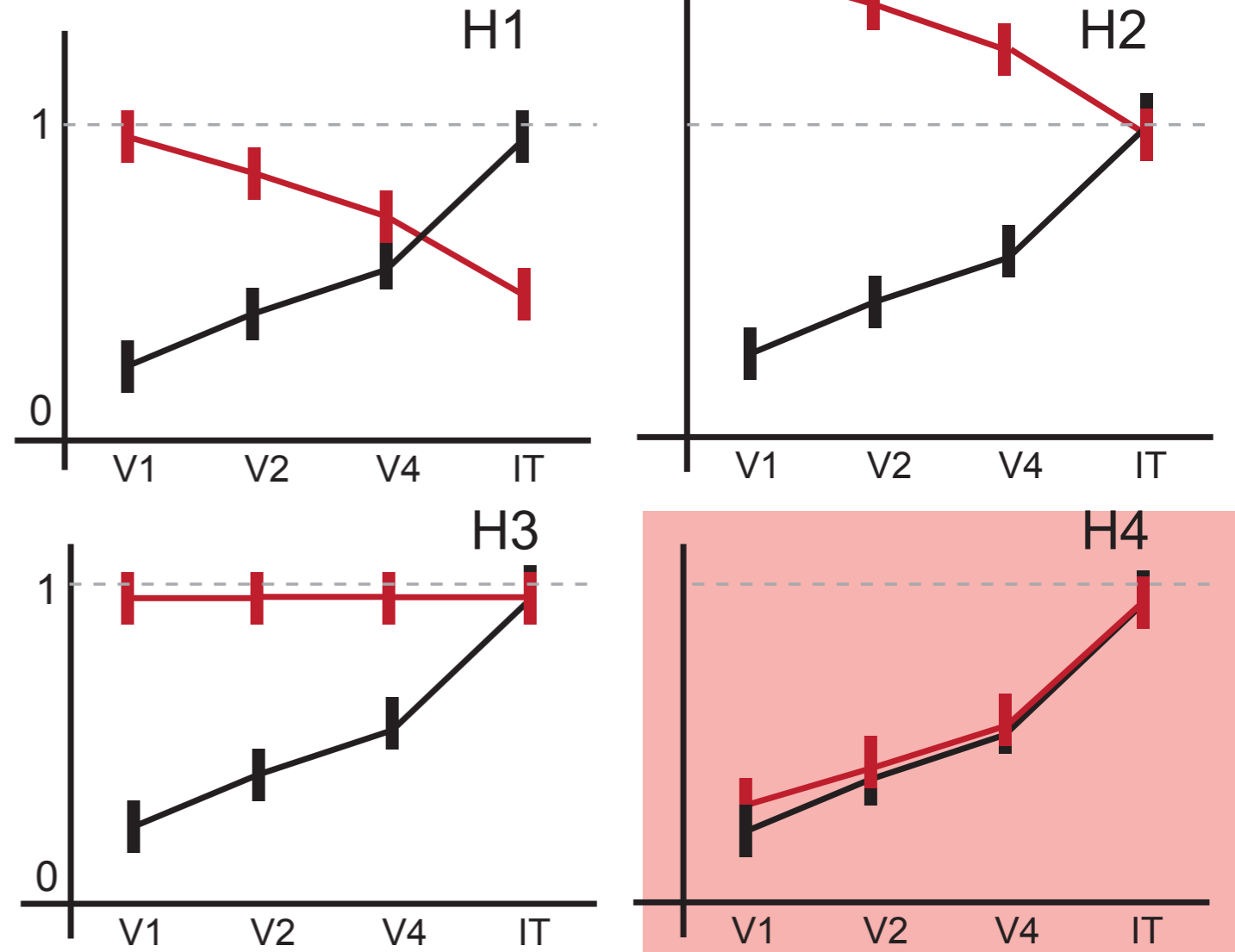
Somewhat newish ideas about IT?

Population Decode Performance
(relative to human performance)

State of knowledge from previous studies . . .



Multiple hypotheses consistent with the existing data . . .



Depth Along Ventral Stream
(increasing receptive field size →)

H4: Simultaneous build-up of encoding

Somewhat newish ideas about IT?

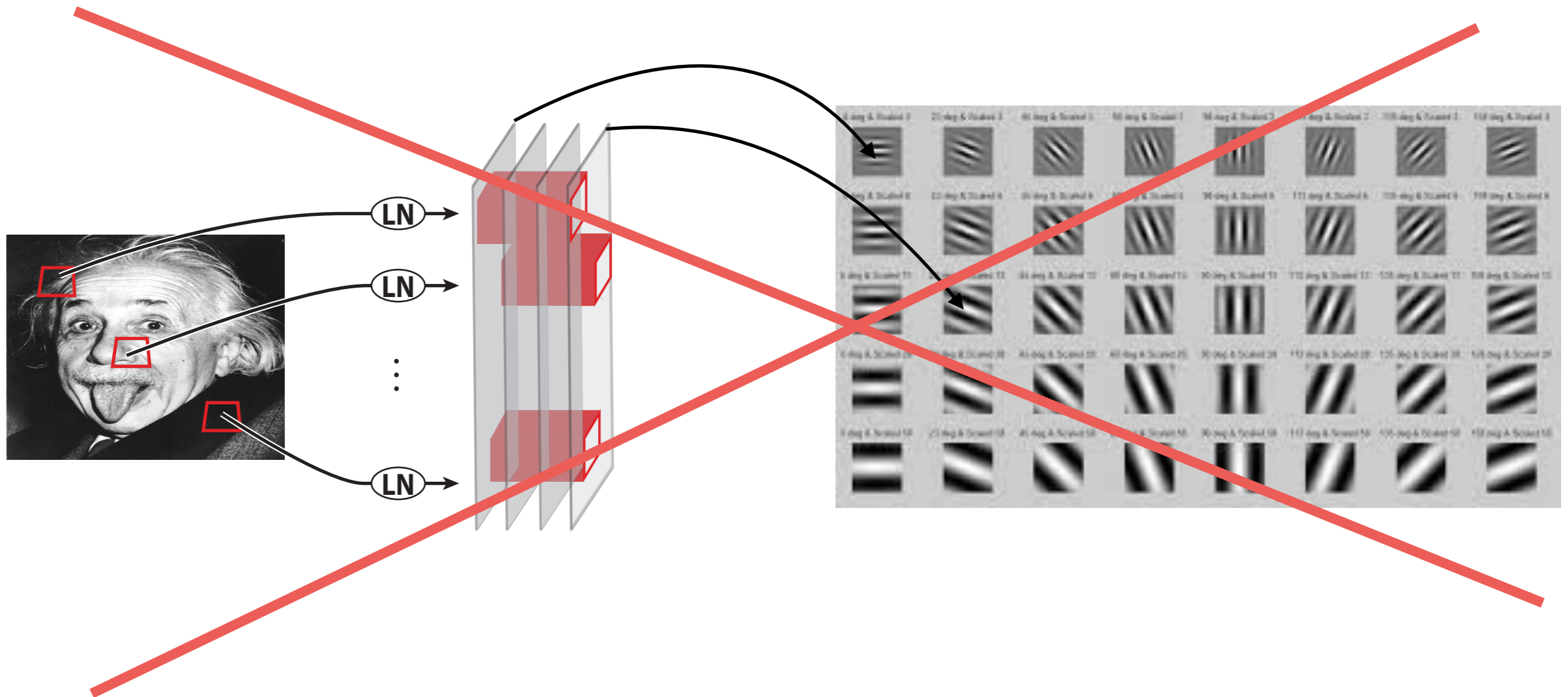
1. IT is *NOT* invariant. Strict generalization of simple-to-complex cells: **no**.
2. “Lower-level” properties are not that low-level — at least, with complex objects and backgrounds.
3. Categorization and non-categorical properties “go together” — *not* just that “not all (e.g.) position information is lost” (MacEvoy 2013, DiCarlo 2003)

Provides support to a hypothesis for what IT does:

“Inverting the generative model of the scene”

But what type of understanding is this?

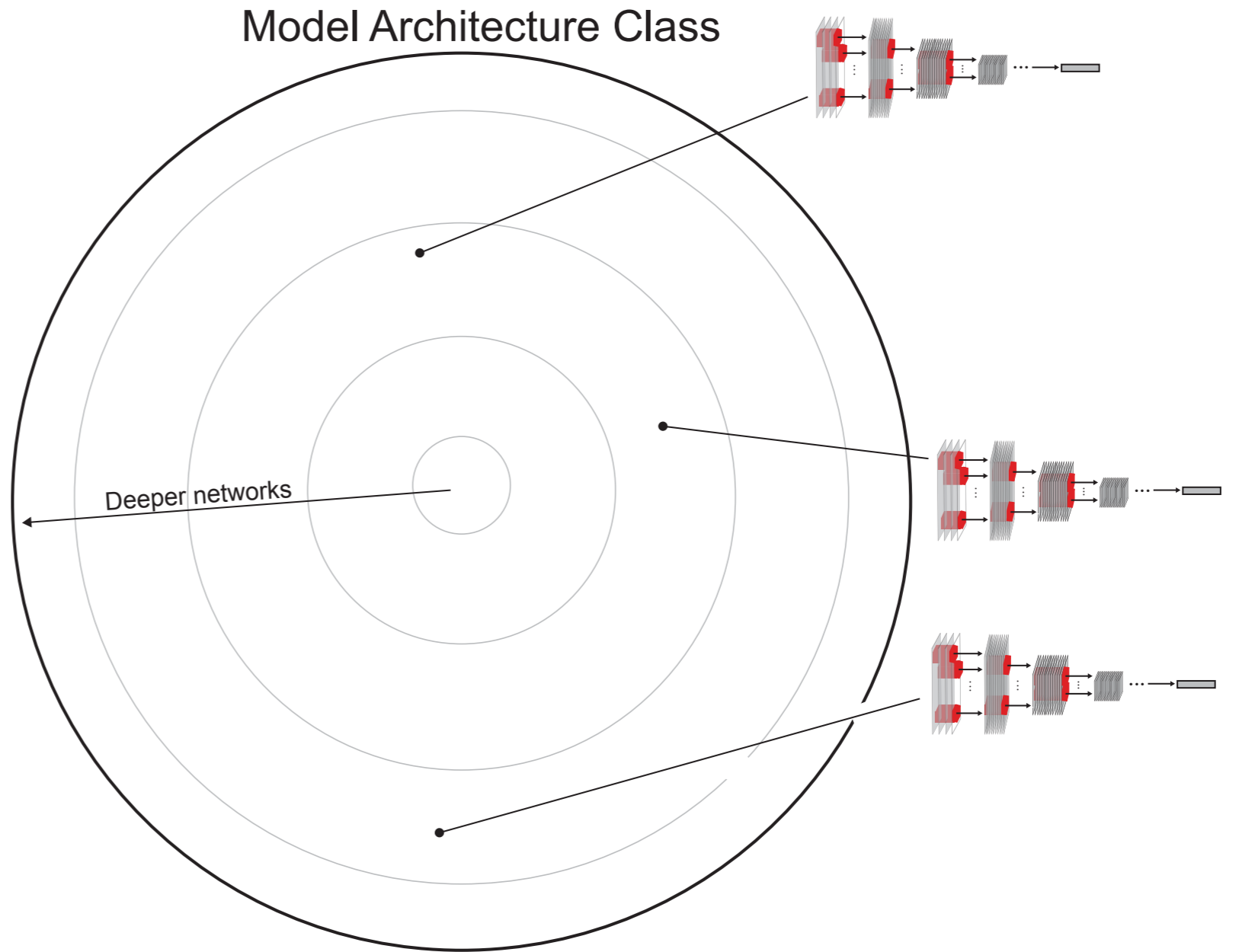
But what type of understanding is this?



not saying this type of understanding is impossible ...

Principle of “Goal-Driven Modeling”

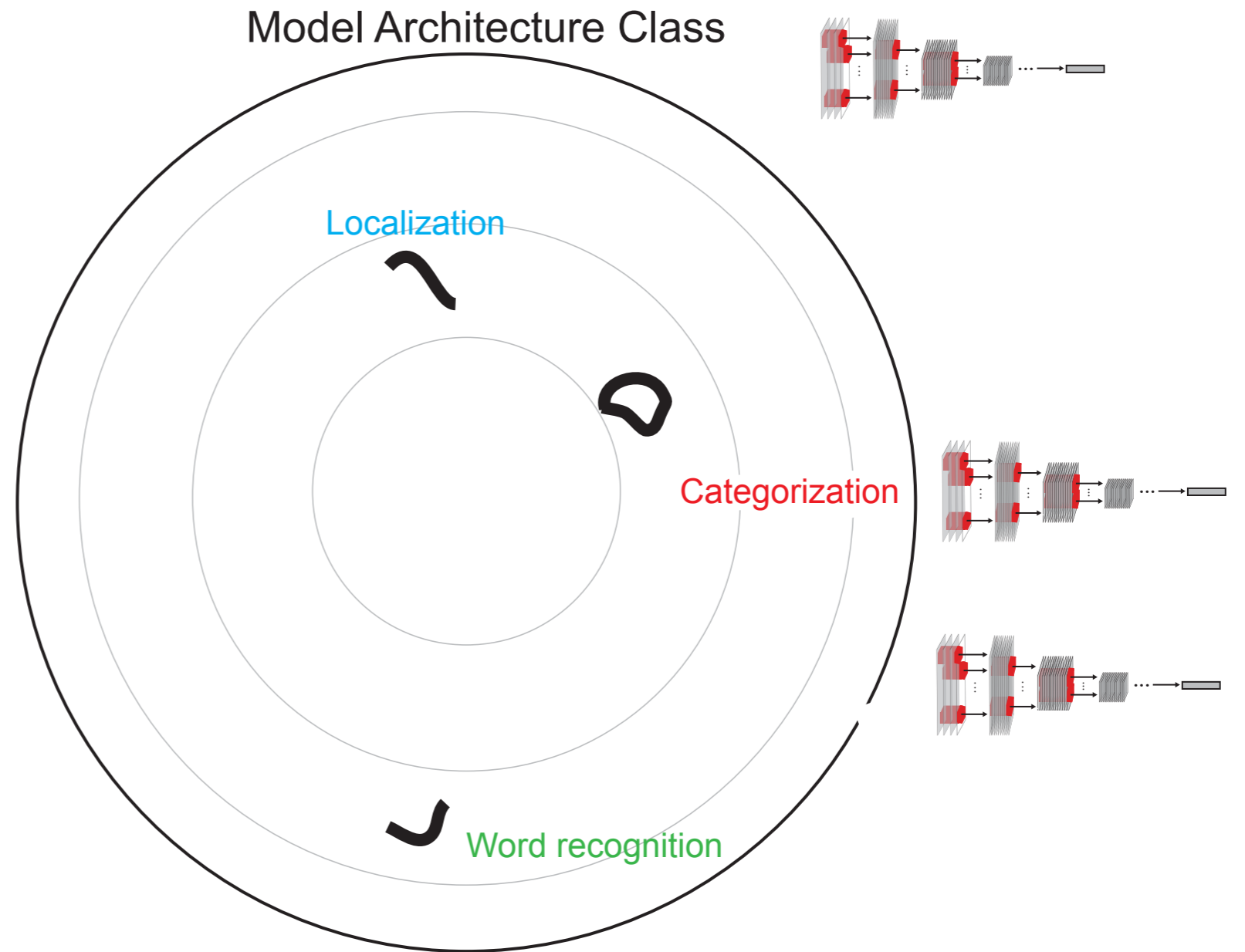
➤ Formulate comprehensive model class (**CNNs**)



Yamins & DiCarlo.
Nat. Neuro. (2016)

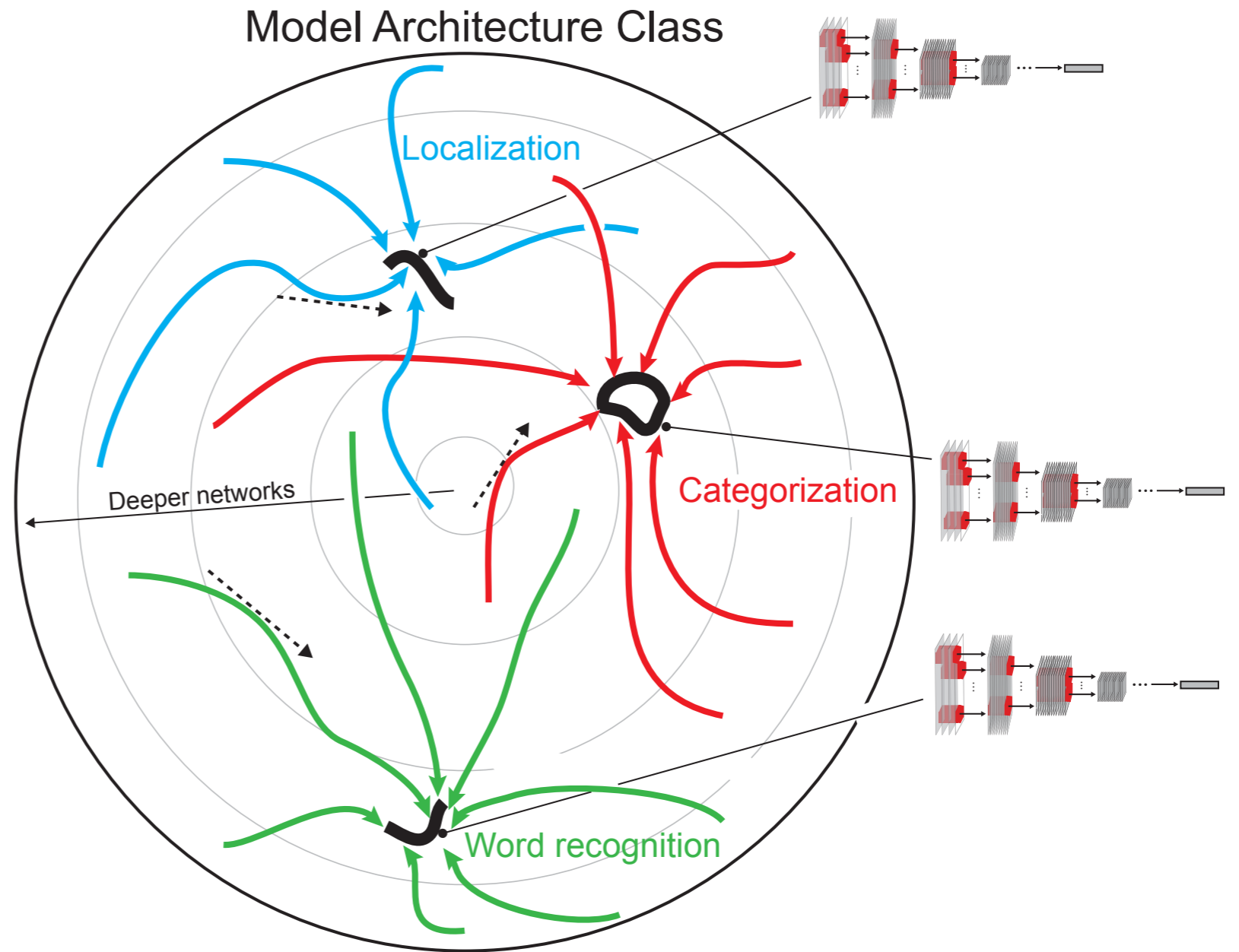
> Formulate comprehensive model class (**CNNs**)

> Choose challenging, ethologically-valid tasks (**categorization**)



Yamins & DiCarlo.
Nat. Neuro. (2016)

- Formulate comprehensive model class (**CNNs**)
- Choose challenging, ethologically-valid tasks (**categorization**)
- Implement generic learning rules (**gradient descent**)



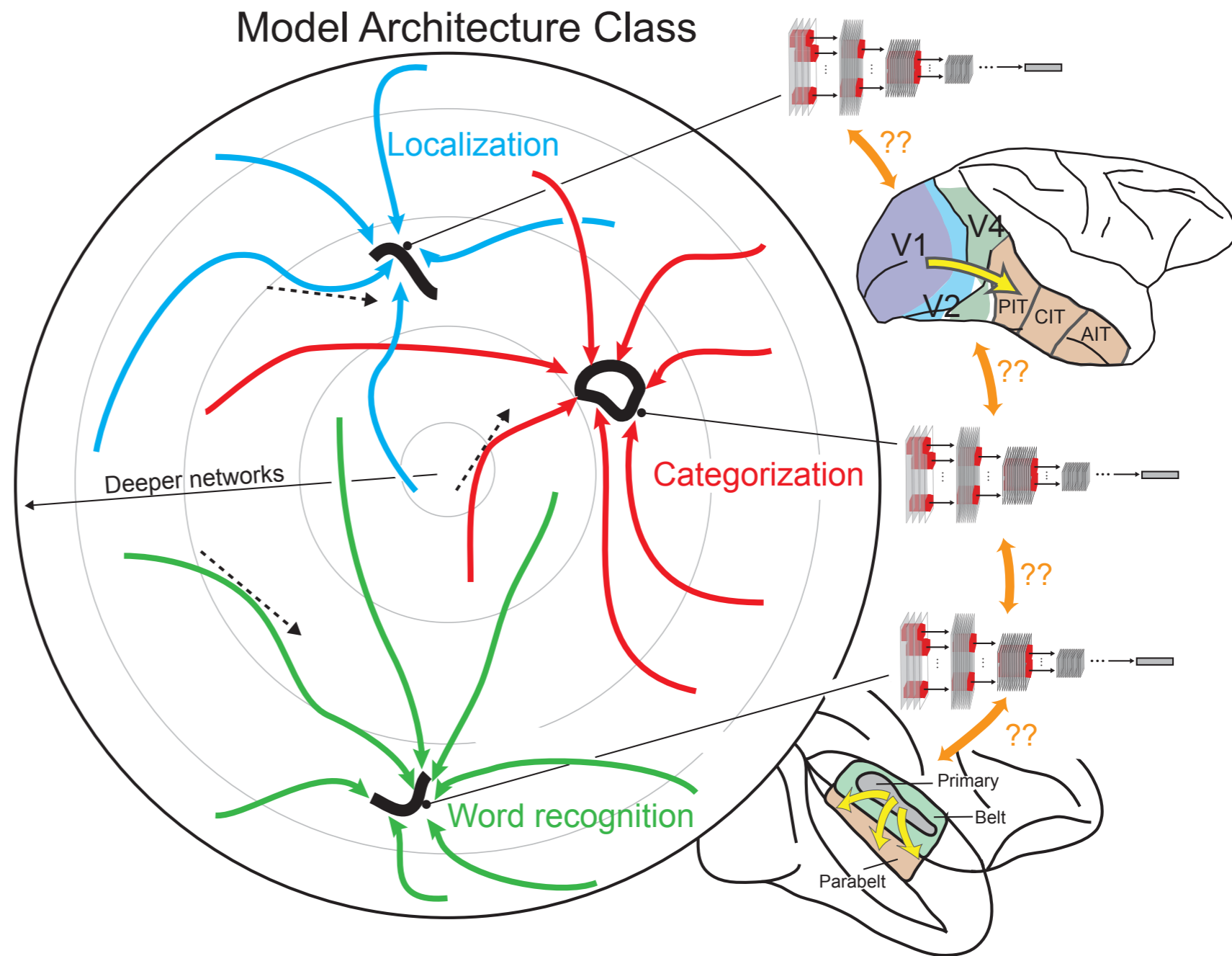
*Yamins & DiCarlo.
Nat. Neuro. (2016)*

➤ Formulate comprehensive model class (**CNNs**)

➤ Choose challenging, ethologically-valid tasks (**categorization**)

➤ Implement generic learning rules (**gradient descent**)

➤ Map to brain data. (**ventral stream**)



Yamins & DiCarlo.
Nat. Neuro. (2016)

Four Principles of Goal-Driven Modeling

1.

A = *architecture class*

2.

T = *task/objective*

3.

D = *dataset*

4.

L = *learning rule*

Four Principles of Goal-Driven Modeling

1.

A = *architecture class*

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T = *task/objective*

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L = *learning rule*

Best proxies thus far for ventral stream:

A = *ConvNets of reasonable depth*

T = *multi-way object categorization*

D = *ImageNet images*

L = *evolutionary architecture search +
filter learning through gradient descent*

Four Principles of Goal-Driven Modeling

1.

A = architecture class = **circuit neuro-anatomy**

2.

T = task/objective = **ecological niche**

3.

D = dataset = **environment**

4.

L = learning rule = **natural selection + synaptic plasticity**

Best proxies thus far for ventral stream:

A = ConvNets of reasonable depth

T = multi-way object categorization

D = ImageNet images

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Four Principles of Goal-Driven Modeling

1.

A = architecture class = **circuit neuro-anatomy**

solving

2.

T = task/objective = **ecological niche**

situated in

3.

D = dataset = **environment**

updating according to

4.

L = learning rule = **natural selection + synaptic plasticity**

Best proxies thus far for ventral stream:

A = ConvNets of reasonable depth

T = multi-way object categorization

D = ImageNet images

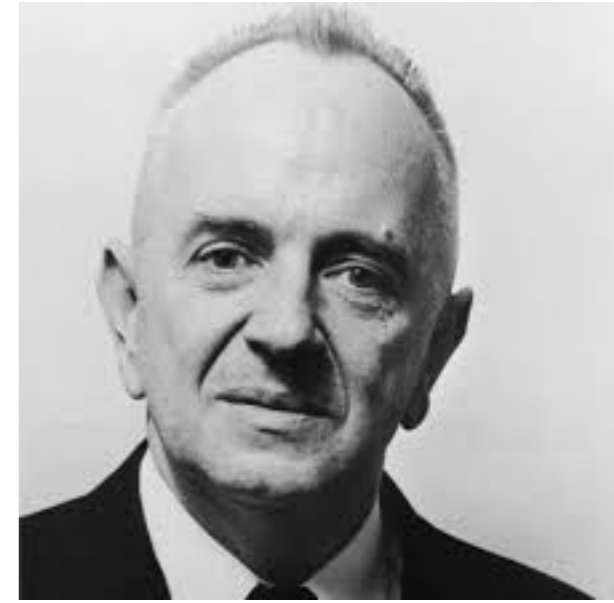
L = evolutionary architecture search + filter learning through gradient descent

“Nothing in biology makes sense except in light of evolution”



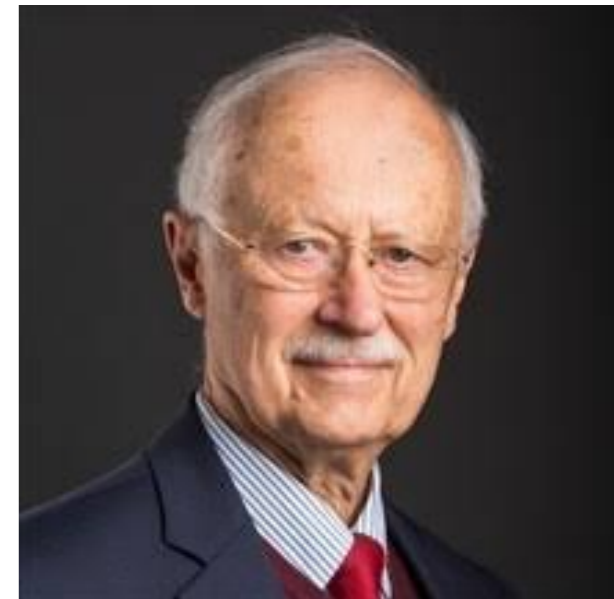
Theo Dobzhansky

“Nothing in biology makes sense except in light of evolution”



Theo Dobzhansky

“Nothing in neuroscience makes sense except in light of behavior”



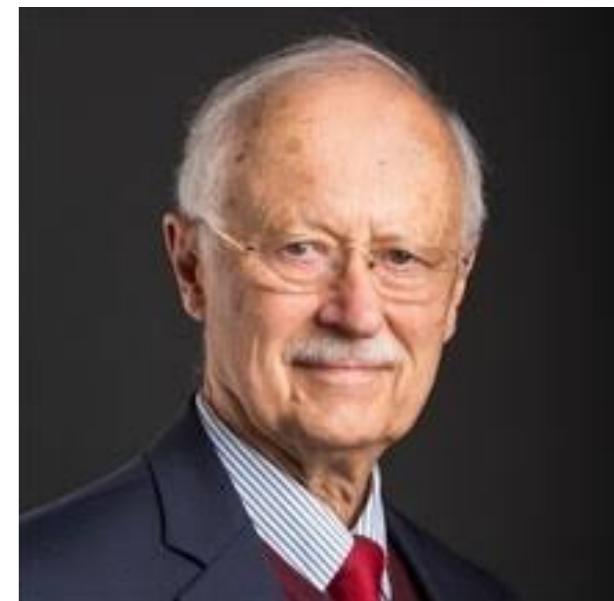
Gordon Shepherd

“Nothing in biology makes sense except in light of evolution”



Theo Dobzhansky

“Nothing in neuroscience makes sense except in light of behavior”

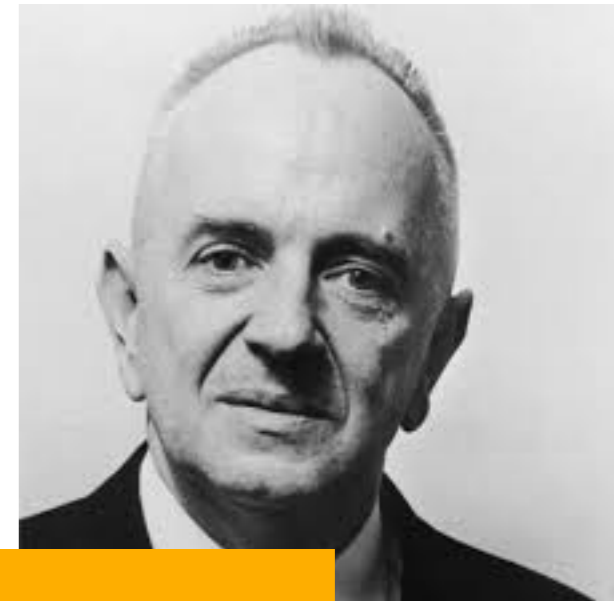


Gordon Shepherd

*Nothing in ^{computational} neuroscience makes sense except in light of **optimization.***



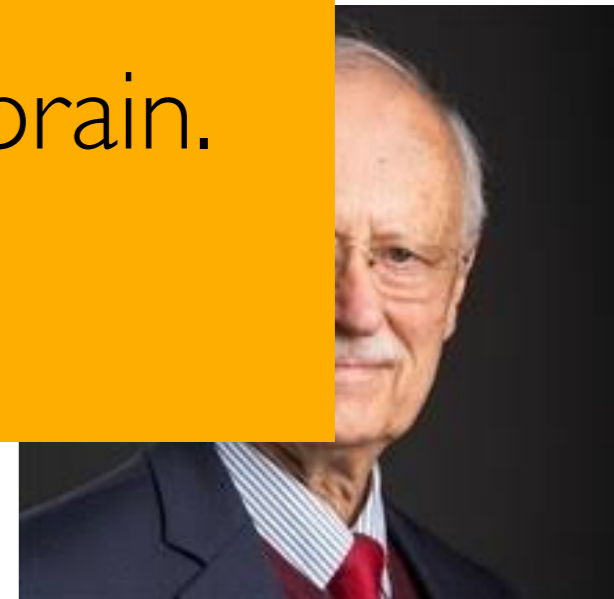
“Nothing in biology makes sense except in light of evolution”



Dobzhansky

Restated:

Behavior is highly constraining of the brain.



Gordon Shepherd

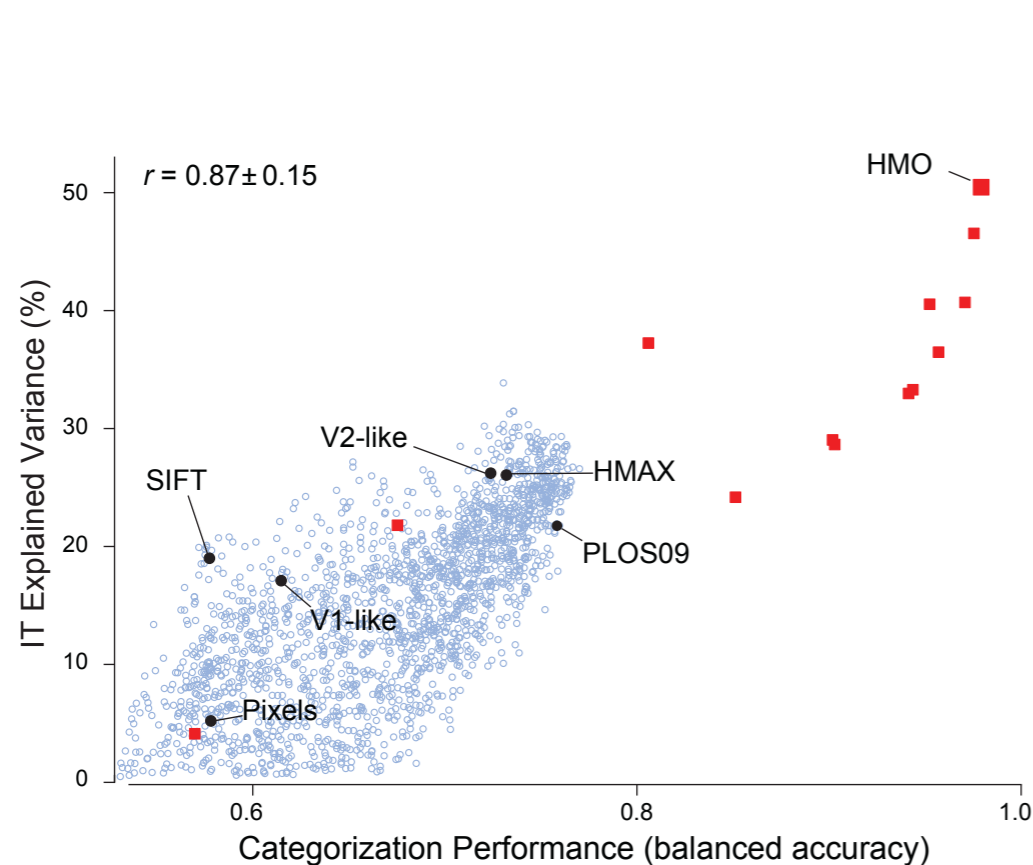
*Nothing in computational neuroscience makes sense except in light of **optimization.***

~~Principle~~ of “Goal-Driven Modeling”

Heuristic of “Goal-Driven Modeling”

Principle of “Goal-Driven Modeling”

Heuristic of “Goal-Driven Modeling”



res-net?

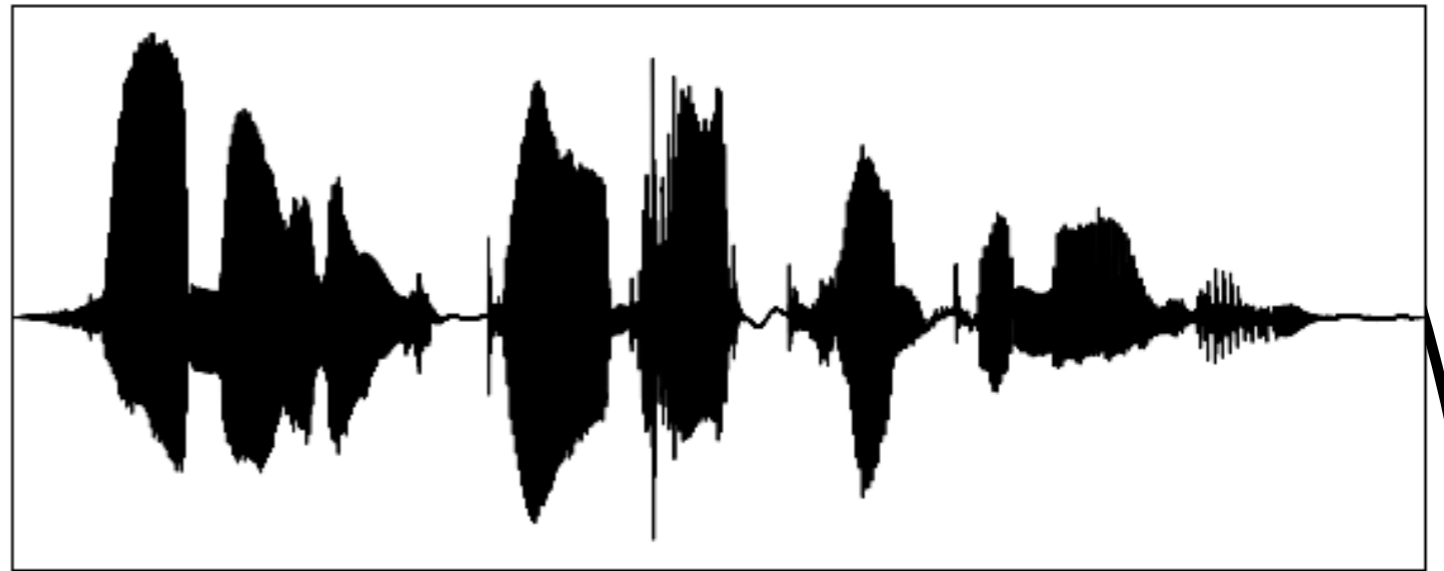
... after all at some point, for any given task, you'll probably “go over the hump” ... perhaps when you exceed human performance or overfit on that task

Can we go beyond vision?



visual
cortex

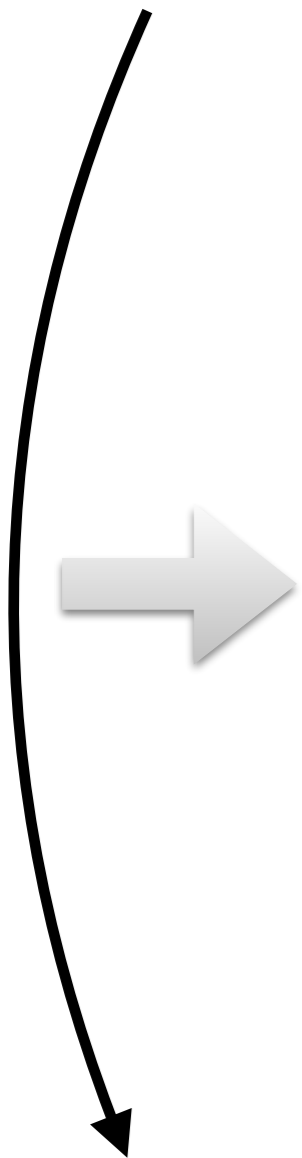
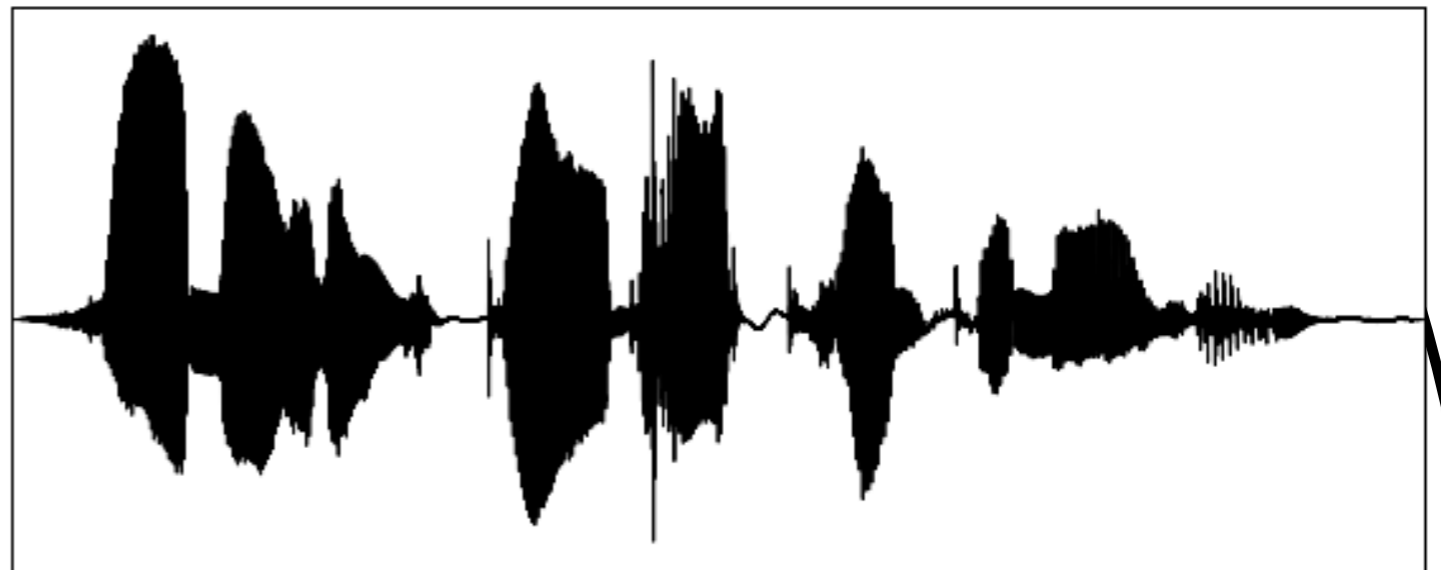
“Mercedes behind
Lamborghini, on a field
in front of mountains.”



auditory
cortex

“Hannah is good at
compromising”

Can we go beyond vision?



VI



...



“Mercedes behind
Lamborghini, on a field in
front of mountains.”

primary auditory cortex



...



“Hannah is good at
compromising”

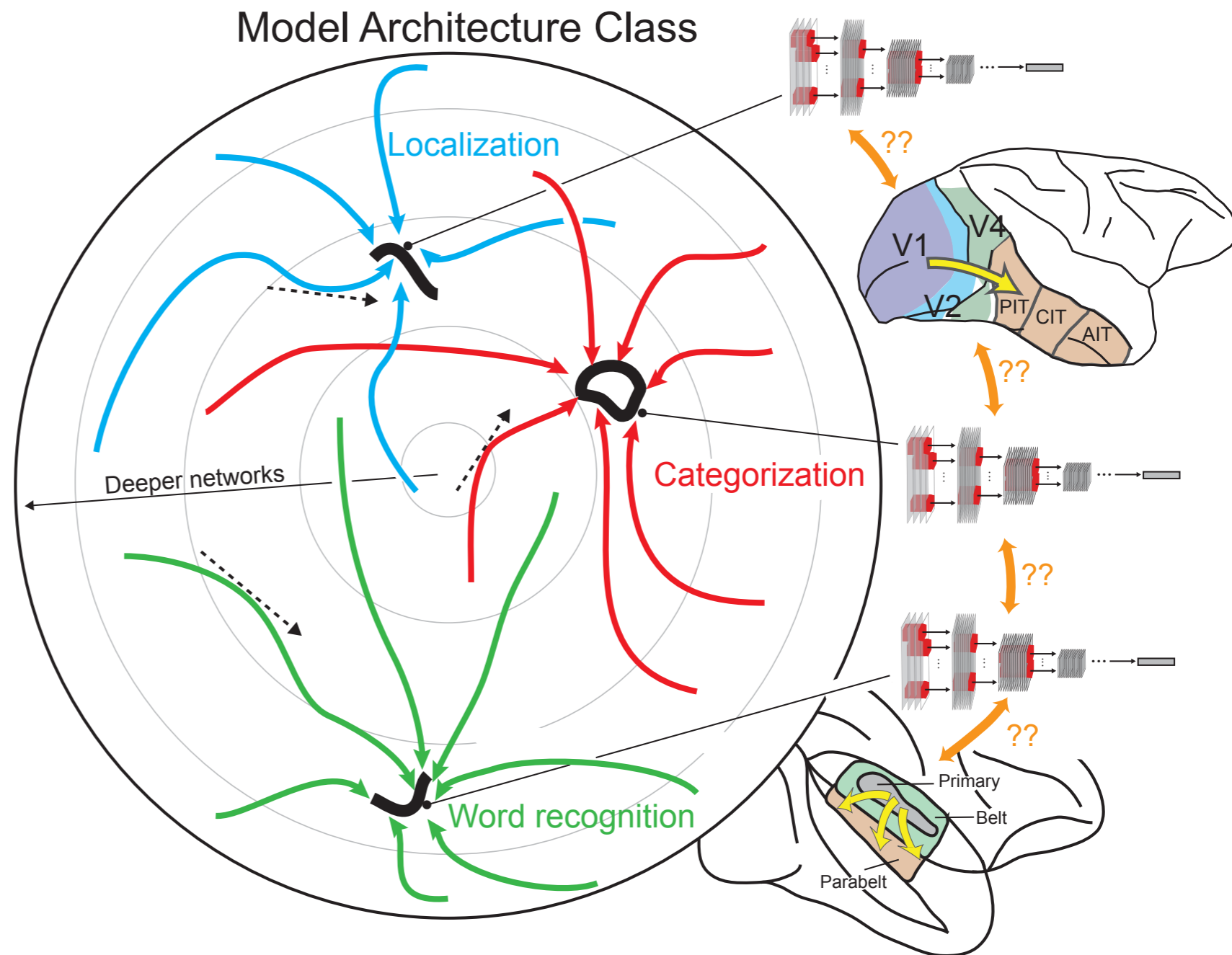


> Formulate comprehensive model class (**RNNs**)

> Choose challenging, ethologically-valid tasks (**task switching/ memory**)

> Implement generic learning rules (**??**)

> Map to brain data. (**Parietal cortex, PFC**)



Yamins & DiCarlo.
Nat. Neuro. (2016)

Big Problems in Each Area

***bad** = obviously deeply wrong as model of the brain or behavior

1. ~~**X**~~**bad**

A = *architecture class*

e.g. **CNNs**

2.

T = *task/objective*

e.g. **Object Categorization**

3.

D = *dataset*

e.g. **ImageNet**

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L = *learning rule*

e.g. **Arch. Srch.** + **Grad. Desc.**

PROBLEM

Big Problems in Each Area

***bad** = obviously deeply wrong as model of the brain or behavior

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*REAL NOISY VIDEO DATASTREAMS vs
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BACKPROP AND ITS DISCONTENTS

So far, we've done the basic idea

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01/06	Introduction to NeuroAI
01/08	Visual Systems Neuroscience Background
01/13	DNN Models of the Visual System I
01/15	DNN Models of the Visual System II
01/20	[NO CLASS-MLK DAY]
01/22	Recurrent Models in Vision and Beyond
01/27	Guest Lecture — Meenakshi Khosla (USCD): <i>Mapping Neural Networks to the Brain</i>
01/28	
01/29	Unsupervised Learning and the Brain
02/03	Guest Lecture — Arash Afraz (NIH): <i>Model-Driven Brain Perturbation</i>
02/05	Auditory and Somatosensory Models
02/10	Guest Lecture — Rhodri Cusack (Trinity): <i>Models of Development and Learning</i>
02/11	
02/12	Guest Lecture — Josh McDermott (MIT): <i>Leveraging Models of Auditory Cortex</i>
02/17	[NO CLASS-PRESIDENT'S DAY]
02/19	Learning Rules in the Brain
02/24	Models of the Motor System
02/25	
02/26	Guest Lecture — Scott Linderman (Stanford): <i>Dynamical Systems Models in Neuroscience</i>
03/03	Guest Lecture — Greta Tuckute (MIT): <i>The Human Language Network & LLMs</i>
03/05	The Hippocampus: Memory and Spatial Navigation
03/10	Topographic Models: A Unified Theory of the Brain
03/12	Guest Lecture — Robert Hawkins (Stanford): <i>Cognitive Modeling</i>

Basic idea

Next we'll fix some of the problems . . .

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Basic idea

**Fixing
problems**

... and then go beyond vision.

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Basic idea

**Fixing
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**Beyond
Vision**